AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			PACT	1. CONTRACT	T ID CODE	DDE PAGE OF PAGES		
AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT					R	1	3	
2. AMENDMENT/MODIFICATION NO. P00001	3. EFFECTIVI 08 Sep		REQUISITION/PURCH. N05309102A		5. PROJECT N	NO. (if appli	cable)	
6. ISSUED BY CODE	N68	3 936 7	. ADMINISTERED BY (#	other than Item	6) CODE			
NAVAIRWARCENWPNS, CODE 21000 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)446-3585	0D							
8. NAME AND ADDRESS OF CONTRACTOR	R(No., street, co	ounty, State and Z	IP Code) (B)	9A. AMENDI	MENT OF SOLI	CITATION	NO.	
SVERDRUP TECHNOLOGY, INC P.O. BOX 884 600 WILLIAM NORTHERN BLVD TULLAHOMA, TN 37388		·	,		(SEE ITEM 11)			
			>	10A. MODIFI	CATION OF CO		ORDER NO	
				108 DATED	N68936-00- (SEE ITEM 13)	D-0055		
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B. THE ABOVE NUMBERED CONTRA- office, appropriation date, etc.) SET FOR	CT/ORDER IS I	MODIFIED TO RE , PURSUANT TO	FLECT THE ADMINIST	RATIVE CHANG AR 43.103 (b).	GES(such as ch	anges in p	aying	
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D. OTHER (Specify type of modification	and authority)					• •		
E. IMPORTANT:Contractor is not,	Xiş requi	red to sign this	document and return	1_ copi	es to the issui	ng office.	***************************************	
14. DESCRIPTION OF AMENDMENT/MODIF	ICATION (Organ	nized by UCF sec	tion headings, including s	olicitation/contra	act subject matte	er where fe	asible.)	
The purpose of this modification replace the specimen DD 254	on is to add with the ori	a clause to t ginal.	the basic contract	t, fill-in info	rmation in c	clauses	and	
Except as provided herein, all terms and conditions of the d	* *************************************	in Item 9A or 10A, as						
15A. NAME AND TITLE OF SIGNER (Type or	r Print)		16A. NAME AND TI WINNIE		RACTING OFFI			
15B. CONTRACTOR/OFFEROR		5C. DATE SIGNE	D 16B. UNITED STAT	ES OF AMERIC	CA	16C. DA	TE SIGNED	
(Signature of person authorized to sign)				ure of Contracting	Officer)	-		

(1) The following clause titled "Unilateral Task Orders" will be incorporated into the contract.

UNILATERAL TASK ORDERS

Task Orders with an estimated value of less than \$100,000.00 may be placed in accordance with the following procedures:

- (a) The ordering officer shall issue a unilaterally executed Task Order representing a firm order for the total requirement.
- (b) In the event the Contractor cannot perform in accordance with the terms and conditions and within the estimated cost of the Task Order, the Contractor shall:
 - (i) notify the Ordering Officer immediately
 - (ii) submit a proposal for the work requested in the Task Order
 - (iii) not commence performance until such time those differences between the Task Order and his proposal are resolved and a modification, if necessary, is issued.
- (2) Revise clause B-NSTD-02, AWARD FEE EVALUATION PROCEDURES, to read:

Performance Reports. Robert Campbell, Code 47A000D, shall act as the Fee Determining Official (FDO) and shall designate technical and administrative personnel to observe, examine, review, and report on the performance of the contractor under the proposed contract....

(3) Revise clause G-Txt-01, ATTENTION! E-MAIL ADDRESS REQUIRED FOR DISTRIBUTION, to read:

All Naval Air Warfare Center Weapons Division Contracts/Purchase Orders and other related documents are now distributed by electronic mail.

Please provide the e-mail address to which distribution of contracts/purchase orders should be made. E-MAIL ADDRESS should read: woosikajs@sverdrup.com and hapebl@sverdrup.com.

N68936-00-D-0055 Mod No. P00001 Page 3 of 3

(4) Revise clause 52.222-2, PAYMENT FOR OVERTIME PREMIUMS (JUL 1990), to read:

The use of overtime is authorized under this contract if the overtime premium cost does not exceed \$100,000 over five years or the overtime premium is paid for work --

(5) Replace the Specimen DD 254 Contract Security Classification Specification, with the original DD 254 Contract Security Classification Specification, dated 8/7/00.

AMENDMENT OF SOLICITATION/	MODIFICATION (OF CONTRA	СТ	1. CONTRACT		PAGE O	F PAGES
2. AMENDMENT/MODIFICATION NO.	3. EFFECTIVE DAT		QUISITION/PURCHA		R 5. PROJECT I	N∩ <i>(if</i> anntii	cahle)
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NAVAIRWARCENWPNS, CODE 210000 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)939-8109	DD					The state of the s	
8. NAME AND ADDRESS OF CONTRACTOR	R (No., street, county,	State and ZIP C	Code) (B)	9A. AMENDI	MENT OF SOL	CITATION N	10.
SVERDRUP TECHNOLOGY, INC P.O. BOX 884 600 WILLIAM NORTHERN BLVD TULLAHOMA, TN 37388			,	9B. DATED ((SEE ITEM 11)		
			x	10A. MODIFI	CATION OF CO		RDER NO
				ACD DATED	N68936-00-		
CODE	FACILITY CODE			TOB. DATED	(SEE ITEM 13) 07 Aug 2		
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The above numbered solicitation is amer							
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submitted; or (c) By separate letter or tele ACKNOWLEDGEMENT TO BE RECEIVED SPECIFIED MAY RESULT IN REJECTION Of may be made by telegram or letter, provided opening hour and date specified. 12. ACCOUNTING AND APPROPRIATION E Not Applicable	AT THE PLACE DI F YOUR OFFER. If I each telegram or leti	ESIGNATED FO	OR THE RECEIPT Camendment you desire	OF OFFERS Performent to change and	RIOR TO THE offer already sui	HOUR AN	ID DATE h change
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X C. THIS SUPPLEMENTAL AGREEMEN MUTUAL AGREEMENT OF THE P.		PURSUANT TO	O AUTHORITY OF:				
D. OTHER (Specify type of modification	and authority)						
E. IMPORTANT:Contractor is not,	⅓ required to	sign this docu	ment and return	1 copies	s to the issuin	g office.	
14. DESCRIPTION OF AMENDMENT/MODIF	ICATION (Organized	by UCF section	headings, including s	solicitation/contr	act subject mat	tter where fe	asible.)
The purpose of this modific Except as provided herein, all terms and conditions of the december of the d	Jocument referenced in Iller		etofore changed, remains u	nchanged and in ful	I force and effect.		
15A. NAME AND TITLE OF SIGNER (Type or			16A. NAME AND TI WINNIE		RACTING OFFI ONTRACTING		
15B. CONTRACTOR/OFFEROR	15C. D	ATE SIGNED	16B. UNITED STATI	ES OF AMERIC	CA	16C. DA	ATE SIGNE
(Signature of person authorized to sign))		BY(Signat	ure of Contracting	Officer)	-	

a. The purpose of this modification is to incorporate the following clause into Section H of this contract.

5252.237-9503 ORDERING PROCEDURES FOR NAVY MARINE CORPS INTRANET (NMCI) SERVICES

- (a) This Support Services contract may require the use of and/or access to Department of Navy (DoN) Information Technology (IT) Resources by contractor personnel for contract performance. Applicable DoN IT Resources for performance of this contract shall be procured from the NMCI Contractor pursuant to the authority of NMCI Contract # N00024-00-D-6000, clause 5.2 "Ordering."
- (b) The Support Services contractor shall obtain written authorization from the Contracting Officer executing this contract, prior to ordering directly from the NMCI Contractor. No NMCI Order may be placed without the prior written authorization of the Contracting Officer. Any NMCI Order exceeding the written authorization of the Contracting Officer shall be treated as an unallowable cost pursuant to FAR Part 31.
- (c) The Government shall reimburse the contractor for the placement of NMCI Orders including applicable indirect burdens (general & administrative, etc.), excluding profit or fee.
- (d) This clause is applicable to the basic contract and all task orders that are active during the life of the contract after the effective date of this modification. This clause takes precedence over any conflicting language in the contract and task orders.
- (e) The Contractor agrees to include the substance of this clause, appropriately modified to reflect the identity and relationship of the parties, in all appropriate subcontracts.
- b. All other terms and conditions remain unchanged.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT	1. CONTRACT ID CODE PAGE OF PAGES
	R 1 2 ASE REQ. NO. 5. PROJECT NO. (if applicable)
6. ISSUED BY CODE N68936 7. ADMINISTERED BY (iii	
NAVAIRWARCENWPNS, CODE 210000D 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)939-810	
8. NAME AND ADDRESS OF CONTRACTOR (No., street, county, State and ZIP Code) (B)	9A. AMENDMENT OF SOLICITATION NO.
SVERDRUP TECHNOLOGY, INC P.O. BOX 884 600 WILLIAM NORTHERN BLVD TULLAHOMA, TN 37388	9B. DATED (SEE ITEM 11)
>	10A. MODIFICATION OF CONTRACT/ORDER NO.
	N68936-00-D-0055
CODE FACILITY CODE	10B. DATED (SEE ITEM 13) 01 Oct 2000
11. THIS ITEM ONLY APPLIES TO AMENDMENTS OF SO	
The above numbered solicitation is amended as set forth in Item 14. The hour and date specified	for receipt of Offers
is extended, is not extended. Offers must acknowledge receipt of this amendment prior to the hour and date specified in the solicitation.	n or as amended, by one of the following methods:
(a) By completing Items 8 and 15, and returning copies of the amendment; (b) By acknowledge offer submitted; or (c) By separate letter or telegram which includes a reference to the solicitation ACKNOWLEDGEMENT TO BE RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT of SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you change may be made by telegram or letter, provided each telegram or letter makes reference to the solicithe opening hour and date specified. 12. ACCOUNTING AND APPROPRIATION DATA (If required) Not Applicable	and amendment numbers. FAILURE OF YOUR DF OFFERS PRIOR TO THE HOUR AND DATE desire to change an offer already submitted, such
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRA IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED II	
(X) A. THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET CONTRACT ORDER NO. IN ITEM 10A.	
B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTR office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF F	ATIVE CHANGES (such as changes in paying AR 43.103 (b).
X C. THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: MUTUAL AGREEMENT OF THE PARTIES AND IMPLIED AUTHORITY OF THE CONT	RACTING OFFICER
D. OTHER (Specify type of modification and authority)	
E. IMPORTANT:Contractor is not, Xs required to sign this document and return _	1 copies to the issuing office.
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including s	colicitation/contract subject matter where feasible.)
The purpose of this modification is to add a new clause, add, revise a clauses, and incorporate the Government Furnished Property Listing	and delete information in current into the current contract.
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged. 15A. NAME AND TITLE OF SIGNER (Type or Print) 16A. NAME AND TITLE OF SIGNER (Type or Print)	ed and in full force and effect. TLE OF CONTRACTING OFFICER (Type or Print)
WINNIE S.I	RENTA CONTRACTING OFFICER
15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STAT	ES OF AMERICA 16C. DATE SIGNED
(Signature of person authorized to sign) BY (Signature of person authorized to sign)	ture of Contracting Officer)

a. The following clause in incorporated into Section H of this contract:

DESIGNATION OF PROPERTY ADMINISTRATOR

The following individual is designated as Property Administrator:

Elisabeth Knight, Code 210000D Naval Air Warfare Center Weapons Division I Administration Circle China Lake, CA 93555-6100 PH: (760) 939-2074 Fax: (760) 939-8329 E-mail address: knightel@navair.navv.mil

- b. Revise clause B-NSTD-01, Level of Effort (Cost Plus Award Fee)(Jan 1992)(NAVSUP), paragraph (e) to read: Within **ninety (90)** days after completion of the work under each task order...
- c. Revise clause N-STD-04, Materials and Supplies, paragraph (c) to read: The contractor shall not acquire any single item or system valued at \$2,500.00 or more without a written proposal to do so and a written approval of the Ordering Officer or designee.
- e. Revise Clause 5252.237-9501, Addition or Substitution of Personnel (Services) (NAVAIR) (Mar 1999), to delete paragraph (e) in it's entirety.
- f. The Government Furnished Property (GFP) listing (Attachment (1)) is hereby incorporated into the contract.

All other terms and conditions remain unchanged.

			1. CONTRACT ID CODE	PAGE OF PAGES
AMENDMENT OF SOLICITAT	ION/MODIFICATION OF		R	1 2
2. AMENDMENT/MODIFICATION NO. P00004	3. EFFECTIVE DATE 31 Jan 2001		PURCHASE REQ. NO. 5, PROJEC 09102AA1L	T NO. (if applicable)
6. ISSUED BY	ODE N68936			DDE
NAVAIRWARCENWPNS, CODE 2 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)939-8				
8. NAME AND ADDRESS OF CONTRA	CTOR (No., street, county, State	e and ZIP Code)	(B) 9A. AMENDMENT OF SC	DLICITATION NO.
SVERDRUP TECHNOLOGY, I P.O. BOX 884			(-)	
600 WILLIAM NORTHERN BLY TULLAHOMA, TN 37388	VD		9B. DATED (SEE ITEM 1	1)
			10A. MODIFICATION OF X	CONTRACT/ORDER NO.
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The above numbered solicitation is	1. THIS ITEM ONLY APPLIE s amended as set forth in Item 1			
is extended, is not	extended.		•	
Offers must acknowledge receipt of this	amendment prior to the hour an	d date specified in the s	olicitation or as amended, by one of	the following methods:
ACKNOWLEDGEMENT TO BE RECE SPECIFIED MAY RESULT IN REJECT change may be made by telegram or let the opening hour and date specified.	FION OF YOUR OFFER. If by ter, provided each telegram or le	virtue of this amendm	ent vou desire to change an offer a	already submitted such
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office, appropriation date, etc.) SE	T FORTH IN ITEM 14, PURSUA	NT TO THE AUTHORI	• •	changes in paying
C. THIS SUPPLEMENTAL AGREE	EMENT IS ENTERED INTO PUR	RSUANT TO AUTHORI	TY OF:	
D. OTHER (Specify type of modifie	cation and authority)			
E. IMPORTANT:Contractor X is	not, is required to sign	n this document and r	eturn copies to the iss	uing office.
14. DESCRIPTION OF AMENDMENT/M	ODIFICATION (Organized by U	CF section headings, in	cluding solicitation/contract subject n	natter where feasible.)
The purpose of this modifi	cation is to revise clau	use B-NSTD-02,	Award Fee Evaluation P	rocedures.
Except as provided herein, all terms and conditions of	the document referenced in Item 9A or 10A	as herelefore changed remain	s unchanged and in full force and offer	
15A. NAME AND TITLE OF SIGNER (7)		16A. NAME	AND TITLE OF CONTRACTING OF	FFICER (Type or Print) CTING OFFICER
15B. CONTRACTOR/OFFEROR	15C. DATE	SIGNED 16B. UNITE	D STATES OF AMERICA	16C. DATE SIGNED
(Signature of person authorized	to sign)	BY	(Signature of Contracting Officer)	

NSN 7540-01-152-8070 PREVIOUS EDITION UNUSABL 30-105

(1) Revise clause B-NSTD-02, AWARD FEE EVALUATION PROCEDURES, to read:

Performance Reports. Bradford R. Harlow, Code 471000D, shall act as the Fee Determining Official (FDO) and shall designate technical and administrative personnel to observe, examine, review, and report on the performance of the contractor under the proposed contract....

All other terms and conditions are unchanged.

FOIA Electronic Reading Room Document Coversheet

Document Description: N68936-00-D-0055 Modification P00005
This document has been released in its entirety.
Portions of this document have been excised pursuant to the Freedom of Information Act. The applicable portion(s) excised and the exemption(s) applied are below indicated.
Exemption (b)(1) Information excised is properly and currently classified in the interest of national defense or foreign policy
Exemption (b)(2) Information excised is related solely to the internal rules and practices of the Agency.
Exemption (b)(3) Information excised is specifically exempt from disclosure by an Executive Order or Statute. Specifically:
Exemption (b)(4) Information excised is commercial or financial information received from outside the Government and is likely to cause substantial harm to the competitive position of the source providing the information.
Exemption (b)(5) Information excised is internal advice, recommendations, or subjective evaluations pertaining to the decision-making process of the Agency.
Exemption (b)(6) Information excised is certain individual names and personal identifiers and is excised for heightened interest in the personal privacy of Department of Defense personnel that is concurrent with the increased security awareness demands.
Exemption (b) (7) Information excised is investigatory records or information compiled for law enforcement purposes
Exemption (b)(8) Information excised is records for the use of any agency responsible for the regulation or supervision of financial institutions
Exemption (6)(9) Information excised is records containing geological and geophysical information (including maps) concerning wells.

Please direct inquiries regarding this document to:
Naval Air Warfare Center Weapons Division
Code K00000D (FOIA)
1 Administration Circle
China Lake, CA 93555-6100.

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AMENDMENT OF SOLICITATION/MOD	IFICATION OF CON	TRACT	T. CONTRA	CT ID CODE R	1	PAGES 1
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NAVAIRWARCENWPNS, CODE 210000D 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)939-8109		OF IF ST	RIGIN AMPED IN	4		
8. NAME AND ADDRESS OF CONTRACTOR (No.,	street, county, State and 2	ZIP Code)	(B) 9A. AMEN	DMENT OF SOL	JCITATION	NO.
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0005			10B. DATE	D (SEE ITEM 1	,	
	ITY CODE M ONLY APPLIES TO	ANACINIONACNITO OF	COLICITATIO	01 Oct	2000	
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12. ACCOUNTING AND APPROPRIATION DATA (Not Applicable	(If required)					
13. THIS ITEM APPLIE				RS,		
	CONTRACT/ORDER					
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X B. THE ABOVE NUMBERED CONTRACT/OR office, appropriation date, etc.) SET FORTH IN	DER IS MODIFIED TO RE	EFLECT THE ADMINIS O THE AUTHORITY OF	TRATIVE CHAN FAR 43.103 (b	NGES (such as c	hanges in p	aying
C. THIS SUPPLEMENTAL AGREEMENT IS E	NTERED INTO PURSUAI	NT TO AUTHORITY OF	= :			
D. OTHER (Specify type of modification and at	uthority)					
E. IMPORTANT:Contractor X is not,	is required to sign this o	focument and rature		ion to the include		
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Except as provided herein, all terms and conditions of the document re	ferenced in Item 9A or 10A, as here	etolore changed, remains unch	anged and in full force	and effect.		
15A. NAME AND TITLE OF SIGNER (Type or Print)		16A. NAME AND		TRACTING OF		•
15B. CONTRACTOR/OFFEROR	15C. DATE SIGNI	ED 16B. UNITED/ST	ATES OF AMER		16C. D	ATE SIGNE
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N68936-00-D-0055 Mod No. P00005 Page 2 of 2

The purpose of this modification is to authorize payment of Award Fee for the 1st Quarter Evaluation Period from 01 Oct 2000 through 31 Dec 2000.

a. Accounting and Appropriation Data:

The funding applied to the contract is for the purpose of paying Award Fee for QTR 1. (Excluding Award Fee for Task Order 0032, 0506, 0517 and 0524) wherein payment will be made on the individual task orders.

AA 97X4930.NH2C 000 77777 0 068936 2F 000000 00 9102AA1L 00

JON: R7D00MCAWD01 Funds Type: NWCF

WORK Completion Date: 9/30/01

Requisition Number: N60530-9102-AA1L

FROM:

BY:

TO:

Total funding

b. A voucher in the Amount of! Otr 1 Award Fee.

may be processed for the payment of

c. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforto changed, remain unchanged and in full force and effect.

AMENDMENT OF SOLICITATION/MODII	FICATION OF CO				R .	PAGE OF PAGES
2. AMENDMENT/MODIFICATION NO. 3. EFF P00006 25	ECTIVE DATE	4. REQUISITION/PU SEE H			5. PROJECT N	O. (if applicable)
S. ISSUED BY CODE	() N68936	7. ADMINISTERED I	BY (if a	ther than Item	6) CODE	
NAVAIRWARCENWPNS, CODE 210000D 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)939-8109						
. NAME AND ADDRESS OF CONTRACTOR (No., s	treet, county, State and	ZIP Code)	(B)	9A. AMENDA	MENT OF SOLIC	EITATION NO.
SVERDRUP TECHNOLOGY, INC P.O. BOX 884 600 WILLIAM NORTHERN BLVD TULLAHOMA, TN 37388	ORIGI IF STAMPED			9B. DATED (SEE ITEM 11)	
	11 000000		X	10A. MODIFIC	CATION OF CO	NTRACT/ORDER NO.
					N68936-00-E	D-0055
.•	·			10B. DATED	(SEE ITEM 13)	
	TY CODE	AMENDMENTS O		ICITATIONIC	01 Oct 20	000
The above numbered solicitation is amended as is extended, is not extended.		he hour and date spec	lfied fo	r receipt of Off	ers	ollowing mathade
a) By completing Items 8 and 15, and returning	m which includes a re E PLACE DESIGNAT IR OFFER. If by virtu ach telegram or letter r	eference to the sollche ED FOR THE RECE e of this amendment	atlon a PT Of you de	and amendme OFFERS Presire to chang	nt numbers. FA RIOR TO THE I e an offer alrea	AILURE OF YOUR HOUR AND DATE dy submitted, such
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13, THIS ITEM APPLIES IT MODIFIES THE C					•	
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C. THIS SUPPLEMENTAL AGREEMENT IS EN	TERED INTO PURSUA	ANT TO AUTHORITY	OF:			
D. OTHER (Specify type of modification and auto	hority)					ı
IMPORTANT:Contractor X is not, is	required to sign this	document and retu	rn	copies	s to the issuing	office.
4. DESCRIPTION OF AMENDMENT/MODIFICATION	√ (Organized by UCF s	ection headings, includ	ling so	licitation/contra	ect subject matte	r where feasible.)
THE PURPOSE OF THIS MODIFICATION IS AUTHORIZED CHANGES ONLY BY THE COI			TRAC	T ADMINIST	RATION, AND	5252.243-9504,
except as provided herein, all terms and conditions of the document refer	rençed in Item 9A or 10A, as he					ER (Type or Print)
		DEBRA	A. ZAI	MARRON	CONTRACTI	NG OFFICER
5B. CONTRACTOR/OFFEROR	15C, DATE SIGN	\ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \ \	STATE:		A ANDAN	16C. DATE SIGNED
(Signature of person authorized to sign)		BY(Signatu	re of Contracting	Officer)	125 Jul CU
ISN 7540-01-152-8070 REVIOUS EDITION INUSABLE		30-105		Pres	ANDARD FOR scribed by GSA I (48 CFR) 53.243	M 30 (REV). 10-83)

N68936-00-D-0055 Mod No. P00006 Page 2 of 2

(1) Revise clause G-TXT-09, CONTRACT ADMINISTRATION, to read:

All questions and communications concerning contract administration shall be directed to or via the ACO except under certain circumstances as authorized by him. Martha E. Aldridge. E-mail aldridgeme@navair.navy.mil

(2) Revise clause 5252.243-9504 Authorized Changes only by the Contracting Officer (NAVAIR) (JAN 1992) to read,

The address and telephone number of the Contracting Officer is:

Martha E. Aldridge
Code 210000D
1 Administration Circle
China Lake, Ca 93555-6100
aldridgeme@navair.navy.mil

All other terms and conditions are unchanged.

FOIA Electronic Reading Room Document Coversheet

Document Description: N68936-00-D-0055 Modifications P00007 through P00011
This document has been released in its entirety.
Portions of this document have been excised pursuant to the Freedom of Information Act. The applicable portion(s) excised and the exemption(s) applied are below indicated.
Exemption (b)(1) Information excised is properly and currently classified in the interest of national defense or foreign policy
Exemption (b)(2) Information excised is related solely to the internal rules and practices of the Agency.
Exemption (b)(3) Information excised is specifically exempt from disclosure by an Executive Order or Statute. Specifically:
Exemption (b)(4) Information excised is commercial or financial information received from outside the Government and is likely to cause substantial harm to the competitive position of the source providing the information.
Exemption (b)(5) Information excised is internal advice, recommendations, or subjective evaluations pertaining to the decision-making process of the Agency.
Exemption (b)(6) Information excised is certain individual names and personal identifiers and is excised for heightened interest in the personal privacy of Department of Defense personnel that is concurrent with the increased security awareness demands.
Exemption (b) (7) Information excised is investigatory records or information compiled for law enforcement purposes
Exemption (b)(8) Information excised is records for the use of any agency responsible for the regulation or supervision of financial institutions
Exemption (6)(9) Information excised is records containing geological and geophysical information (including maps) concerning wells.

Please direct inquiries regarding this document to:
Naval Air Warfare Center Weapons Division
Code K00000D (FOIA)
1 Administration Circle
China Lake, CA 93555-6100.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT				1. CONTRACT	r ID CODE R	PAGE (OF PAG	GES 3	
2. AMENDMENT/MODIFICATION NO. P00007	3. EFFECTIV	E DATE 4	. REQUISITION/PUR SEE HE			5. PROJECT N		L licable)	
6. ISSUED BY CODE	N6	8936	7. ADMINISTERED E			6) CODE	ľ		
NAVAIRWARCENWPNS, CODE 210000 1 ADMINSTRATION CIRCLE CHINA LAKE, CA 93555-6100 ATTN: GAYLE CLINE (760)939-8109	DD.		() IF 3	T IAMI	PED IN A	υ ivK		_	
8. NAME AND ADDRESS OF CONTRACTOR	R (No., street, o	county, State and 2	ZIP Code)	(B)	9A. AMEND	MENT OF SOLIC	CITATION	NO.	
SVERDRUP TECHNOLOGY, INC P.O. BOX 884 600 WILLIAM NORTHERN BLVD					9B, DATED	(SEE ITEM 11)			<u>.</u>
TULLAHOMA, TN 37388				-	ļ. <u>.</u>				
				х	10A. MODIFI	CATION OF CO	NTRACT/	ORDE	R NO.
						N68936-00-l	D-0055		
				_	10B. DATED	(SEE ITEM 13)			
CODE	FACILITY CO		ANACHENIA O	500	1017171011	01 Oct 20	000		
The above numbered solicitation is ame			AMENDMENTS O						
is oxtended, is not exte		ini in kem 14. The	ritodi and date spec	anea ro	ii ieceipi oi Oi	ieis			
Offers must acknowledge receipt of this amer		the hour and date	specified in the solici	itation	or as amende	d, by one of the t	following r	method	s:
(a) By completing Items 8 and 15, and return offer submitted; or (c) By separate letter of ACKNOWLEDGEMENT TO BE RECEIVED SPECIFIED MAY RESULT IN REJECTION change may be made by telegram or letter, put the opening hour and date specified.	r telegram whi OAT THE PLA OF YOUR OF	ch includes a ref ACE DESIGNATEI FER. If by virtue	erence to the solicit D FOR THE RECEI of this amendment	tation a IPT OI you de	and amendme F OFFERS P esire to chan-	ent numbers. F RIOR TO THE se an offer alrea	AILURE HOUR A	OF YOUND DA	OUR ATE uch
12. ACCOUNTING AND APPROPRIATION	DATA (If requir	ad)					· · · · ·		
SEE HEREIN	DATA (II Teguli		•						
			ATIONS OF CONT			S ,			
(X) A. THIS CHANGE ORDER IS ISSUED CONTRACT ORDER NO. IN ITEM	10A.								
X B. THE ABOVE NUMBERED CONTRA office, appropriation date, etc.) SET FO	CT/ORDER IS RTH IN ITEM	MODIFIED TO RE 14, PURSUANT TO	EFLECT THE ADMIN O THE AUTHORITY	IISTRA OF FA	ATIVE CHANG R 43.103 (b).	ES (such as cha	inges in p	aying	
C. THIS SUPPLEMENTAL AGREEME	NT IS ENTERE	D INTO PURSUAI	NT TO AUTHORITY	OF:					
D. OTHER (Specify type of modification	and authority)			,,,				-	
E. IMPORTANT:Contractor X is not,	is requ	ired to sign this o	document and retu	rn	copie	s to the issuing	g office.		
14. DESCRIPTION OF AMENDMENT/MODIF	FICATION (Org	anized by UCF sec	ction headings, includ	ding so	plicitation/conti	ract subject matte	er where f	easible	.)
		•			•				
		÷							
SEE PAGE TWO F	OR DESCRIE	PTION OF ARMEN	IDMENT/MODIEIO	:ΔΤΙΟΙ	N				
SEE 17 ME 1 WOT	J. 1 DEOO! (II	OI ANIEN		,A1101	11				
Except as provided herein, all terms and conditions of the do	cument referenced i	n Item 9A or 10A, as her	atolore changed, remains un	nchanged	d and in full force a	nd effect.			
15A. NAME AND TITLE OF SIGNER (Type of	or Print)				LE OF CONT LDRIDGE,	RACTING OFFICE CONTRACT			
15B. CONTRACTOR/OFFEROR		15C, DATE SIGNI	ED 16B, UNITED S	STATE	S OF AMERIC	CA ,	16C. T	DATE S	IGNED
			BY 11/-		HA	ina	į		
(Signature of person authorized to signature)	gn)			(Signate	ure of Contractir	ng Officer)	_ / '	/دنه	· 0/

NSN 7540-01-152-8070 PREVIOUS EDITION UNUSABLE

30-105

STANDARD FORM 30 (REV. 10-83) Prescribed by GSA FAR (48 CFR) 53.243 The purpose of this modification is correct P00005 page two Accounting and Appropriation Data, correct P00006 clause 5252.243-9504, and replace the original DD 254 Contract Security Classification Specification as shown herein.

a. The following Accounting and Appropriation Data is hereby **CORRECTED**:

From:

AA 97X4930.NH2C 000 77777 0 068936 2F 000000 00 9102AA1L 00

JON: R7D00MCAWD01 Funds Type: NWCF WORK Completion Date: 9/30/01

Requisition Number: N60530-9102-AA1L

FROM: BY:

TO:

TO

AB 97X4930.NH2C 000 77777 0 068936 2F 000000 001206AA1L00

JON: R7D00MCAWD01 Funds Type: NWCF WORK Completion Date: 9/30/01

Requisition Number: N60530-1206-AA1L

FROM: BY: TO:

Total funding

b. Correct P00006 page two clause 5353-243-9504, Authorized Changes only by the Contracting Officer (NAVAIR) (JAN 1992):

From:

The address and telephone number of the Contracting Officer is:

Martha E. Aldridge Code 210000D I Administration Circle China Lake, Ca 93555-6100 aldridgeme@navair.navy.mil

To Read:

Martha E. Aldridge Code 210000D 1 Administration Circle China Lake, Ca 93555-6100 (760) 939-2799 aldridgeme@navair.navy.mil

- c. Replace the original DD 254 Contract Security Classification Specification dated 8/7/00 with revision 1 DD 254 Contract Security Classification Specification dated 06/11/01.
- d. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforto changed, remain unchanged and in full force and effect.

DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION

1. CLEARANCE AND SAFEGUARDING

a. FACILITY CLEARANCE REQUIRED

(The requirements of the DoD Inc to all security aspe						TOP SECR	ET		
ю ан зесину азры	b. LEVEL OF SAFEGUARDING REQUIRED								
Ref # CL99-014 Stub # 60530-9102-AAIL						SECRET			
2. THIS SPECIFICATION IS FOR: (X and complete as applicable) 3. THIS						SPECIFICATION IS:	(X and complete as application	ble)	
a. PRIME CONTRACT NUMBER N68936-00-D-005	5		05/08/31	×	a,	ORIGINAL (Complete date		7744400) 0/08/07	
b. SUBCONTRACT NUMBER				×	b.	REVISED (Supersedes	Revision No.	1/06/11	
C. SOLICITATION OR OTHER MIMBER	Duo Date	(17/444/00))		П	(previous specs.)	Date	(YYAUFOO)	
Me8a30-aa-U-0139			7		L	FINAL (Complete Item 5 i.	n all cases.)		
4. IS THIS A FOLLOW-ON CONTRACT? Classified material received or generated under N68936-95-D-028		쁘	NO. If yes, comp			g: t Number) is transferred to	this follow-on contract.		
5. IS THIS A FINAL DD FORM 254?	YES	12	NO. If yes, com	nplete the fol	llowin	ng:			
in response to contractor's request dated	,rete	ntion of	the identified classif	ied material	is au	thorized for the period of			
6. CONTRACTOR (include Commercial and Governme	ent Entity	(CAGE	E) Code)						
a. NAME, ADDRESS, AND ZIP CODE		b.	CAGE CODE			SECURITY OFFICE (Nam			
SVERDRUP TECHNOLOGY INC. P.O. BOX 884			07486			SECURITY SERVIC AST OPLOC	E		
TULLAHOMA, TN 37388-0884			٠,	2300 L	AKE	E PARK DRIVE STE GA 30080-7606	250		
				SMIN	NJA,	GA 30080-7608			
7. SUBCONTRACTOR	· · · · · · · · · · · · · · · · · · ·					*			
a. NAME, ADDRESS, AND ZIP CODE		b.	CAGE CODE	c. COGNIZ	ANT	SECURITY OFFICE (Nam	e, Address, and Zip Code)		
		١							
8. ACTUAL PERFORMANCE									
a. LOCATION		b.	. CAGE CODE	c. COGN	ZAN	T SECURITY OFFICE (Na.	ne, Address, and Zip Code	1	
SEE BLOCK 13									
	•								
9. GENERAL IDENTIFICATION OF THIS PROCURE									
PROVIDE ENGINEERING SUPPORT SERVICE SITES.	ES FOR	NAV.	AL AIR SYSTI	EMS CO	MM	MAND/ NAVAL AIR	WARFARE CENT	ER	
31123.									
10. THIS CONTRACT WILL REQUIRE ACCESS TO:	YES	NO	11 IN DEDEC	DIAINO :	TLIK	S CONTRACT, THE	CONTRACTOR MIL	I. IVEC	INO
						RMATION OHLY AT ANOTHER CO		- 	
a. COMMUNICATIONS SECURITY (COMSEC) INFORMATION b. RESTRICTED DATA	<u> 184</u>		b. RECEIVE CLA	OVERNMENT	ACTIV	VITY.		 	1 20
c. CRITICAL NUCLEAR WEAPON DESIGN INFORMATION	KS					LASSIFIED MATERIAL	-		<u> </u>
d. FORMERLY RESTRICTED DATA	52		,			ORE CLASSIFIED HARDW	/ARE	<u> </u>	占
e. INTELLIGENCE INFORMATION:			e, PERFORM SI	ERVICES O	NLY			1=	18
(1) Sensitive Compartmented Information (SCI)		×	I. HAVE ACCESS TO U.S. POSSESSION	U.S. CLASSII NE AND TRUST	RED IN	INFORMATION OUTSIDE THE U.S IRTORIES	. PUERTO RICO,	188	
(2) Non-SCI	×		g. BE AUTHORIZED	TO USE THE	SERV	ICES OF DEFENSE TECHNICAL, I	NFORMATION	183	
f. SPECIAL ACCESS INFORMATION	×		h. REQUIRE A					580	旨
g. NATO INFORMATION	<u> </u>		L HAVE TEMPE	ST REQUIR	REME	ENTS		×	
h. FOREIGN GOVERNMENT INFORMATION	X		j. HAVE OPERA	TIONS SEC	URIT	TY <i>(OPSEC)</i> REQUIREMEN	(TS	181	
i. LIMITED DISSEMINATION INFORMATION		×	k BEAUTHÓRI	ZED TO US	E DE	FENSE COURIER SERVICE	E	⊠	
j. FOR OFFICIAL USE ONLY INFORMATION	<u> 8</u>	므	I. OTH€R (Spec	ify)					
k, OTHER (Specify)	×								
<u> </u>	i	1	1					1	1

DD FORM 254, DEC 90

Previous editions are obsolete

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e ·		•	
12. PUBLIC RELEASE, Any information (classified or unclassified) pertaining to Manual or	the contract shall not be released f	or public dissemination except as approve	d by the International Security
☐ Direct ☐ Through (specify):			
COMMANDER, NAVAL AIR WARFARE CENTER	R, WEAPONS DIV (7411	100D), CHINA LAKE, CA 9	3555
to the Directorate for Freedom of information and Security Review, Office of In the case of non-DOD User Agencies, request for disclosure shall be sub-		e (Public Affairs) * for review.	
13, SECURITY GUIDANCE. The security dassification guidance for this classifie	ed effort is identified below. If any d	ifficulty is encountered in applying this gui	dance or if any other contributing
factor indicates a need for changes in the guidance, the contractor is authorized er information or material furnished or generated under the this contract, and to the	ncouraged to provide recommended	changes; to challenge the guidance or th	e classification assigned to any
TO QUALIFY FOR THIS CONTRACT, ALL FIRMS PER DOD 5220.22-M, NATIONAL INDUSTRIAL S MUST HAVE OR BE ABLE TO QUALIFY FOR A 1 (FOREIGN OWNERSHIP, CONTROL OR INFLUE	SECURITY PROGRAM (TOP SECRET FACILITY	OPERATING MANUAL. RE	SPONSIBLE BIDDER
¥			
CONTRACTORS POSSESSING RECIPROCAL C RELEASED TO OR DEVELOPED UNDER THIS (RECIPROCAL CLEARANCES REQUIRES PRIOF	CONTRACT, SUBCON	TRACTING TO CONTRACT	
·			
ACTUAL PERFORMANCE WILL BE AT THE COI 03QT6, RIDGECREST, CA, CAGE CODE 0666Z AT OTHER SVERDRUP TECHNOLOGY INC. FA CENTER SITES; AND DOD AGENCIES AND THI	N8, CAMARILLO, CA A ACILITIES, AT NAVAL A	ND CAGE CODE 1E3V2, C	ALIFORNIA, MD) AND
ACCESS TO TOP SECRET INFORMATION IS RI NAVAL AIR SYSTEMS COMMAND/NAVAL AIR V			TRACT AND WILL BE AT
(ITEM 13 C	CONTINUED ON SUP	PLEMENTAL PAGE(s))	
14ADDITIONAL SECURITY REQUIREMENTS. Requirements in addition to ISM clauses in the contract document itself, or provide an appropriate statement wi	requirements, are established for th	nis contract. (If Yes, Identify pertinent con ments. Provide a copy of tech requiremen	Iractual Yes D No
cognizant security office. Use Item 13 if additional space is needed). ADDITIONAL SECURITY REQUIREMENTS HAV			
15 Inspections. Elements of the contract are outside the inspection responsibility elements carved out and the activity responsible for inspections. Use item 13 SPECIFIC ELEMENTS HAVE BEEN ADDED TO 1	if additional space is needed).	l Yes, explain and identify specific areas o	Yes No
16. CERTIFICATION AND SIGNATURE. Security requirements stated here released or generated under this classified effort. All questions shall	eln are complete and adequate fo be referred to the official named	r safeguarding the classified informatio below.	n to be
a. TYPED NAME OF CERTIFYING OFFICIAL b. T JUDITH K. SMITH	CONTRACTING SECURITY MAT		c. TELEPHONE (Include Area Code) (805) 989-7859
d. ADDRESS (Include Zip Code) COMMANDER CODE 741100E NAVAIRWARCENWPNDIV 521 9TH STREET POINT MUGU, CA 93042-5000		b. SUBCONTRACTOR c. COGNIZANT SECURITY OFFIC d. U.S. ACTIVITY RESPONSIBLE (e. ADMINISTRATIVE CONTRACT(f. OTHERS AS NECESSARY	NG OFFICER
DD FORM 254 Reverse, DEC 90			

DD254 ITEM 13 SUPPLEMENTAL PAGE

DOCUMENTATION GENERATED AS A RESULT OF THIS CONTRACT WILL BE CLASSIFIED IN ACCORDANCE WITH SOURCE MATERIAL PROVIDED BY THE USER AND WILL CARRY THE MOST RESTRICTIVE DOWNGRADING/DECLASSIFICATION INSTRUCTIONS, WARNING NOTICES AND CONTROL MARKINGS APPLICABLE. A LISTING OF SOURCE MATERIAL WILL BE INCLUDED AS A PART OF THE DOCUMENT PREPARED BY THE CONTRACTOR.

WHERE THE SECURITY CLASSIFICATION GUIDE (S) SPECIFIES A SPECIFIC DATE OR EVENT FOR DECLASSIFICATION. THE NEW DERIVATIVE CLASSIFICATION MARKINGS UNDER EXECUTIVE ORDER 12958 WILL APPLY.

ACCESS TO CRITICAL NUCLEAR WEAPONS DESIGN INFORMATION (CNWDI) IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT AND REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE SECRET OR TOP SECRET LEVEL, NEED-TO-KNOW, AND A SPECIAL BRIEFING. ACCESS TO AND SAFEGUARDING OF CNWDI INFORMATION SHALL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM), CHAPTER 9, SECTION 2. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO RESTRICTED DATA AND/OR FORMERLY RESTRICTED DATA IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT AND REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL, NEED-TO-KNOW, AND SHALL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM), CHAPTER 9, SECTION 1. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO NATO INFORMATION IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT. ACCESS TO AND SAFEGUARDING OF NATO INFORMATION SHALL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM) CHAPTER 10, SECTION 7. ACCESS TO NATO INFORMATION REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL, NEED-TO-KNOW, AND SPECIAL BRIEFING. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO DOCUMENTS CONTAINING INTELLIGENCE INFORMATION IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT ATTACHMENT #1, "SECURITY GUIDELINES FOR THE HANDLING OF INTELLIGENCE INFORMATION FOR CONTRACTORS" AND ATTACHMENT #2, DCID 1/7, "SECURITY CONTROLS ON THE DISSEMINATION OF INTELLIGENCE INFORMATION" PROVIDE GUIDANCE ON CONTROL OF INTELLIGENCE INFORMATION. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S., PUERTO RICO, U.S. POSSESSIONS AND TRUST TERRITORIES IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT. PROCEDURES WILL BE IN ACCORDANCE WITH THE NISPOM, DOD 5220.22-M; USER AGENCY SECURITY GUIDANCE, AND INDIVIDUAL TASK ORDER REQUIREMENTS.

ACCESS TO CLASSIFIED INFORMATION OUTSIDE THE U.S. CONTINUED:

THE CONTRACTOR AND ITS SUB-CONTRACTORS, IF PERFORMING OR TRAVELING OUTSIDE THE UNITED STATES UNDER THIS CONTRACT SHALL COMPLY WITH THE REQUIREMENTS OF DFARS CLAUSE 252.225-7043 - ANTITERRORISM/FORCE PROTECTION POLICY FOR DEFENSE CONTRACTORS OUTSIDE THE UNITED STATES (JUN 1998) SECURITY REQUIREMENTS, ATTACHMENT #3.

ALL CLASSIFIED MATERIAL RECEIVED OR GENERATED UNDER SPECIFIC TASK ORDERS MAY BE RETAINED BY CONTRACTOR UNTIL COMPLETION OF CONTRACT UNLESS OTHERWISE SPECIFIED BY THE USER. UPON COMPLETION OF THIS CONTRACT, REQUEST FOR RETENTION/TRANSFER/DISPOSITION OF CLASSIFIED MATERIAL MUST BE SUBMITTED TO OFFICIAL IN BLOCK 16.A.

ADEQUATE STORAGE WILL BE PROVIDED FOR CLASSIFIED HARDWARE WHICH IS OF SUCH SIZE OR QUANTITY IT CANNOT BE SAFEGUARDED, IN A REGULAR SIZE APPROVED STORAGE CONTAINER.

SECURITY CLASSIFICATION GUIDES:

The state of the s

OPNAVINST C5513.2B (71) CRUISE MISSILE; OPNAVINST S5513.3B, ENCLOSURE (11), "AEGIS, MK7" WHICH IS FORWARDED BY AEGIS PROGRAM MANAGER LETTER OF 3 MAY 1996, SERIAL 400B/129; S5513.3B (25) EXPLOSIVES; S5513.3B-28.1 FUZES; OPNAVINST S5513.3B (69) TARGETS, AIR & SHIP VULNERABILITY & ORDNANCE SYSTEMS ANALYSIS; S5513.4C, ENCLOSURE (4) NAVAL INTELLIGENCE, GENERAL:

ADDITIONAL SECURITY CLASSIFICATION GUIDES:

OPNAVINST S5513.8B, ENCLOSURE (3), "ECM/ECCM, GENERAL; S5513.8B, ENCLOSURE (18), "RADAR, GENERAL". S5513.8C (54) ELECTRO-MAGNETIC ENVIRONMENTAL EFFECTS; THE SECURITY CLASSIFICATION GUIDES AND ANY ADDITIONAL CLASSIFICATION GUIDES WILL BE PROVIDED BY THE USER AGENCY TECHNICAL POINT OF CONTACT PER DELIVERY ORDER.

DISTRIBUTION STATEMENTS MUST BE ON ALL CLASSIFIED AND UNCLASSIFIED TECHNICAL DOCUMENTS. REFER TO THE CONTRACT DATA REQUIREMENTS LIST (CDRL) BLOCK 9, FOR THE REQUIRED DISTRIBUTION STATEMENT FOR YOUR DATA, OR YOUR NAVAL AIR WARFARE CENTER WEAPONS DIVISION, CHINA LAKE, CA OR NAVAL AIR WEAPONS STATION CHINA LAKE, CA POINT OF CONTACT.

PRIOR TO THE AUTHORIZATION OF DTIC SERVICES, CONTRACTORS MUST SUBMIT DD FORMS IN ACCORDANCE WITH REQUIREMENTS LISTED IN THE DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM), CHAPTER 11, SECTION 2.

TEMPEST SECURITY REQUIREMENTS ARE IIMPOSED IF THIS CONTRACT REQUIRES THE CONTRACTOR TO ELECTRICALLY, ELECTRONICALLY, OR ELECTROMECHANICALLY PROCESS CLASSIFIED DATA AT THE SECRET - SPECIAL CATEGORY OR HIGHER LEVEL. UPON AWARD OF CONTRACT, THE ATTACHED CONTRACTOR TEMPEST QUESTIONNAIRE, ATTACHMENT #4 MUST BE COMPLETED BY THE CONTRACTOR AS PART OF THEIR CONTRACTUAL REQUIREMENTS. PUBLIC RELEASE IS NOT AUTHORIZED FOR TEMPEST SECURITY INFORMATION OR REQUIREMENTS. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

THE CONTRACTOR IS REQUIRED TO PROVIDE OPERATION SECURITY (OPSEC) PROTECTION FOR ALL CLASSIFIED INFORMATION (AS DEFINED BY FAR 4.401) AND SENSITIVE INFORMATION. IN ORDER TO MEET THIS REQUIREMENT, THE CONTRACTOR SHALL DEVELOP, IMPLEMENT AND MAINTAIN A FACILITY LEVEL OPSEC PROGRAM IN ACCORDANCE WITH ATTACHMENT #5. "OPERATIONS SECURITY GUIDANCE FOR CONTRACTORS" DATED AUGUST 1993, AND GUIDANCE PROVIDED. THE DEFENSE INVESTIGATIVE SERVICE (DIS) WILL PERFORM OPSEC INSPECTIONS AS REQUIRED.

THE "FOR OFFICIAL USE ONLY" INFORMATION PROVIDED UNDER THIS CONTRACT SHALL BE SAFEGUARDED IN ACCORDANCE WITH ATTACHMENT #6.

SPECIAL ACCESS POLICY APPLIES TO THIS PROCUREMENT. ACCESS TO OR KNOWLEDGE OF THAT PORTION OF THE WORK WILL BE RESTRICTED TO THOSE INDIVIDUALS WHO HAVE A NEED-TO-KNOW, A U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL AND A SPECIAL BRIEFING. ONLY THAT INFORMATION SPECIFICALLY REQUIRED FOR THEIR DUTIES MAY BE REVEALED TO THEM. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING. DEFENSE SECURITY SERVICE IS RELIEVED OF INSPECTION RESPONSIBILITY FOR THE SPECIAL ACCESS PROGRAM(S) RELEASED TO OR DEVELOPED UNDER THIS CONTRACT.

ACCESS TO AND SAFEGUARDING OF COMSEC INFORMATION/MATERIAL WILL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM) AND DOD 5220.22-S, NISPOM, COMSEC SUPPLEMENT OF LATEST ISSUE AND ALL SUBSEQUENT CHANGES..

THE INSTALLATION OF COMSEC EQUIPMENT UNDER THE CONFIGURATION CONTROL OF NSA WILL BE IN ACCORDANCE WITH OPNAVINST 2221.3C, 5510.93, NTISSI 4000, AND NACSI 4009.

ACCESS TO CLASSIFIED COMSEC INFORMATION/MATERIAL REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL, U.S. CITIZENSHIP, NEED-TO-KNOW, AND A SPECIAL BRIEFING. NON-U.S. CITIZENS, INCLUDING IMMIGRANT ALIENS, ARE NOT ELIGIBLE FOR ACCESS TO CLASSIFIED COMSEC INFORMATION/MATERIAL. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

PERSONNEL HAVING ACCESS TO COMSEC INFORMATION SHALL BE BRIEFED BY A GOVERNMENT CMS CUSTODIAN.

USE OF STU-III FOR TRANSMISSION OF CLASSIFIED AND/OR SENSITIVE UNCLASSIFIED U.S. GOVERNMENT INFORMATION IS REQUIRED. A COMSEC ACCOUNT WILL BE REQUIRED. GOVERNMENT WILL FURNISH EQUIPMENT FOR THE DURATION OF THIS CONTRACT.

SECURITY REQUIREMENTS AND SECURITY AGREEMENTS FOR SHARED ACCESS OF SECURITY FUNCTIONS BETWEEN THE GOVERNMENT AND THIS CONTRACTOR HAVE BEEN ADDED TO THIS CONTRACT. SHARED ACCESS WILL BE APPROVED FOR INDIVIDUAL DELIVERY ORDERS.

REVISION #1 TO DD254 IS ISSUED TO ADD FURTHER PERFORMANCE INFORMATION AND LOCATION BY THE CONTRACTOR; CHANGE 10.D. FORMERLY RESTRICTED DATA FROM NO TO YES; DELETE SECURITY CLAUSE, SECOND PARAGRAPH STARTING WITH CLASSIFIED WORK CANNOT BE PERFORMED UNTIL A FACILITY CLEARANCE HAS BEEN OBTAINED, ETC.

THERE NO OTHER CHANGES.

SECURITY GUIDELINES FOR THE HANDLING OF INTELLIGENCE INFORMATION FOR CONTRACTORS:

- Intelligence released to cleared DoD contractors, all reproductions thereof, and all other information generated based on, or incorporating data from, remain the property of the U.S. Government. The releasing command shall govern final disposition of intelligence information unless retention is authorized. Provide the Director, ONI (ONI-5) with a copy of the retention authorization.
- 2. Cleared DoD contractors shall not release intelligence to any of their components or employees not directly engaged in providing services under contract or other binding agreement or to another contractor (including subcontractors) without the consent of the releasing command.
- Cleared DoD contractors who employ foreign nationals or immigrant aliens shall obtain approval from the Director, ONI (ONI-5) before releasing intelligence, regardless of their LAA.
- 4. See Attachment # , DCID 1/7, "Security Controls on the Dissemination of Intelligence Information", dated 30 JUNE 1998.

ATTACHMENT # / TO DD-254

DCID 1/7

Security Controls on the Dissemination of Intelligence Information

(Effective 30 June 1998)

Introduction

Pursuant to the provisions of the National Security Act of 1947, as amended, Executive Order 12333, Executive Order 12958 and implementing directives thereto, policies, controls, and procedures for the dissemination and use of intelligence information and related materials are herewith established in this Director of Central Intelligence Directive (Directive or DCID). Nothing in this policy is intended to amend, modify, or derogate the authorities of the DCI contained in Statute or Executive Order.

1.0 Policy

- 1.1 It is the policy of the DCI that intelligence be produced in a way that balances the need for maximum utility of the information to the intended recipient with protection of intelligence sources and methods. The controls and procedures established by this directive should be applied uniformly in the dissemination and use of intelligence originated by all Intelligence Community components in accordance with the following principles:
 - 1.1.1 Originators of classified intelligence information should write for the consumer. This policy is intended to provide for the optimum dissemination of timely, tailored intelligence to consumers in a form that allows use of the information to support all need to know customers.
 - 1.1.2 The originator of intelligence is responsible for determining the appropriate level of protection prescribed by classification and dissemination policy. Originators shall take a risk management approach when preparing information for dissemination.

2.0 Purpose

- 2.1 This Directive establishes policies, controls, and procedures for the dissemination and use of intelligence information to ensure that, while facilitating its interchange for intelligence purposes, it will be adequately protected. This Directive implements and amplifies applicable portions of the directives of the Information Security Oversight Office issued pursuant to Executive Order (E.O.) 12958 and directives of the Security Policy Board issued pursuant to E.O. 12958 and PDD-29.
- 2.2 Additionally, this Directive sets forth policies and procedures governing the release of intelligence to contractors and consultants, foreign governments, international organizations or coalition partners consisting of sovereign states, and to foreign nationals and immigrant aliens, including those employed by the US Government. pursuant to <u>DCID 5/6</u>, Intelligence <u>Disclosure Policy</u>.
- 2.3 Executive Order 12958 provides for the establishment of Special Access Programs, including Sensitive Compartmented Information. DCID 3/29 provides procedures for the establishment and review of Special Access Programs pertaining to intelligence activities and restricted collateral information. Intelligence Community components may establish and maintain dissemination controls on such information as approved under the policies and procedures contained in DCID 3/29, this DCID, and implementing guidance.

3.0 Definitions

- 3.1 "Caveated" information is information subject to one of the authorized control markings under Section 9.
- 3.2 Intelligence Community (and agencies within the Intelligence Community) refers to the United States Government agencies and organizations and activities identified in section 3 of the National Security Act of 1947, as amended, 50 USC 401a(4), and Section 3.4(f) (1 through 6) of Executive Order 12333.
- 3.3 Intelligence information and related materials (hereinafter referred to as "Intelligence") include the following information, whether written or in any other medium, classified pursuant to E.O. 12958 or any predecessor or successor Executive Order:
 - 3.3.1 Foreign intelligence and counterintelligence defined in the National Security Act of 1947, as amended, and in Executive Order 12333;
 - 3.3.2 Information describing US foreign intelligence and counterintelligence activities, sources, methods, equipment, or methodology used for the acquisition, processing, or exploitation of such intelligence; foreign military hardware obtained through intelligence activities for exploitation and the results of the exploitation; and any other data resulting from US intelligence collection efforts; and,
 - 3.3.3 Information on Intelligence Community protective security programs (e.g., personnel, physical, technical, and information security).
- 3.4 "Need-to-know" is the determination by an authorized holder of classified information that a prospective recipient requires access to specific classified information in order to perform or assist in a lawful and authorized governmental function. Such persons shall possess an appropriate security clearance and access approval granted pursuant to Executive Order 12968, Access to Classified Information.
- 3.5 Senior Official of the Intelligence Community (SOIC) is the head of an agency, office, bureau, or other intelligence element as identified in Section 3 of the National Security Act of 1947, as amended, 50 USC, 401a(4), and Section 3.4(f) (1 through 6) of Executive Order 12333.
- 3.6 A "tear line" is the place on an intelligence report (usually denoted by a series of dashes) at which the sanitized version of a more highly classified and/or controlled report begins. The sanitized

sanitized version of a more highly classified and/or controlled report begins. The sanitized information below the tear line should contain the substance of the information above the tear line, but without identifying the sensitive sources and methods. This will permit wider dissemination, in accordance with the "need to know" principle and foreign disclosure guidelines, of the information below the tear line.

4.0 General Applicability

- 4.1 In support of the Policy Statement in Section 1.0, classifiers of intelligence information shall take a risk management approach when preparing information for dissemination. In the interest of the widest possible dissemination of information to consumers with a "need to know", classifiers shall carefully consider the needs of all appropriate intelligence consumers regarding sources and methods information or sensitive analytic comments and use control markings only when necessary and in accordance with this directive, using tearlines and other formats to meet consumer needs for intelligence.
- 4.2 In carrying out this policy, intelligence producers shall prepare their reports and products at the lowest classification level commensurate with expected damage that could be caused by unauthorized disclosure. When necessary, the material should be prepared in other formats (e.g. tear-line form) to permit broader dissemination or release of information.
- 4.3 All material shall be portion marked to allow ready identification of information that cannot be broadly disseminated or released, except for material for which a waiver has been obtained under EO 12958.
- 4.4 The substance of this Directive shall be promulgated by each Intelligence Community component, and appropriate procedures permitting prompt interagency consultation established.

5.0 Use By and Dissemination Among Executive Branch Departments/Agencies of the US Government

- 5.1 Executive Order 12958 provides that classified information originating in one US department or agency shall not be disseminated beyond any recipient agency without the consent of the originating agency. However, to facilitate use and dissemination of intelligence within and among Intelligence Community components and to provide for the timely flow of intelligence to consumers, the following controlled relief to the "third agency rule" is hereby established:
 - 5.1.1 Each Intelligence Community component consents to the use of its classified intelligence in classified intelligence products of other Intelligence Community components, including its contractors under Section 6, and to the dissemination of those products within executive branch departments/agencies of the US Government, except as specifically restricted by controls defined in this directive or other DCI guidance.
 - 5.1.2 As provided in 5.1.1, classified intelligence that bears no restrictive control markings may be given secondary US dissemination in classified channels to any US executive branch department/agency not on original distribution if (a) the intelligence has first been sanitized by the removal of all references and inferences to intelligence sources and methods and the identity of the producing agency, or (b) if the product is not so sanitized, the consent of the originator has been obtained. If there is any doubt concerning a reference or inference to intelligence sources and methods, relevant intelligence documents should not be given secondary dissemination until the recipient has consulted with the originator.
 - 5.1.3 Any component disseminating intelligence beyond the Intelligence Community assumes responsibility for ensuring that recipient organizations agree to observe the need-to-know principle and the restrictions prescribed by this directive, and to maintain adequate safeguards.

6.0 Policy and Procedures Governing the Release of Intelligence to Contractors and Consultants

- 6.1.1 SOICs, or their designees, may release intelligence to appropriately cleared or access-approved US contractors and consultants (hereinafter "contractor") having a demonstrated "need to know" without referral to the originating agency prior to release provided that:
 - 6.1.1.1 At the initiation of the contract, the SOIC or her/his designee specifies and certifies in writing that disclosure of the specified information does not create an unfair competitive advantage for the contractor or a conflict of interest with the contractor's obligation to protect the information. If, during the course of the contract, the contractor's requirements for information changes to require new or significantly different information, the SOIC or his/her designee shall make a new specification and certification. In cases where the designated official cannot or does not resolve the issue of unfair competitive advantage or conflict of interest, consent of the originator is required;
 - 6.1.1.2 Release is made only to contractors certified by the SOIC (or designee) of the sponsoring organization as performing classified services in support of a national security mission;
 - 6.1.1.3 The contractor has an approved safeguarding capability if retention of the intelligence is required;
 - 6.1.1.4 Contractors are not authorized to disclose further or release intelligence to any of their components or employees or to another contractor (including subcontractors) without the prior written notification and approval of the SOIC or his/her designee unless such

- disclosure or release is authorized in writing at the initiation of the contract as an operational requirement;
- 6.1.1.5 Intelligence released to contractors, all reproductions thereof, and all other material generated based on, or incorporating data therefrom (including authorized reproductions), remain the property of the US Government. Final disposition of intelligence information shall be governed by the sponsoring agency;
- 6.1.1.6 National Intelligence Estimates (NIEs), Special National Intelligence Estimates (SNIEs), and Interagency Intelligence Memoranda may be released to appropriately cleared contractors possessing an appropriate level facility clearance and need-to-know, except as regulated by provisions concerning proprietary information as defined in sections 6.1.1.7 and 9.3, below;
- 6.1.1.7 Except as provided in section 6.3 below, intelligence that bears the control marking "CAUTION-PROPRIETARY INFORMATION INVOLVED" (abbreviated "PROPIN" or "PR") may not be released to contractors, unless prior permission has been obtained from the originator and those providing the intelligence to the originator. Intelligence that bears the control marking, "Dissemination and Extraction of Information Controlled By Originator" (abbreviated "ORCON") may only be released to contractors within Government facilities. These control markings are further described under Sections 9.2 and 9.3, below; and
- 6.1.1.8 Authorized release to foreign nationals or foreign contractors is undertaken through established channels in accordance with sections 7 and 8, and DCID 5/6, Intelligence Disclosure Policy, and the National Policy and Procedures for the Disclosure of Classified Military Information to Foreign Governments and International Organizations (abbreviated title: National Disclosure Policy 1 or NDP 1) to the extent consistent with DCIDs and other DCI guidance.
- 6.2 Policies and Procedures for Contractors Inside Government Owned or Controlled Facilities
 - 6.2.1 Contractors who perform duties inside a Government owned or controlled facility will follow the procedures and policies of that sponsoring Intelligence Community member in accordance with Section 6.1 of this directive
- 6.3 Policies and Procedures for Contractors Outside Government Owned or Controlled Facilities
 - 6.3.1 Contractors who perform duties outside of Government owned or controlled facilities will adhere to the following additional policies and procedures:
 - 6.3.1.1 The SOIC of the sponsoring agency, or her/his designee, is responsible for ensuring that releases to contractors of intelligence marked ORCON and/or PROPIN are made only with the consent of the originating agency pursuant to this Directive and through established channels; (See Sections 9.2 and 9.3);
 - 6.3.1.2 The sponsoring agency shall maintain a record of material released;
 - 6.3.1.3 Contractors shall establish procedures to control all intelligence received, produced, and held by them in accordance with the provisions of the <u>National Industrial Security Program Operating Manual</u>. This will not impose internal receipt and document accountability requirements for internal traceability and audit purposes;
 - 6.3.1.4 All reproductions and extractions of intelligence shall be classified, marked, and controlled in the same manner as the original(s);
 - 6.3.1.5 Sensitive Compartmented Information released to contractors shall be controlled pursuant to the provisions of DCID 1/19, Security Policy for Sensitive Compartmented Information (SCI); and,
 - 6.3.1.6 Sponsoring agencies shall delete any reference to the Central Intelligence Agency, the

phrase "Directorate of Operations" and any of its components, the place acquired, the field number, the source description, and field dissemination from all CIA Directorate of Operations reports passed to contractors, unless prior approval to do otherwise is obtained from CIA.

7.0 Release to Foreign Governments, International Organizations, and Coalition Partners

- 7.1 It is the policy of the DCI that intelligence may be shared with foreign governments, and international organizations or coalition partners consisting of sovereign states to the extent such sharing promotes the interests of the United States, is consistent with US law, does not pose unreasonable risk to US foreign policy or national defense, and is limited to a specific purpose and normally of limited duration. The release of intelligence to such entities is subject to this <u>Directive</u>. <u>DCID 5/6</u>, <u>Intelligence Disclosure Policy</u>, and NDP 1 to the extent consistent with DCIDs and other DCI guidance.
 - 7.1.1 Intelligence Community elements shall restrict the information subject to control markings to the minimum necessary. If it is not possible to prepare the entire report at the collateral, uncaveated level, IC elements shall organize their intelligence reports and products to identify clearly information not authorized for release to foreign entities.
 - 7.2 Intelligence information that bears no specific control marking may be released to foreign governments, international organizations, or coalition partners provided that:
 - 7.2.1 A positive foreign disclosure decision is made by a Designated Intelligence Disclosure Official in accordance with procedures in DCID 5/6;
 - 7.2.2 No reference is made to the originating agency or to the source of the documents on which the released product is based; and,
 - 7.2.3 The source or manner of acquisition of the intelligence (including analytic judgments or techniques), and/or the location where the intelligence was collected (if relevant to protect sources and methods) is not revealed and cannot be deduced in any manner.
 - 7.3 RESTRICTED DATA and FORMERLY RESTRICTED DATA may only be released to foreign governments pursuant to an agreement for cooperation as required by Sections 123 and 144 of Public Law 585, Atomic Energy Act of 1954, as amended.

8.0 Dissemination to Non-Governmental Foreign Nationals or Foreign Contractors

- 8.1 It is the policy of the DCI that no classified intelligence will be shared with foreign nationals, foreign contractors, or international organizations not consisting of sovereign states, except in accordance with the provisions of this Section.
- 8.2 Intelligence, even though it bears no restrictive control markings, will not be released in any form to foreign nationals or immigrant aliens (including those employed by, used by, or integrated into the US Government) without the permission of the originator. In such cases where permission of the originator has been granted, the release must be in accordance with DCID 5/6, and the NDP 1 to the extent consistent with DCIDs and other DCI guidance.
- 8.3 Release of intelligence to a foreign contractor or company under contract to the US Government must be through the foreign government of the country which the contractor is representing, unless otherwise directed in government-to-government agreements or there is an appropriate US channel for release of the information. Provisions concerning release to foreign governments is contained in Section 7.0, above.

9.0 Authorized Control Markings

- 9.1 DCI policy is that the authorized control markings for intelligence information in this Section shall be individually assigned as prescribed by an Original Classification Authority (OCA) or by officials designated by a SOIC and used in conjunction with security classifications and other markings specified by Executive Order 12958 and its implementing directive(s). Unless originator consent is obtained, these markings shall be carried forward to any new format or medium in which the same information is incorporated.
 - 9.1.1 To the maximum extent possible, information assigned an authorized control marking shall not be combined with uncaveated information in such a way as to render the uncaveated information subject to the control marking. To fulfill the requirements of paragraph 9.6.1 below, SOICs shall establish procedures in implementing directives to expedite further dissemination of essential intelligence. Whenever possible, caveated intelligence information reports should include the identity and contact instructions of the organization authorized to approve further dissemination on a case-by-case basis.

9.2 "DISSEMINATION AND EXTRACTION OF INFORMATION CONTROLLED BY ORIGINATOR" (ORCON)

- 9.2.1 This marking(ORCON or abbreviated OC) may be used only on classified intelligence that clearly identifies or would reasonably permit ready identification of intelligence sources or methods that are particularly susceptible to countermeasures that would nullify or measurably reduce their effective--ness. It is used to enable the originator to maintain continuing knowledge and supervision of distribution of the intelligence beyond its original dissemination. This control marking may not be used when access to the intelligence information will reasonably be protected by use of its classification markings, i.e., CONFIDENTIAL, SECRET or TOP SECRET, or by use of any other control markings specified herein or in other DCIDs. Requests for further dissemination of intelligence bearing this marking shall be reviewed in a timely manner.
- 9.2.2 Information bearing this marking may be disseminated within the headquarters ² and specified subordinate elements of recipient organizations, including their contractors within Government facilities. This information may also be incorporated in whole or in part into other briefings or products, provided the briefing or intelligence product is presented or distributed only to original recipients of the information. Dissemination beyond headquarters and specified

- subordinate elements or to agencies other than the original recipients requires advance permission from the originator.
- 9.2.3 Information bearing this marking must not be used in taking investigative or legal action without the advance permission of the originator.
- 9.2.4 As ORCON is the most restrictive marking herein, agencies that originate intelligence will follow the procedures established in the classified DCID 1/7 Supplement, "Guidelines for Use of ORCON Caveat."
- 9.3 "CAUTION-PROPRIETARY INFORMATION INVOLVED" (PROPIN). This marking is used, with or without a security classification, to identify information provided by a commercial firm or private source under an express or implied understanding that the information will be protected as a proprietary trade secret or proprietary data believed to have actual or potential value ³. This marking may be used on government proprietary information only when the government proprietary information can provide a contractor(s) an unfair advantage, such as US Government budget or financial information. Information bearing this marking shall not be disseminated outside the Federal Government in any form without the express permission of the originator of the intelligence and provider of the proprietary information. This marking precludes dissemination to contractors irrespective of their status to, or within, the US Government without the authorization of the originator of the intelligence and provider of the information. This marking shall be abbreviated "PROPIN" or "PR."
- 9.4 "NOT RELEASABLE TO FOREIGN NATIONALS" NOFORN (NF). This marking is used to identify intelligence which an originator has determined falls under the criteria of DCID 5/6, "Intelligence Which May Not Be Disclosed or Released," and may not be provided in any form to foreign governments, international organizations, coalition partners, foreign nationals, or immigrant aliens without originator approval.
- 9.5 "AUTHORIZED FOR RELEASE TO.. (name of country(ies)/international organization)" (REL TO). This control marking is used when a limited exception to the marking requirements in Section 9.4 may be authorized to release the information beyond US recipients. This marking is authorized only when the originator has an intelligence sharing arrangement or relationship with a foreign government approved in accordance with DCI policies and procedures that permits the release of the specific intelligence information to that foreign government, but to no other in any form without originator consent.
- 9.6 Further Dissemination of Intelligence with Authorized Control Marking(s)
 - 9.6.1 This Directive does not restrict an authorized recipient of intelligence at any level from directly contacting the originator of the intelligence to ask for relief from a specific control marking(s) in order to further disseminate intelligence material to additional users for which the authorized original recipient believes there is a valid need-to-know. Authorized recipients are encouraged to seek such further dissemination through normal liaison channels for release to US Government agencies or contractors and through foreign disclosure channels for foreign release, on a case-by-case basis, in order to expedite further dissemination of essential intelligence.
 - 9.6.2 Authorized recipients may obtain information regarding points of contact at agencies that originate intelligence from their local dissemination authorities or from instructions issued periodically by these intelligence producers. Intelligence products often also carry a point of contact name/office and telephone number responsible for the product. If no other information is available, authorized recipients are encouraged to contact the producing agency of the document to identify the official or office authorized to provide relief from authorized control marking(s).
 - 9.6.3 If there are any questions about whom to contact for guidance, recipients are also encouraged

- to contact the Director of Central Intelligence (DCI) representative at the Commander-in-Chief (CINC) Headquarters, overseas mission, trade delegation, or treaty negotiating team under which they operate.
- 9.7 A SOIC may authorize the use of additional security control markings for Sensitive Compartmented Information (SCI), Special Access Program (SAP) information, restricted collateral information, or other classified intelligence information, consistent with policies and procedures contained in DCID 3/29 and this directive. A uniform list of security control markings authorized for dissemination of classified information by components of the Intelligence Community, and the authorized abbreviated forms of such markings, shall be compiled in the central register maintained pursuant to DCID 3/29. The forms of the markings and abbreviations listed in this register shall be the only forms of those markings used for dissemination of classified information by components of the Intelligence Community, unless an exception is specifically authorized by a SOIC.

10.0 Dissemination and Disclosure Under Emergency Conditions

- 10.1 Certain emergency situations ⁴ that involve an imminent threat to life or mission warrant dissemination of intelligence to organizations and individuals not routinely included in such dissemination. When the national command authority (NCA) directs that an emergency situation exists, SOICs will ensure that intelligence support provided to the ongoing operations conforms with this Directive, DCID 5/6, and NDP 1 to the maximum extent practical and consistent with the mission.
 - 10.1.2 Dissemination of intelligence under this provision is authorized only if: (a) an authority designated by the military commander or civilian official determines that adherence to this DCID reasonably is expected to preclude timely dissemi-nation to protect life or mission; (b) disseminations are for limited duration and narrowly limited to persons or entities that need the information within 72 hours to satisfy an imminent emergency need; and (c) there is insufficient time to obtain approval through normal intelligence disclosure channels.
 - 10.1.3 The disclosing authority will report the dissemination through normal disclosure channels within 24 hours of the dissemination, or at the earliest opportunity thereafter as the emergency permits. For purposes of this provision, planning for contingency activities or operations not expected to occur within 72 hours does not constitute "imminent" need that warrants exercise of the emergency waiver to bypass the requirements of this DCID.
 - 10.1.4 Military commanders and/or responsible civilian officials will ensure that written guidelines for emergency dissemination contain provisions for safeguarding disseminated intelligence and notifying producers of disclosures of information necessary to meet mission requirements.
 - 10.1.5 The NCA, and/or major commands or responsible civilian officials will immediately advise intelligence producers when the emergency situation ends.

11.0 Procedures Governing Use of Authorized Control Markings

- 11.1 Any recipient desiring to disseminate intelligence in a manner contrary to the control markings established by this Directive must obtain the advance permission of the agency that originated the intelligence. Such permission applies only to the specific purpose agreed to by the originator and does not automatically apply to all recipients. Producers of intelligence will ensure that prompt consideration is given to recipients' requests with particular attention to reviewing and editing, if necessary, sanitized or paraphrased versions to derive a text suitable for release subject to lesser or no control marking(s).
- 11.2 The control markings authorized above shall be shown on the title page, front cover, and other applicable pages of documents; incorporated in the text of electrical communications; shown on graphics; and associated (in full or abbreviated form) with data stored or processed in automated information systems. The control markings also shall be indicated by parenthetical use of the marking abbreviations at the beginning or end of the appropriate portions in accordance with E.O. 12958.

12.0 Obsolete Restrictions and Control Markings

- 12.1 The following control markings are obsolete and will not be used in accordance with the following guidelines:
 - 12.1.1 WNINTEL and NOCONTRACT. The control markings, Warning Notice Intelligence Sources or Methods Involved (WNINTEL), and NOT RELEASABLE TO CONTRACTORS/CONSULTANTS (abbreviated NOCONTRACT or NC) were rendered obsolete effective 12 April 1995. No permission of the originator is required to release, in accordance with this Directive, material marked WNINTEL. Holders of documents prior to 12 April 1995 bearing the NOCONTRACT marking should apply the policies and procedures contained in Section 6.1 for possible release of such documents.
 - 12.1.2 Remarking of material bearing the WNINTEL, or NOCONTRACT, control marking is not required; however, holders of material bearing these markings may line through or otherwise remove the marking(s) from documents or other material.
 - 12.1.3 Other obsolete markings include: WARNING NOTICE-INTELLIGENCE SOURCES OR METHODS INVOLVED, WARNING NOTICE-SENSITIVE SOURCES AND METHODS INVOLVED, WARNING NOTICE-INTELLIGENCE SOURCES AND METHODS INVOLVED, WARNING NOTICE-SENSITIVE INTELLIGENCE SOURCES AND METHODS INVOLVED, CONTROLLED DISSEM, NSC PARTICIPATING AGENCIES ONLY, INTEL COMPONENTS ONLY, LIMITED, CONTINUED CONTROL, NO DISSEM ABROAD, BACKGROUND USE ONLY, USIB ONLY, NFIB ONLY.
- 12.2 Questions with respect to current applications of all control markings authorized by earlier Directives on the dissemination and control of intelligence and used on documents issued prior to the effective date of this Directive should be referred to the agency or department originating the intelligence so marked.

13.0 Reporting Unauthorized Disclosures

13.1 Violations of the foregoing restrictions and control markings that result in unauthorized disclosure by one agency of the intelligence of another shall be reported to the Director of Central Intelligence through appropriate Intelligence Community channels.

14.0 Responsibilities of SOICs

- 14.1 SOICs shall be responsible for the implementation of internal controls and shall conduct training to ensure that the dissemination and release policies contained in this Directive and the limitations on the use of control markings are followed. SOICs shall assure that agency personnel are accountable for the proper marking of classified information under this Directive and Section 5.6 of EO 12958.
- 14.2 SOICs shall establish challenge procedures by which US consumers may register complaints about the misuse of control markings or the lack of use of tear line reporting or portion marking. Information concerning such challenges shall be provided to the Security Policy Board staff upon request or for the annual review.

15.0 Annual Report on the Use of Control Markings

- 15.1 The Security Policy Board staff shall report to the DCI and Deputy Secretary of Defense on Intelligence Community compliance with this Directive, including recommendations for further policies in this area. The report will include an in-depth evaluation of the use of control markings in intelligence reporting/production, including consumer evaluations and producer perspectives on implementation of the Directive. The report shall also include information and statistics on challenges formally lodged pursuant to agency procedures under section 1.9 of Executive Order 12958 within and among intelligence agencies on the use of control markings, including their adjudication and the number of times the authority in Section 10 was used and the documents provided. In order to inform the Security Policy Board staff of substantive detail in these areas for purposes of this review, Intelligence Community elements shall respond to requests for information from the Security Policy Board staff. Intelligence Community elements may build this program into their Self-Inspection programs under E.O. 12958. The Security Policy Board staff shall also obtain pertinent information on this subject from intelligence consumers as required.
- 15.2 The report required by this Section shall be conducted annually, unless otherwise directed by the DCI. The Staff Director, Security Policy Board shall establish the schedule for the report.

16.0 Interpretation

16.1 Questions concerning the implementa	ition of this policy	y and these proced	ures shall be ref	erred to
the Community Management Staff.				

Signed	by	George	D.	Tenet

30 June 1998

Director of Central Intelligence

Date

Footnotes:

- 1 This Directive supersedes DCID 1/7, dated 12 April 1995
- 2 Recipients will apprise originating agencies as to which components comprise the headquarters element and identify subordinate elements that may be included as direct recipients of intelligence information.
- 3 This provision is a requirement of the Trade Secrets Act, as amended (18 USC 1905). The consent of the originator is required to permit release of material marked CAUTION-PROPRIETARY INFORMATION INVOLVED, PROPIN or PR to other than federal government employees.
- 4 For the purposes of implementing this portion of the DCID, "emergency situation" is defined as one of the following:
 - a. declared Joint Chiefs of Staff (JCS) alert condition of defense emergency, air defense emergency or DEFCON 3;
 - b. hostile action(s) being initiated against the United States or combined US/coalition/friendly forces:
 - c. US persons or facilities being immediately threatened by hostile forces;
 - d. US or combined US/coalition/friendly forces planning for or being deployed to protect or rescue US persons, or US/coalition/friendly forces;
 - e. US civilian operations in response to US or international disasters/catastrophes of sufficient severity to warrant Presidential declared disaster assistance/relief.

Note:

DCID 1/7 must be marked CONFIDENTIAL//NOFORN//X1 when attached to the text of the DCID 1/7 Supplement.

UNCLASSIFIED//FOR OFFICIAL USE ONLY

252.225-7043 ANTITERRORISM/FORCE PROTECTION POLICY FOR DEFENSE CONTRACTORS OUTSIDE THE UNITED STATES (JUN 1998)

(a) Except as provided in paragraph (b) of this clause, the Contractor and its subcontractors, if

performing or traveling outside the United States under this contract, shall-

(1) Affiliate with the Overseas Security Advisory Council, if the Contractor or subcontractor is

a U.S. entity;

(2) Ensure that Contractor and subcontractor personnel who are U.S. nationals and are

in-country on a non-transitory basis, register with the U.S. Embassy, and that Contractor and

subcontractor personnel who are third country nationals comply with any security related

requirements of the Embassy of their nationality;

(3) Provide, to Contractor and subcontractor personnel, antiterrorism/force protection

awareness information commensurate with that which the Department of Defense (DoD)

provides to its military and civilian personnel and their families, to the extent such information

can be made available prior to travel outside the United States; and

(4) Obtain and comply with the most current antiterrorism/force protection guidance for

Contractor and subcontractor personnel.

- (b) The requirements of this clause do not apply to any subcontractor that is-
 - A foreign government;
 - (2) A representative of a foreign government; or
 - (3) A foreign corporation wholly owned by a foreign government.
- (c) Information and guidance pertaining to DoD antiterrorism/force protection can be obtained from

the following office: Naval Criminal Investigative Service (NCIS), Code 24; telephone, DSN

226-9113 or commercial (202) 433-9113.

(End of clause)

CONTRACTOR TEMPEST QUESTIONNAIRE

- 1. The following TEMPEST questionnaire must be completed and sent to the contracting authority and the Certified TEMPEST Technical Authority within 30 days after contract has been awarded to CONTRACTORS who will be processing National Security Information at the SECRET SPECIAL CATEGORY or higher level. This is an information collection questionnaire only. This is not a directive, implied requirement or an encouragement to procure TEMPEST equipment or shielding for use on this contract. DO NOT procure TEMPEST equipment unless specifically directed by the contracting authority.
- a. Please answer the following questions promptly and return the information to the contracting authority and to the Certified TEMPEST Technical Authority listed below:

Department of the Navy Code 723AF SPAWARSYSCEN P. O. Box 190022 North Charleston, SC 29419-9022

- 1. What is the highest classification level of material to be processed/handled by electronic or electromechanical automated information processing equipment?
- 2. What special categories of classified material (Sensitive Compartmented Information, Nuclear Command and Control, Special Access Program, Single Integrated Operational Plan, etc.) are processed?
- 3. What is the approximate percentage of processing time for Top Secret and Special Category information compared to the total processing time?
- 4. Provide the specific location, address and zip code, where the classified processing will be performed.
- 5. Provide facility information, are there other tenants, other tenant's names, type of business (govt., commercial, foreign commercial, foreign govt., etc.).
- 6. Provide the name, address, position title and phone number at the facility where classified processing will occur, a point of contact who is knowledgeable of the processing requirement, the types of equipment to be used and the physical layout of the facility.
- 7. Perishability of Information Processed Identify if the information being processed is of long term value (e.g. strategic) or short term value (e.g. tactical).
- 8. Physical Control Describe the physical/access control over the facility and areas containing the system under review. This includes guards (number, hours of posting, patrols, etc.); badging; control over access to facility; alarms; procedures to monitor/control uncleared or unauthorized personnel including maintenance force, vending personnel, and telephone/power maintainers/installers. Determine the level of authority which exists for the inspection or removal of personnel who could potentially exploit TEMPEST vulnerabilities. Examine the posting of warning signs and the implementation of procedures in effect to exercise control over parking and other areas adjacent to or in close proximity to the facility/system under review.
- 9. TEMPEST Profile of Equipment Provide generic or actual TEMPEST profile information for each equipment/system used to process classified information at the facility. Identify existing on-site TEMPEST test results for the facility including zoning tests.

- b. Is this company foreign owned or controlled? If so what is the country?
- c. Provide contract number and identify sponsoring command.

2. Additional information:

- a. Prime contractors cannot pass TEMPEST requirements to subcontractors. Subcontractors must submit a Contractor TEMPEST Questionnaire prior to processing.
- b. Interim processing for Top Secret Non Special Category and below is allowed once the contractor's TEMPEST Countermeasure Review is received.
- c. TEMPEST Countermeasure Reviews for awarded contracts should be mailed return receipt requested to:

Department of the Navy Code 723AF SPAWARSYSCEN P. O. Box 190022 North Charleston, SC 29419-9022

- d. Provide the local TEMPEST Control Officer with copy of countermeasure results.
- e. For questions concerning the completion of this form contract Mr. Andy Fisher at (803) 974-6785, DSN 563-2030 extension 6785, at SPAWARSYSCEN.

OPERATIONS SECURITY GUIDANCE FOR CONTRACTORS

AUGUST 1993

PREPARED BY:
OPERATIONS SECURITY OFFICE
SAFETY AND SECURITY DEPARTMENT
NAVAL AIR WEAPONS STATION
CHINA LAKE, CALIFORNIA 93555-6001

and Point Mugu, California 93042-5000

ATTACHMENT 5 TO DD FORM 254 OF 4 JUN 2001

OPERATIONS SECURITY GUIDANCE FOR CONTRACTORS

DEFINITION

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Operations security, or OPSEC, is the process of denying adversaries information about friendly (our) capabilities and intentions by identifying, controlling, and protecting indicators associated with planning and conducting military operations and other activities. OPSEC applies and should be emphasized at all levels of management down to the lowest shop and office level. Essentially, OPSEC has two objectives:

- 1. Protecting friendly operations
- 2. Degrading an adversary's war fighting capabilities through denial or control of information essential for planning and decision making.

BACKGROUND

One of the prime objectives of the U.S. intelligence community is the early acquisition of critical information regarding the research, development, testing, and evaluation (RDT&E) of adversarial military weapon systems and associated hardware. Conversely, there is no doubt that this nations potential adversaries are also very interested in our own development of military systems.

- 1. The reason for this mutual interest derives from the basic objectives of military intelligence: to avoid being surprised on the battlefield, while at the same time having the ability to render an adversary helpless through the element of surprise.
- 2. To avoid being surprised on the battlefield, it is of utmost importance to have prior knowledge of weapons the adversary might use, their capabilities, methods of employment and susceptibility to countermeasures and countertactics. It is imperative that this information be acquired as early as possible, thus making the development and initial testing phases of a weapon system a prime target for intelligence collection.

The purpose of this foreign intelligence effort is to determine general developmental trends of future U.S. weaponry, to obtain hard-core parametric data about specific weapons in order to devise countermeasures, and to acquire advanced technology that could possibly reduce developmental time and money associated with a country's own military hardware programs.

With this emphasis, it is easy to understand why our potential adversaries are most interested in the work and results of the Department of Defense and its contractors.

Experience from the early days of Vietnam and the original OPSEC effort (code-named Purple Dragon) demonstrated that something other than the traditional security programs (information, personnel, physical and industrial security) was required to maintain this element of surprise and to deter foreign intelligence collection efforts. This has developed the OPSEC concept which, unlike conventional security programs, focuses on identifying and protecting the specific information needed by an adversary to undermine the effectiveness of a specific operation or weapon system.

OPSEC is not designed to replace traditional security programs. Traditional security programs are aimed at the protection of classified information, while OPSEC is aimed at the protection of indicators, classified or unclassified, that reveal **U.S.** capabilities or intentions.

Evaluations of peacetime and crisis deployments; exercises, reconnaissance, systems acquisition tests, personnel, logistics and security functions; test ranges, laboratories, and other activities, revealed the need to apply OPSEC to RDT&E activities as well as combat operations.

INDICATORS

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Unless an adversary has access to planning actions by means of espionage that exploit classified information, he must depend on intelligence derived from detectable activities.

- 1. Detectable activities include any emission or reflection of energy, any action, or anything that can be easily observed or recorded, and all material available to the public. Detectable activities are defined as activities incident to routine operations that convey information to our adversaries.
- 2. When detectable activities are observed, photographed or "detected" by human or technical means, they may provide our adversaries with sufficient information to reach conclusions approximating classified information about our intentions and capabilities. This enables our adversaries to make effective planning decisions.
- 3. Routinely, detectable activities are harmless; however, when the information revealed is <u>essential</u> to the needs of the adversary, it may compromise our end product and negate our efforts. These harmful detectable activities are known as indicators and observables.
- a. An indicator is any item of information which reflects an intention or capability. Indicators are obtained from documentation such as supply stubs, personnel records, test schedules, test plans, OPSEC plans, required operational capabilities, program introductions, mission statements, test evaluations, etc.
- b. An observable is an activity or anything (such as equipment, technical documents, etc.) that can be observed or photographed by human agents or any of the multidisciplined technical intelligence collection methods such as the interception and analysis of compromising computer emanations, radio and telephone communications, radar emissions, and other intentional and unintentional electronic emissions, as well as technical imaging techniques such as photography, infrared photography, and radar imagery.

PROCESS

OPSEC is the process used in the RDT&E community to maintain the element of surprise regarding the development of U.S. weapons systems. OPSEC, as applied to weapons systems development, is the identification, control and protection of the specific essential information needed by an adversary to develop countermeasures and countertactics, or that which could be crucial in the transfer of technology. The essential information that must be protected need not be classified and is usually viewed as unimportant when examined in isolation.

OPSEC is a systematic process designed to be an integral part of overall planning.

- OPSEC planners must first establish an OPSEC team composed of employees from various areas. The reason for the team approach is that OPSEC analysis requires close coordination between management, security specialists, and subject matter experts.
- 2. The key to the OPSEC concept is the identification of the information that requires protection. This information is called <u>Essential Elements of Friendly [our] information or EEFI</u> and may be corporate proprietary data, classified information, privacy data, For Official Use Only material, or unclassified, but national security-sensitive, information.
- 3. When identifying EEFI, the team should include those items of information which when put together, would give either a piece or all of the essential information. This step is necessary because an adversary, like a puzzle enthusiast, does not need all the pieces to accurately guess what the picture is.
- 4. Next the team must identify the threat to that information by creating a composite profile of their adversary's intelligence collection capabilities.
- 5. Chronologically identifying all activities involving the essential information is the next step. All activity, including supporting activities that might reveal essential information, must be reviewed. It is important to ensure the sequence of events is exactly how the operation really works rather, than how management plans for it to work.
- Each event in which sensitive information appears is an opportunity for an adversary to exploit, and is considered an OPSEC vulnerability.
- 7. It is imperative to assume the adversary's point of view during the OPSEC process; in order to know what our adversaries see, we must look at our operations with their eyes. Additionally, from a fiscal point of view, if an adversary cannot exploit a vulnerability because of the limitations in his intelligence collection capabilities, then no countermeasures are required. On the other hand, if the adversary has the capability to exploit a vulnerability, then countermeasures are warranted.
- 8. Finally, the OPSEC team should prioritize the vulnerabilities from the most to the least serious. Then the team can select countermeasures most effectively, using such factors as cost, ease of implementation, and number of vulnerabilities reduced.
- 9. Two concepts the OPSEC team should consider when developing countermeasures are:
 - Vulnerabilities can often be minimized but rarely eliminated
- b. The objective of the OPSEC program is to make collection sufficiently difficult to persuade the adversary to collect information somewhere else.

SPECIAL CONSIDERATIONS

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Normally, contractors activities do not in and of themselves, generate a great deal of sensitive information or EEFI; however, contractor facilities, equipment and employees are used to store, transmit and process classified information, unclassified but national security-sensitive information, and EEFI which was generated outside their facilities.

Contractor activities usually have little intrinsic intelligence value until associated with a specific weapon system or activity. Unclassified, non-proprietary, For Official Use only, and privacy data are not generally national security-related issues; however, this type of information, when merged with information pertaining to specific weapons or weapons systems, may become sensitive or even classified. Therefore, it is incumbent upon all contractors to ascertain the sensitivity of information before introducing the information into their facilities.

Operations and activities can be roughly divided into two categories with respect to OPSEC; work performed inside workspaces and work performed outside workspaces.

1. <u>Inside</u> workspaces OPSEC is covered by traditional security programs. Protecting information whether contained in computers, on written documents, or in communications networks is a matter of complying with information, personnel, and physical security procedures. The essence of OPSEC inside work spaces is identifying the information you need to protect, establishing minimum procedures for protecting that information, and communicating this to employees.

The sensitivity of information received by (as opposed to generated by) the facility from external sources must be determined and appropriately communicated to employees at the time this information is received at the facility.

- 2. Whenever work is performed <u>outside</u> workspaces, or whenever EEFI is released from <u>your</u> workspaces (to other workspaces in or out of your facility) for whatever reason, an OPSEC determination is necessary: will the activities unnecessarily expose sensitive information, and what can be done to counter this exposure? This analysis must then be documented in the form of an OPSEC plan. The OPSEC plan must address five issues:
 - a. The activity that involves the sensitive information
 - b. The sensitive information that might be exposed
 - c. The threat to that information

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- d. Where the information is vulnerable or what is it about the activity that exposes this information
- e. What countermeasures can be applied to reduce or eliminate these vulnerabilities.

"FOR OFFICIAL USE ONLY" INFORMATION

The "For Official Use Only" (FOUO) marking is assigned to information at the time of its creation in a DoD User Agency. It is not authorized as a substitute for a security classification marking but is used on official government information that may be withheld from the public under exemptions 2 through 9 of the Freedom of Information Act.

Other non-security markings, such as "Limited Official Use" and "Official Use Only" are used by non-DoD User Agencies for the same type of information and should be safeguarded and handled in accordance with instruction received from such agencies.

Use of the above markings does not mean that the information cannot be released to the public, only that it must be reviewed by the Government prior to its release to determine whether a significant and legitimate government purpose is served by withholding the information or portions to it.

Identification Markings. An unclassified document containing FOUO information will be marked "For Official Use Only" at the bottom of the front cover (if any), on the first page, on each page containing FOUO information, on the back page, and on the outside of the back cover (if any). No portion markings will be shown. Within a classified document, an individual page contains both FOUO and classified information will be marked at the top and bottom with the highest security classification of information appearing on the page. If an individual portion contains FOUO information but no classified information, the portion will be marked, "FOUO."

Removal of the "For Official Use Only" marking can only be accomplished by the originator or other competent authority. When the "For Official Use Only" status is terminated, all known holders will be notified to the extent practical.

Dissemination. Contractors may disseminate "For Official Use Only" information to their employees and subcontractors who have a need for the information in connection with a classified contract.

Storage. During working hours, "For Official Use Only" information shall be placed in an out-of-sight location if the work area is accessible to persons who do not have a need for the information. During nonworking hours, the information shall be stored to preclude unauthorized access. Filing such material with other unclassified records in unlocked files or desks, is adequate when internal building security is provided during nonworking hours. When such internal security control is not exercised, locked buildings or rooms will provide adequate after-hours protection or the material can be stored in locked receptacles such as file cabinets, desks, or bookcases.

Transmission. "For Official Use Only" information may be sent via first-class mail or parcel post. Bulky shipments may be sent by fourth-class mail.

Disposition. When no longer needed, FOUO information may be disposed of by tearing each copy into pieces to preclude reconstructing, and placing it in a regular trash container or as directed by the User Agency.

Unauthorized Disclosure. Unauthorized disclosure of "For Official Use Only" information does not constitute a security violation but the releasing agency should be informed of any unauthorized disclosure. The unauthorized disclosure of FOUO information protected by the Privacy Act may result in criminal sanctions.

ATTACHMENT # 6 TO DD-254

MENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT			1. CONTRACT		PAGE OF PAGE		
AMENDMENT/MODIFICATION NO. 3. EFFECTIVE DATE 4. REQUISITION/PURG			ION/PURCHA	SE REQ. NO.	F 5. PROJECT	1 a NO. (if applicable)	
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B. NAME AND ADDRESS OF CONTRACT		county State and	(ZIP Code)	(a)	9A AMEND	MENT OF SOL	ICITATION NO.
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				×	10A. MODIFI	CATION OF C	ONTRACT/ORDER N
				^	·	N68936-00	-D-0055
					10B. DATED	(SEE ITEM 13	•
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Offers must acknowledge receipt of this an	nendment prior t	o the hour and dat	e specified in	the solicitation	n or as amende	d, by one of the	e following methods:
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N68936-00-D-0055 Mod No. P00008 Page 2 of 2

The purpose of this modification is to incorporate the award fee determination of into the contract to reflect fee earned for contract performance from 30 December 00 through 06 April 01. Full payment of award fee for the 2nd quarter is hereby authorized

a. Accounting and appropriation Data:

The funding applied to the contract is for the purpose of paying Award Fee for QTR 2. (Excluding Award Fee for Task Orders 0032, 0506, 0517, 0523 and 0524) wherein payment will be made on the individual task orders.

AB 97X4930.NH2C 000 77777 0 068936 2F 000000 00 1206AA1L 00

JON: R7D00MCAWD0 Funds Type: NWCF Work Completion Date: 9/30/01

Requisition Number: N60530-1206-AA1L

FROM: BY: TO:

b. A voucher in the amount of may be processed for the payment of Qtr 2 Award Fee.

c. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforeto changed, remain unchanged and in full force and effect.

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STANDARD FORM 30 (REV. 10-83) Prescribed by GSA FAR (48 CFR) 53.243

N68936-00-D-0055 Mod No. P00009 Page 2 of 2

The purpose of this modification is to incorporate the 3rd Quarter Award Fee Determination of into the contract to reflect fee earned for contract performance from 06 April 2001 through 29 June 2001. Payment of non-AAS award fee in the amount of for the 3rd quarter is hereby authorized.

a. Accounting and appropriation Data:

The funding applied to the contract is for the purpose of paying award fee for QTR 3. (Excluding award fee for AAS Task Orders 0032, 0506, 0517, 0523, 0524, 0530, and 0533) wherein payment will be made on the individual task orders.

AB 97X4930.NH2C 000 77777 0 068936 2F 000000 00 1206AA1L 00

JON: R7D00MCAWD01 Funds Type: NWCF

Work Completion Date: 9/30/01

Requisition Number: N60530-1206-AA1L

FROM:

BY:

TO:

b. A voucher in the amount of Award Fee.

may be processed for the payment of Qtr 3

c. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforeto changed, remain unchanged and in full force and effect.

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C. THIS SUPPLEMENTAL AGREEM	ENT IS ENTERE	D INTO PURSU	ANT TO AUTHOR	RITY OF:					
D. OTHER (Specify type of modificat	ion and authority)							
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STANDARD FORM 30 (REV. 10-83) Prescribed by GSA FAR (48 CFR) 53.243

N68936-00-D-0055 Mod No. P00010 Page 2 of 2

The purpose of this modification is to incorporate the 4th Quarter Award Fee Determination of into the contract to reflect fee earned for contract performance from 29 June 2001 through 30 Sept. 2001. Payment of non-AAS award fee in the amount of for the 4th quarter is hereby authorized.

a. Accounting and appropriation Data:

The funding applied to the contract is for the purpose of paying award fee for QTR 4. (Excluding award fee for AAS Task Orders 0032, 0052, 0506, 0517, 0523, 0524, 0530, 0533, and 0535) wherein payment will be made on the individual task orders.

AB 97X4930.NH2C 000 77777 0 068936 2F 000000 00 1206AA1L 00

JON: R7D00MCAWD01 Funds Type: NWCF Funds Expiration Date: 9/30/02

Requisition Number: N60530-1206-AA1L

FROM: BY: TO:

b. A voucher in the amount of Award Fee.

may be processed for the payment of Qtr 4

c. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforeto changed, remain unchanged and in full force and effect.

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(a) By completing Items 8 and 15, and return offer submitted; or (c) By separate letter or te ACKNOWLEDGEMENT TO BE RECEIVED A SPECIFIED MAY RESULT IN REJECTION Change may be made by telegram or letter, p the opening hour and date specified.	legram which in AT THE PLACE OF YOUR OFFE	ncludes a referer EDESIGNATED ER. If by virtue o	ice to the solicitation FOR THE RECEIF I this amendment	on and ame PT OF OFF vou desire t	endment numb ERS PRIOR To co change an o	oers, FAILURE TO THE HOUR offer already su	OF YOUR AND DATE pmitted_such
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D. OTHER (Specify type of modification	and authority)						
E. IMPORTANT:Contractor X is not,	is requ	ired to sign thi	s document and	return	copie	es to the issuit	ng office.
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15B. CONTRACTOR/OFFEROR		15C. DATE SIG	7	ED STATE	S OF AMERIC	DA /	16C. DATE SIGNED
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N68936-00-D-0055 Mod No. P00011 Page 2 of 2

The purpose of this modification is to incorporate the 5th Quarter Award Fee Determination of into the contract to reflect fee earned for contract performance from 01 Oct 2001 through 28 Dec 2001. Payment of non-AAS award fee in the amount of for the 5th quarter is hereby authorized.

a. Accounting and appropriation Data:

The funding applied to the contract is for the purpose of paying award fee for QTR 5. (Excluding award fee for AAS Task Orders 0052, 0523, 0524, 0530, 0534, and 0535) wherein payment will be made on the individual task orders.

AB 97X4930.NH2C 000 77777 0 068936 2F 000000 00 1206AA1L 00

JON: R7D00MCAWD01 Funds Type: NWCF

Requisition Number: N60530-1206-AA1L

FROM: BY:

b. A voucher in the amount of a may be processed for the payment of Qtr 5 Award Fee.

TO:

c. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforeto changed, remain unchanged and in full force and effect.

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cept as provided herein, all terms and conditions of the document referenced in Itom 9A or 10A, as herelatore changed, remains unchanged and in full force and effect.	
5A. NAME AND TITLE OF SIGNER (Type or Print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type	voe or Print)
N. DALE GATES, GENERAL MANAGER MARTHA E. ALDRIDGE CONTRACTING OFF	
5B. ODJURACTORUM EROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA . 16C. D	C. DATE SIGNED
Total of the Aldridge of	
(Signature of person authorized to sign)	7.25.0

NSN 7540-01-152-8070 PREVIOUS EDITION UNUSABLE

STANDARD FORM 30 (REV. 10-83) Prescribed by GSA FAR (48 CFR) 53.243

N68936-00-D-0055 Mod No. P00012 Page 2 of 2

The purpose of this modification is to deobligate \$1,000,000.00 from the Basic Contract Accounting and Appropriation Data ACRN AA.

a. The following Accounting and appropriation Data is hereby **DECREASED**:

AA 97X4930.NH2C 000 77777 0 068936 2F 000000 00 9102AA1L 00

JON: R7D00MC12300 Funds Type: NWCF

Requisition Number: N60530-9102-AA1L

FROM: \$1,000,000.00 BY: (\$1,000,000.00) TO: \$0.00

b. Except as provided herein, all terms and conditions of the document referenced in item 9A or 10A, as hereforeto changed, remain unchanged and in full force and effect.

FOIA Electronic Reading Room Document Coversheet

Document Description: N68936-00-D-0055 Modifications P00013 through P00014
This document has been released in its entirety.
Portions of this document have been excised pursuant to the Freedom of Information Act. The applicable portion(s) excised and the exemption(s) applied are below indicated.
Exemption (b)(1) Information excised is properly and currently classified in the interest of national defense or foreign policy
Exemption (b)(2) Information excised is related solely to the internal rules and practices of the Agency.
Exemption (b)(3) Information excised is specifically exempt from disclosure by an Executive Order or Statute. Specifically:
Exemption (b)(4) Information excised is commercial or financial information received from outside the Government and is likely to cause substantial harm to the competitive position of the source providing the information.
Exemption (b)(5) Information excised is internal advice, recommendations, or subjective evaluations pertaining to the decision-making process of the Agency.
Exemption (b)(6) Information excised is certain individual names and personal identifiers and is excised for heightened interest in the personal privacy of Department of Defense personnel that is concurrent with the increased security awareness demands.
Exemption (b) (7) Information excised is investigatory records or information compiled for law enforcement purposes
Exemption (b)(8) Information excised is records for the use of any agency responsible for the regulation or supervision of financial institutions
Exemption (6)(9) Information excised is records containing geological and geophysical information (including maps) concerning wells.

Please direct inquiries regarding this document to:
Naval Air Warfare Center Weapons Division
Code K00000D (FOIA)
1 Administration Circle
China Lake, CA 93555-6100.

AMENDMENT OF SOLICITA	TION/MODIFICA	TION OF CONTRACT	L CONTR	ACT ID CODE	PAGE OF	
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P00013		4. REQUISITION/PURCHASE REQ	NO.	5. PROJECT NO	J.(11 applie:	able)
	25-Jul-2002	N60530-9102-AA1L		L		
6. ISSUED BY COD CDR NAWCWD CODE 210000D	N68936	7. ADMINISTERED BY (If other th:	n tem 6)	CODE		
ATTN. G. CLINE		See Item 6				
(760)939-8901 1 ADMIN CIR, BLDG 982						
CHINA LAKE CA 93555-6100						
8. NAME AND ADDRESS OF CONTRACTOR (No.,	Street, County, State and Z	ip Code)	9A. AMENI	DMENT OF SOLI	CITATION	I NO.
SVERDRUP TECHNOLOGY INC		<u> </u>				
ROGER STARR 600 WILLIAM NORTHERN BLVD			9B. DATED	(SEE ITEM 11)		
P O BOX 884		$\frac{1}{x}$	I0A. MOD.	OF CONTRACT/ -D-0055	ORDER N	O.
TULLAHOMA TN 37388						
CODE 07486	Excit envioone o	7406		D (SEE ITEM 13)	
		7486 X ES TO AMENDMENTS OF SOLICITAT	18-Sep-200	J1		
The above numbered solicitation is amended as set forth in Her						
			is extended.	is not extend	ica.	
Offer must acknowledge receipt of this amendment prior to the (a) By completing items 8 and 15, and returning		nicitation of as amended by one of the following ma acknowledging receipt of this amendment on each		er submitted:		
or (e) By separate letter or telegram which includes a reference	to the solicitation and amendmen	nt numbers. FAILURE OF YOUR ACKNOWLED	GMENT TO B			
RECEIVED AT THE PLACE DESIGNATED FOR THE REC REJECTION OF YOUR OFFER. If by virue of this amendm						
provided each telegram or letter makes reference to the solicite	tion and this amendment, and is r	eccived prior to the opening hour and date specified	egram or rener, l.			
12. ACCOUNTING AND APPROPRIATION DATA (If required)					
See Schedule						
		DDIFICATIONS OF CONTRACTS/ORD RDER NO. AS DESCRIBED IN ITEM 14				
A.THIS CHANGE ORDER IS ISSUED PURSUAN				DE IN THE		
CONTRACT ORDER NO. IN ITEM 10A.						
B.THE ABOVE NUMBERED CONTRACT/ORDE office, appropriation date, etc.) SET FORTH IN I	R IS MODIFIED TO REFL TEM 14, PURSUANT TO	ECT THE ADMINISTRATIVE CHANG THE AUTHORITY OF FAR 43,103(B).	ES (such as	changes in paying		
C.THIS SUPPLEMENTAL AGREEMENT IS ENTI						
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Implied Authority of the Contracting Officer and		th Parties				
E. IMPORTANT: Contractor X is not,	is required to sign this o	· '	es to the issu	-		
14. DESCRIPTION OF AMENDMENT/MODIFICATI where feasible.) See Page 2	ON (Organized by UCF se	ction headings, including solicitation/cont	ract subject i	natter		
Except as provided herein, all terms and conditions of the document	referenced in Itom 81A or 10A ac-	barratafara abana ad espaino no dono ad anti in 6 H	C	_		
15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTRAC			nt)	
		MARTHA E ALDRIDGE / PROCURIN				
15B. CONTRACTOR/OFFEROR	I5C, DATE SIGNED	16B. UNITED STATES OF AMERICA			. DATE SI	GNED
		BY COMPLETE AND COM	to an entire		5-Jul-2002	
(Signature of person authorized to sign)		(Signature of Contracting Officer)		20	, JUI-ZUUZ	

EXCEPTION TO SF 30 APPROVED BY OIRM 11-84

30-105-04

STANDARD FORM 30 (Rev. 10-83) Prescribed by GSA FAR (48 CFR) 53,243

SUMMARY OF CHANGES

In Accordance with Contract Clause B-NSTD-01 Level of Effort (COST PLUS AWARD FEE) (JAN 1992) (NAVSUP), this contract modification incorporates the 6th Quarter Award Fee Determination into the contract; awarding fee earned for performance from 29 Dec 2001 through 05 April 2001. The contractor has earned in award fee for the 6th Quarter. Payment of non-AAS award fee in the amount of is hereby authorized. Authorization to pay the remaining award fee due on AAS task orders: 0069, 0082, 0524, 0530, and 0534 will be provided by separate modification of each of these AAS task orders.

A voucher in the amount of!

may be processed for the payment of Quarter 6

Award Fee.

Changes in Section G

Summary for the Payment Office

As a result of this modification, the total funded amount of the contract is increased:

From:

By:

To

SUB-CLIN 000201

AB: 97X4930 NH2C 000 77777 0 068936 2F 000000 001206AA1L00

JON:R7D00MCAWD01

Requisition Number: N60530-1206-AA1L

is increased:

From:

By:

To: .

Payment of non-AAS award fee in the amount of

is hereby authorized.

AMENDMENT OF SOLICITAT	'ION/MODIFICA'	TION OF CONTRACT		L CONTRAC	.T ID CODE	PAGE OF PAGES
MADE AND A SOCIETAL	TOMMODIFICA	HOWOF CONTRACT				1 3
2. AMENDMENT/MODIFICATION NO.	3. EFFECTIVE DATE	4. REQUISITION/PURCHASE R	REQ. NO	O.	5. PROJECT NO	D.(If applicable)
P00014	30-Sep-2002	N60530-9102-AA1L				
6. ISSUED BY CODE	N68936	7. ADMINISTERED BY (If other	er than it	tem 6)	CODE	
CDR NAWCWD CODE 210000D ATTN: G. CLINE				,		
(760)939-8109		See Item 6				
1 ADMIN CIR, BLDG 982						
CHINA LAKE CA 93555-6100						
8. NAME AND ADDRESS OF CONTRACTOR (No.,	Street, County, State and Z	ip Code)	9A	. AMEND	MENT OF SOLI	CITATION NO.
SVERDRUP TECHNOLOGY INC ROGER STARR		}	QB.	DATED	SEE ITEM 11)	
600 WILLIAM NORTHERN BLVD			/6.	· DATED (SELTITION (I)	
P O BOX 884 TULLAHOMA TN 37388			x 10/	A. MOD. C	DF CONTRACT/ D-0055	ORDER NO.
TOLLAROWA IN 37308		-				
CODE 07486	FACILITY CODE 07	7486	1	в. DA I ED -Oct-2002	(SEE ITEM 13)	1
		ES TO AMENDMENTS OF SOLICIT	. 101		-	<u></u>
The above numbered solicitation is amended as set forth in Item		***************************************		stended,		
Offer must acknowledge receipt of this amendment prior to the					is not extend	ed.
(a) By completing Items 8 and 15, and returning	nour and date specified in the so copies of the amendment; (b) By	acknowledging receipt of this amendment on	ng metho each con	os: v of the offer	submitted:	
or (c) By separate letter or telegram which includes a reference	to the solicitation and amendmen	nt numbers. FAILURE OF YOUR ACKNOW	/LEDGM	ENT TO BE		
RECEIVED AT THE PLACE DESIGNATED FOR THE RECE REJECTION OF YOUR OFFER. If by virtue of this amendme	EIPT OF OFFERS PRIOR TO T	HE HOUR AND DATE SPECIFIED MAY RI	ESULT I	N		
provided each telegram or letter makes reference to the solicitate	ion and this amendment, and is r	eceived prior to the opening hour and date spe	oy telegra ceified.	un or ieuer,		
12. ACCOUNTING AND APPROPRIATION DATA (If	`required)					
See Schedule						
13. THIS ITEM	APPLIES ONLY TO MO	DIFICATIONS OF CONTRACTS/O	RDERS	3.		
		DER NO. AS DESCRIBED IN ITEM				
A.THIS CHANGE ORDER IS ISSUED PURSUANT CONTRACT ORDER NO. IN ITEM 10A.	TO: (Specify authority) T	HE CHANGES SET FORTH IN ITE	M 14 A	RE MADE	E IN THE	
CONTRACT ORDER NO. IN ITEM TOA.						
X B.THE ABOVE NUMBERED CONTRACT/ORDER	IS MODIFIED TO REFL	ECT THE ADMINISTRATIVE CHA	NGES	(such as ch	anges in paying	
office, appropriation date, etc.) SET FORTH IN IT C.THIS SUPPLEMENTAL AGREEMENT IS ENTER			B).			
C. THIS SUTTLEMENTAL AGREEMENT IS ENTER	RED INTO PURSUANT I	O AUTHORITY OF:				
D.OTHER (Specify type of modification and authority	′)					
E. IMPORTANT: Contractor X is not,	is required to sign this d	ocument and return	copies 1	to the issui	ng office.	
14. DESCRIPTION OF AMENDMENT/MODIFICATION	ON (Organized by UCF see	etion headings, including solicitation/c	contract	subject ma	tter	
where feasible,)						
The purpose of this modification is to Authorize the	e 7th Quarter Award Fee	•				
Except as provided herein, all terms and conditions of the document of	eteronout in Item 9A or 10A as	haratefore charact ramping motorcast and in	m 6.0 C.m.	sa and affine		
15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONTI			ER (Type or prin	n)
		MARTHA E ALDRIDGE / PROCU				
15B. CONTRACTOR/OFFEROR 15		6B. UNITED STATES OF AMERIC	ľΑ		16C	. DATE SIGNED
		اد المنصور (العند العربي المواجعة المناهمية (BY	i de la compa	(انوانه ۱	<u></u>	0.000
(Signature of person authorized to sign)		(Signature of Contracting Office			30	-Sep-2002
EVCERTION TO SE 20		·				

SUMMARY OF CHANGES

In Accordance with Contract Clause B-NSTD-01 Level of Effort (COST PLUS AWARD FEE) (JAN 1992) (NAVSUP), this contract modification incorporates the 7th Quarter Award Fee Determination into the contract; awarding fee earned for performance from 05 April 2002 through 28 June 2002. The contractor has earned in award fee for the 7th Quarter. Payment if non-AAS award fee in the amount of hereby authorized. Authorization to pay the remaining award fee due on AAS task orders: 0069, 0082, 0524 and 0534 will be provided by separate modification of each of these AAS task Orders.

A voucher in the amount of

may be processed for the payment of Quarter 7 Award Fee.

Changes in Section G

Summary for the Payment Office

As a result of this modification, the total funded amount of the contract is increased: To:

From:

By:

SUB-CLIN:000201

AB: 97X4930 NH2C 000 77777 0 068936 2F 000000 001206AA1L00 JON R7D00MCAWD01 Requisition Number N60530-1206-AA1L

is increased:

From:

By:

Payment of non-AAS award fee in the amount of.

is hereby authorized.

AMENDMENT OF SOLICITAT	ION/MODIFICA	TION OF CONTRACT	E CONTRA	ACT ID CODE	PAGE OF PAGES
2. AMENDMENT/MODIFICATION NO. P00015	3. EFFECTIVE DATE	4. REQUISITION/PURCHASE REQ	O.(If applicable)		
	01-Oct-2002	N60530-9102-AA1L			
6. ISSUED BY CODE GDR NAWCWD CODE 210000D	N68936	7. ADMINISTERED BY (If other th	in item 6)	CODE	
ATTN: G. CLINE (760)939-8901 1 ADMIN CIR, BLDG 982 CHINA LAKE CA 93555-6100		See Item 6			
8. NAME AND ADDRESS OF CONTRACTOR (No., S	treet. County. State and 2	(in Code)	9A. AMENI	DMENT OF SOI	ICITATION NO.
SVERDRUP TECHNOLOGY INC	week county, since and is	Lip Code)			sierminon no.
ROGER STARR			9B. DATED	(SEE ITEM 11)	
600 WILLIAM NORTHERN BLVD P O BOX 884			104 11015	OF CONTRACT	E CONTRACT
TULLAHOMA TN 37388		X	N68936-00	OF CONTRAC* -D-0055	I/ORDER NO.
			10B. DATE	D (SEE ITEM 1	3)
CODE 07486	FACILITY CODE 0	7486 X	01-Oct-200	2	
I1, TE	HS ITEM ONLY APPLI	ES TO AMENDMENTS OF SOLICITAT	IONS		
The above numbered solicitation is amended as set forth in Item 1	4. The hour and date specified	for receipt of Offer	is extended,	is not exte	nded.
Offer must acknowledge receipt of this amendment prior to the h					
(a) By completing Items 8 and 15, and returning equation (b)	opies of the amendment; (b) By	acknowledging receipt of this amendment on each	copy of the offe	er submitted;	
or (c) By separate letter or telegram which includes a reference to RECEIVED AT THE PLACE DESIGNATED FOR THE RECEI	o the solicitation and amendment PT OF OFFERS PRIOR TO T	ot numbers. FAILURE OF YOUR ACKNOWLEI HE HOUR AND DATE SPECIFIED MAY RESU	GMENT TO B I T IN	E	
REJECTION OF YOUR OFFER. If by virtue of this amendment	you desire to change an offer	already submitted, such change may be made by te	legram or fetter.		
provided each telegram or letter makes reference to the solicitation	on and this amendment, and is r	received prior to the opening hour and date specific	d.		
12. ACCOUNTING AND APPROPRIATION DATA (If	required)				
		DIFICATIONS OF CONTRACTS/ORD DER NO. AS DESCRIBED IN ITEM 14			· · · · · · · · · · · · · · · · · · ·
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X B.THE ABOVE NUMBERED CONTRACT/ORDER office, appropriation date, etc.) SET FORTH IN ITE	IS MODIFIED TO KEFL EM 14. PURSUANT TO	ECT THE ADMINISTRATIVE CHANG THE AUTHORITY OF FAR 43 103(B)	ES (such as c	hanges in paying	3
C.THIS SUPPLEMENTAL AGREEMENT IS ENTER					
D.OTHER (Specify type of modification and authority)					
E. IMPORTANT: Contractor X is not,	is required to sign this d		ies to the issu	-	
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15B. CONTRACTOR/OFFEROR 156		MARTHA E ALDRIÐGE / PROCURIN 16B. UNITED STATES OF AMERICA	G CONTRA		
			e7		C. DATE SIGNED
(Signatura of manual distriction)	ļ		ಗ್∨ ಒ⊺ಆ	<u></u>)1-Oct-2002
(Signature of person authorized to sign)		(Signature of Contracting Officer)			

SUMMARY OF CHANGES

Changes in Section G

Summary for the Payment Office

The total funded amount of the contract remains unchanged.

Changes in Section H

The following clause which is incorporated by full text has been added:

This clause implements NAVAIR's Wide Area Work Flow Pilot Program. Currently, this clause applies to payment of Task Order 512 only. In the event that the pilot program is broadened, the Contractor will receive direction through a duly authorized contract modification.

H-11 Electronic Submission of Payment Requests

- (a) Definitions. As used in this clause—
 - (1) "Claim for payment" means an invoice or any other demand or request for payment under a Department of Defense contract.
 - (2) "Electronic form" means an automated system that transmits information electronically from the initiating system to all affected systems without any manual manipulation of data after the initial input.
 - (3) "Contract financing payment" and "invoice payment" have the meanings given in section 32.001 of the Federal Acquisition Regulation.
 - (4) "Payment request" means any request for contract financing payment or invoice payment submitted by the Contractor under this contract.
 - (b) All claims for payments submitted by the Contractor under this contract shall be made in electronic form.
 - (c) The Contractor shall submit payment requests by using the following electronic form:
 - (1) Wide Area WorkFlow Receipts and Acceptance (WAWF-RA). Information regarding WAWF-RA is available on the Internet at https://rmb.ogden.disa.mil.
 - (2) The Contractor will ensure the following information is reflected in the associated blocks within WAWF-RA when creating a Claim for Payment
 - A) Issue Date –August 7, 2000
 - B) Issued DODAAC N68936
 - C) Admin DODAAC N68936
 - D) Ship To Code N68936
 - E) Local Processing Office (LPO) DODAAC N68936
 - F) Pay DODAAC <u>N68688</u>

If, after contract award, the Contractor is unable to submit a payment request in electronic form, or the Government is unable to receive a payment request in electronic form, the Contractor shall submit the payment request using a method mutually agreed to by the Contractor and the Contracting Officer.

In addition to the requirements of this clause, the Contractor shall meet the requirements of the appropriate payment clauses in this contract when submitting payment requests.

FOIA Electronic Reading Room Document Coversheet

Document Description: N68936-00-D-0055 Modifications P00016 through P00017
This document has been released in its entirety.
Portions of this document have been excised pursuant to the Freedom of Information Act. The applicable portion(s) excised and the exemption(s) applied are below indicated.
Exemption (b)(1) Information excised is properly and currently classified in the interest of national defense or foreign policy
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Exemption (b)(8) Information excised is records for the use of any agency responsible for the regulation or supervision of financial institutions
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Please direct inquiries regarding this document to:
Naval Air Warfare Center Weapons Division
Code K00000D (FOIA)
1 Administration Circle
China Lake, CA 93555-6100.

AMENDMENT OF SOLICITAT	ION/MODIFICAT	ION OF CONTRACT	I. CONTR.	ACT ID CODE	PAGE OF PAGES
2. AMENDATESTATORIES ATTON NO	A CELEBRATER IN LAND				1 2
2. AMENDMENT/MODIFICATION NO. P00016	3. EFFECTIVE DATE	4. REQUISITION/PURCHASE REC). NO.	5. PROJECT 1	NO.(If applicable)
	03-Oct-2002	N60530-9102-AA1L			
6. ISSUED BY CODE COR NAWCWD CODE 210000D	N68936	7. ADMINISTERED BY (If other th	an item 6)	CODE	
ATTN: G. CLINE		See Item 6			
(760)939-8901 1 ADMIN CIR, BLDG 982					
CHINA LAKE CA 93555-6100					
8. NAME AND ADDRESS OF CONTRACTOR (No., S	Street, County, State and Zi	n Code)	9A. AMENI	DMENT OF SO	LICITATION NO.
SVERDRUP TECHNOLOGY INC	•				
ROGER STARR			9B. DATED	(SEE ITEM 11)
600 WILLIAM NORTHERN BLVD P O BOX 884			104 MOD	Of CONTRAC	TOBLEDNO
TULLAHOMA TN 37388		X	N68936-00	OF CONTRAC -D-0055	I/ORDER NO.
			10B. DATE	D (SEE ITEM I	3)
CODE 07486		486 X	03-Oct-200	2	
		S TO AMENDMENTS OF SOLICITA	TIONS		
The above numbered solicitation is amended as set forth in Item			is extended.	is not exte	inded.
Offer must acknowledge receipt of this amendment prior to the I (a) By completing Items 8 and 15, and returning c	nour and date specified in the soli	icitation or as amended by one of the following a	nethods;		
or (c) By separate letter or telegram which includes a reference t	opies of the amendment; (b) By a o the solicitation and amendment	icknowledging receipt of this amendment on each	n copy of the off	er submitted; u	
RECEIVED AT THE PLACE DESIGNATED FOR THE RECE	IPT OF OFFERS PRIOR TO TH	E HOUR AND DATE SPECIFIED MAY RESU	ILT IN		
REJECTION OF YOUR OFFER. If by virtue of this amendmen provided each telegram or letter makes reference to the solicitation	it you desire to change an offer al	fready submitted, such change may be made by t	elegram or letter.		
12. ACCOUNTING AND APPROPRIATION DATA (IF		ecored prior to the opening road and tale specifi	cu.		
See Schedule	required)				
	APPLIES ONLY TO MOI	DIFICATIONS OF CONTRACTS/ORD	EDC		
		DER NO. AS DESCRIBED IN ITEM 14			
A.THIS CHANGE ORDER IS ISSUED PURSUANT	TO: (Specify authority) The	IE CHANGES SET FORTH IN ITEM	14 ARE MAD	E IN THE	
CONTRACT ORDER NO. IN ITEM 10A.					
X B.THE ABOVE NUMBERED CONTRACT/ORDER	IS MODIFIED TO REFLE	CT THE ADMINISTRATIVE CHANG	iFS (such as a	hanges in pavin	·
office, appropriation date, etc.) SET FORTH IN IT	EM 14, PURSUANT TO T	HE AUTHORITY OF FAR 43,103(B).		gus puj	<u>-</u>
C.THIS SUPPLEMENTAL AGREEMENT IS ENTER	ED INTO PURSUANT TO	O AUTHORITY OF:			
D.OTHER (Specify type of modification and authority)				
	, 				
E. IMPORTANT: Contractor is not,	is required to sign this do	cument and return co	oies to the issu	ing office,	
14. DESCRIPTION OF AMENDMENT/MODIFICATIO	N (Organized by UCF sect	tion headings, including solicitation/con	ract subject n	natter	
where feasible.) The purpose of this modification is to correct an err					
the purpose of this mounication is to correct an en	or in accounting data on	P00014			
Except as provided herein, all terms and conditions of the document re	ferenced in Item 9A or 10A, as h	cretofore changed, remains unchanged and in the	I force and offer	1	
15A. NAME AND TITLE OF SIGNER (Type or print)		6A. NAME AND TITLE OF CONTRA			cint)
		EBRA A ZAMARRON / CONTRAC			
15B. CONTRACTOR/OFFEROR 15		6B. UNITED STATES OF AMERICA		1.6	6C. DATE SIGNED
	18	w Dema Zam	ana	۸_	03 Oct 3003
(Signature of person authorized to sign)		(Signature of Contracting Officer)			03-Oct-2002
EXCEPTION TO SE 30					

SUMMARY OF CHANGES

Contract Modification P00014, Section G, Summary for the Payment Office is hereby changed as follows:

FROM:

Summary for the Payment Office

As a result of this modification, the total funded amount of the contract is increased:

From:

By:

To:

SUB-CLIN: 000201

AB: 97X4930 NH2C 000 77777 0 068936 2F 000000 001206AA1100 JON R7D00MCAWD01 Requisition Number N60530-1206-AA1L

is increased:

From;

By:

Payment of non-AAS award fee in the amount of.

is hereby authorized.

TO:

Summary for the Payment Office

As a result of this modification, the total funded amount of the contract is increased:

From:

By:

SUB-CLIN: 000201

AB: 97X4930 NH2C 000 77777 0 068936 2F 000000 001206AA1100 JON R7D00MCAWD01 Requisition Number N60530-1206-AA1L

is increased:

From:

By:

To:

Payment of non-AAS award fee in the amount of:

is hereby authorized.

2. AMENDMENTARODIFICATION NO. 3. EFFECTIVE DATE 1. \$4. REQUISTION PURCHASE REQ. NO. 1. SPROJECT NO. (If applicable) 1. ACTION NO. (If applicable) 2. ACTION NO. (If applicable) 2. ACTION N	AMENDMENT OF SOLICITAT	ION OF CONTRACT	L CONTRACT ID CODE		PAGE OF PAGES	
See Item 6 See Item 10 Se		.orwinobii icki	——————————————————————————————————————			1 2
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Soe Item 6 See Item 10 See Item	P00017	15-Nov-2002	N60530-9102-AA1L			
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DA MOD OF CONTRACT ORDER NO. N6836-00-00-005		street, County, State and Zij	s Code)	ZA. ABVIGINI	DMENT OF SOL	JETATION NO.
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401 by completing learns & and 15, and returning copies of the amendment; by By acknowledging receipt of this amendment as each copy of the offer submittee; or to By suprement learn or telegram which includes a reference to the submittee and animachinem unders. FABLURE OF YORR ACKNOWLEDGHEST TO BE RECEIPT OF OFFERS PRIOR TO THE BIOUR AND DATE SPECIFIED MAY RESULT IN REPECTION OF YOUNG OFFER. It by string of this amendment you desire to change may be made by selegram or letter reduces reference to the solicitation and this amendment, and is received priors to the opening hour and dure specified. 12. ACCOUNTING AND APPROPRIATION DATA (If required) 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14. A.THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE CONTRACT ORDER NO. IN ITEM 16. B.THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B). C.THIS SUPPLEMENTAL AGREEMENT IS EXTERED INTO PURSUANT TO AUTHORITY OF: X. D.OTHER (Specify type of modification and authority) 52.232-20, Limitations of Cost Clause E IMPORTANT: Contractor is not, is required to sign this document and return copies to the issuing office. 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) 15. NAME AND TITLE OF SIGNER (Type or print) A. NAME AND TITLE OF SIGNER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) A. NAME AND TITLE OF CONTRACTING OFFI			I !		is not exter	nded.
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RECEIVED AT THE PLACE DESIGNATED TOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REDECTION OF VOUR OFFER. If by wince of this amendment you desire to change may be made and an appropriate or letter, provided each selegation or letter makes reference to the solicitation and this amendment, and is received prior to the opening heart and date specified. 12. ACCOUNTING AND APPROPRIATION DATA (If required) 13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14. A.THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14. B. THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO AUTHORITY OF FAR 43.103(B). C.THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF: X. D.OTHER (Specify type of modification and authority) 52.232-20, Limitations of Cost Clause E IMPORTANT: Contractor is not, is required to sign this document and return copies to the issuing office. 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) 15. NAME AND TITLE OF SIGNER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF SIGNER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)	or (c) By separate letter or telegram which includes a reference to	the solicitation and amendment	numbers. FAILURE OF YOUR ACKNOWLED	GMENT TO R	er submitted; E	
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D.OTHER (Specify type of modification and authority) 52,232-20, Limitations of Cost Clause						
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect. 15A, NAME AND TITLE OF SIGNER (Type or print) 16A, NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 16B, UNITED STATES OF AMERICA 16C, DATE SIGNED 16B, UNITED STATES OF AMERICA 16C, DATE SIGNED 15Nov-2002						
E. IMPORTANT: Contractor is not, is required to sign this document and return copies to the issuing office. 14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) The purpose of this modification is to increase contract value as follows: From: \$81,947,415.00 by: \$20,632,910.00 to \$102,580,325.00 Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as beretofore changed, remains unchanged and in full force and effect. 15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 15Nov-2002						
14. DESCRIPTION OF AMENDMENT/MODIFICATION (Organized by UCF section headings, including solicitation/contract subject matter where feasible.) The purpose of this modification is to increase contract value as follows: From: \$81,947,415.00 by: \$20,632,910.00 to \$102,580,325.00 Except as provided herein, all terms and conditions of the document referenced in hem 9A or 10A, as heretofore changed, remains unchanged and in full force and effect. 15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 15 Nov-2002						
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect. 15A. NAME AND TITLE OF SIGNER (Type or print) 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 15 Nov-2002					В	
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect. 15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 15 Nov-2002	 DESCRIPTION OF AMENDMENT/MODIFICATION where feasible) 	N (Organized by UCF sect	ion headings, including solicitation/contr	act subject n	natter	
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect. 15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 15-Nov-2002	<i>,</i>	ract value as follows:				
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect. 15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 15-Nov-2002						
15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16C. DATE SIGNED 15 Nov-2002	From: \$81,947,415.00 by: \$20,632,910.00 to \$102,	580,325.00				
15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16C. DATE SIGNED 15 Nov-2002						
15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16C. DATE SIGNED 15 Nov-2002						
15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16C. DATE SIGNED 15 Nov-2002						
15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16C. DATE SIGNED 15 Nov-2002						
16A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 16C. DATE SIGNED 15-Nov-2002						
15A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16C. DATE SIGNED 15 Nov-2002						
16A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 16C. DATE SIGNED 15-Nov-2002						
16A. NAME AND TITLE OF SIGNER (Type or print) 16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print) MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 16C. DATE SIGNED 15-Nov-2002						
MARTHA E ALDRIDGE / PROCURING CONTRACTING OFFICER 15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 16C. DATE SIGNED 15-Nov-2002						
15B. CONTRACTOR/OFFEROR 15C. DATE SIGNED 16B. UNITED STATES OF AMERICA 16C. DATE SIGNED 15. Nov-2002	TOTAL TO SHARE ATTECOT SHOWER (Type or print)					
BY 15-Nov-2002	15B. CONTRACTOR/OFFEROR 150			JOUNTRA		
				East a	المشدة	
	(Signature of person authorized to sign)				1	5-Nov-2002

SUMMARY OF CHANGES

Changes in Solicitation/Contract/Order Form

The total cost has increased from \$81,947,415.00 by \$20,632,910.00 to \$102,580,325.00

Changes in Section B

CLIN 000, Estimated Cost

The total CLIN cost has increased from

by

to

The estimated/max cost has increased from .

by

to

CLIN 0002, Not to Exceed (NTE) Award Fee

The total CLIN cost remains at

Estimated Cost:

Base Fee:

Subtotal:

Max Award Fee:

\$

Total Est Cost + Fee \$102,580,325.00

Changes in Section G

Summary for the Payment Office

The total funded amount of the contract remains unchanged.

All other terms and conditions remain unchanged unless otherwise specified.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT				L CONTRACT ID CODE		F PAGES		
2. AMENDMENT/MODIFICATION NO.	3. EFFECTIVE DATE	4. REQUISITION/PURCHASE REQ. NO.		5 PROJECT NO	O (Hamplic	2		
P00018	25-Nov-2002	4. REQUISITION/PURCHASE REQ. NO. S. PROJECT NO.(If applicable N60530-9102-AA1L			аые)			
6. ISSUED BY CO		7. ADMINISTERED BY (If other the	ın itam 6)	CODE				
CDR NAWCWD CODE 210000D	313		n nem oj	_ 3000				
ATTN: G. CLINE (760)939-8901		See Item 6						
1 ADMIN CIR, BLDG 982								
CHINA LAKE CA 93555-6100								
8. NAME AND ADDRESS OF CONTRACTOR (No	., Street, County, State and Z	ip Code)	9A. AMENI	DMENT OF SOLI	CITATION	N NO.		
SVERDRUP TECHNOLOGY INC			9B. DATED (SEE ITEM 11)					
ROGER STARR 600 WILLIAM NORTHERN BLVD			7B. DATED (SEETTEM II)					
P O BOX 884 TULLAHOMA TN 37388	x	X 10A, MOD, OF CONTRACT/ORDER NO. N68936-00-D-0055						
1 DECARDINA IN 37306			- total					
CODE 07486	FACILITY CODE 07		26-Nov-200	D (SEE ITEM 13)			
11		ES TO AMENDMENTS OF SOLICITAT		72		·		
The above numbered solicitation is amended as set forth in Ite			is extended.	is not extend	ded			
Offer must acknowledge receipt of this amendment prior to t				13 not extent	ica.			
(a) By completing Items 8 and 15, and returning	copies of the amendment; (b) By	acknowledging receipt of this amendment on each	copy of the offe	er submitted;				
or (c) By separate letter or telegram which includes a referent RECEIVED AT THE PLACE DESIGNATED FOR THE RE	to the solicitation and amendmen	nt numbers. FAILURE OF YOUR ACKNOWLED	GMENT TO BI	E				
REJECTION OF YOUR OFFER. If by virtue of this amende	nent you desire to change an offer;	THE BOOK AND DATE SPECIFIED MAY RESOLUTION afready submitted, such change may be made by tell	u FIN egram og letter.					
provided each telegram or letter makes reference to the solici	tation and this amendment, and is re	eccived prior to the opening hour and date specified	l.					
12. ACCOUNTING AND APPROPRIATION DATA	(If required)							
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS.								
		DER NO. AS DESCRIBED IN ITEM 14.						
A.THIS CHANGE ORDER IS ISSUED PURSUAN CONTRACT ORDER NO. IN ITEM 10A.	IT TO: (Specify authority) 1	THE CHANGES SET FORTH IN ITEM I	4 ARE MAD	DE IN THE				
X B.THE ABOVE NUMBERED CONTRACT/ORDI	ER IS MODIFIED TO REFL	ECT THE ADMINISTRATIVE CHANG	ES (such as o	changes in naving				
office, appropriation date, etc.) SET FORTH IN	ITEM 14, PURSUANT TO	THE AUTHORITY OF FAR 43,103(B).	LO (MON 40 C	enanges in paying				
C.THIS SUPPLEMENTAL AGREEMENT IS ENT	ERED INTO PURSUANT I	O AUTHORITY OF:						
D.OTHER (Specify type of modification and author	ity)							
E. IMPORTANT: Contractor X is not,	is required to sign this d	ocument and return copi	ies to the issu	ing office.				
14. DESCRIPTION OF AMENDMENT/MODIFICAT	TON (Organized by UCF se-	ction headings, including solicitation/cont	ract subject r	natter				
where feasible.)								
Update clause H-11, Electronic Submission of Pa	ayment Request, to add a	idditional task orders.						
Except as provided herein, all terms and conditions of the document referenced in Item 9A or 10A, as heretofore changed, remains unchanged and in full force and effect.								
15A. NAME AND TITLE OF SIGNER (Type or print		16A. NAME AND TITLE OF CONTRACTING OFFICER (Type or print)						
	· I	JACQUELINE R PATTERSON / PROC						
15B. CONTRACTOR/OFFEROR		6B, UNITED STATES OF AMERICA		160	DATE SE	GNED		
	ļ	BY Dorquelia	Patter		E Nies - OOO	_		
(Signature of person authorized to sign)	1	(Signature of Contracting Officer)		25	5-Nov-2002	2		

SUMMARY OF CHANGES

Changes in Section G

Summary for the Payment Office

The total funded amount of the contract remains unchanged.

Changes in Section H

Clause H-11, Electronic Submission of Payment Requests, will now apply to payment of the following task orders:

0089

0090

0092

0094

 $\begin{array}{c} 0108 \\ 0109 \end{array}$

In addition to the requirements of Clause H-11, the contractor shall meet the requirements of the appropriate payment clauses in this contract when submitting payment requests.

All other terms and condition not specified above remain unchanged.

FOIA Electronic Reading Room Document Coversheet

Document Description: N68936-00-D-0055 Modifications P00019 through P00020						
This document has been released in its entirety.						
Portions of this document have been excised pursuant to the Freedom of Information Act. The applicable portion(s) excised and the exemption(s) applied are below indicated.						
Exemption (b)(1) Information excised is properly and currently classified in the interest of national defense or foreign policy						
Exemption (b)(2) Information excised is related solely to the internal rules and practices of the Agency.						
Exemption (b)(3) Information excised is specifically exempt from disclosure by an Executive Order or Statute. Specifically:						
Exemption (b)(4) Information excised is commercial or financial information received from outside the Government and is likely to cause substantial harm to the competitive position of the source providing the information.						
Exemption (b)(5) Information excised is internal advice, recommendations, or subjective evaluations pertaining to the decision-making process of the Agency.						
Exemption (b)(6) Information excised is certain individual names and personal identifiers and is excised for heightened interest in the personal privacy of Department of Defense personnel that is concurrent with the increased security awareness demands.						
Exemption (b) (7) Information excised is investigatory records or information compiled for law enforcement purposes						
Exemption (b)(8) Information excised is records for the use of any agency responsible for the regulation or supervision of financial institutions						
Exemption (6)(9) Information excised is records containing geological and geophysical information (including maps) concerning wells.						

Please direct inquiries regarding this document to:
Naval Air Warfare Center Weapons Division
Code K00000D (FOIA)
1 Administration Circle
China Lake, CA 93555-6100.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT				ACT ID CODE	PAGE OF PAGES
AMENDMENT OF SOLICITAT	TOWMODIFICA	HONOR CONTRACT			1 2
2. AMENDMENT/MODIFICATION NO.	3. EFFECTIVE DATE	4. REQUISITION/PURCHASE REQ. NO.		5. PROJECT NO.(If applicable)	
P00019	06-Jan-2003	N60530-9102-AA1L			.,
6. ISSUED BY COD	E N68936	7. ADMINISTERED BY (If other	er than item 6)	CODE	
CDR NAWCWD CODE 210000D ATTN: G. CLINE		Saa 14 6		_	
(760)939-8109		See Item 6			
1 ADMIN CIR, BLDG 982 CHINA LAKE CA 93555-6100					
8. NAME AND ADDRESS OF CONTRACTOR (No., SVERDRUP TECHNOLOGY INC	Street, County, State and Z	(ip Code)	9A, AMENI	DMENT OF SOLI	ICITATION NO.
ROGER STARR			9B. DATED	(SEE ITEM 11)	
600 WILLIAM NORTHERN BLVD					
P O BOX 884 TULLAHOMA TN 37388			X 10A, MOD.	OF CONTRACT. -D-0055	ORDER NO.
		-		D (SEE ITEM 13	
CODE 07486	FACILITY CODE 0	7486	X 26-Nov-200		•,
II.7		ES TO AMENDMENTS OF SOLICE			
The above numbered solicitation is amended as set forth in Hem			is extended,	is not extend	ded
Offer must acknowledge receipt of this amendment prior to the					
(a) By completing Items 8 and 15, and returning	copies of the amendment; (b) By	acknowledging receipt of this amendment on	each copy of the offe	er submitted;	
or (c) By separate letter or telegram which includes a reference RECEIVED AT THE PLACE DESIGNATED FOR THE REC	to the solicitation and amendment	nt numbers. FAILURE OF YOUR ACKNOW	LEDGMENT TO BI	E	
REJECTION OF YOUR OFFER. If by virtue of this amendme	nt you desire to change an offer	already submitted, such change may be made	by telegram or letter		
provided each telegram or letter makes reference to the solicitat	ion and this amendment, and is r	eceived prior to the opening hour and date spe	cified.		
12. ACCOUNTING AND APPROPRIATION DATA (I	f required)				
See Schedule					
13. THIS ITEM	I APPLIES ONLY TO MO	DIFICATIONS OF CONTRACTS/C	RDERS.		
A.THIS CHANGE ORDER IS ISSUED PURSUANT		DER NO. AS DESCRIBED IN ITEM		NE DI TELES	
CONTRACT ORDER NO. IN ITEM 10A.	10. (Specify authority)	THE CHANGES SET FOR TH IN TI	EM 14 ARE MAI	DE IN THE	
B THE A POUE WHATERED CONTRACTOR					
X B.THE ABOVE NUMBERED CONTRACT/ORDER office, appropriation date, etc.) SET FORTH IN I	RIS MODIFIED TO REFL FEM 14. PURSUANT TO	ECT THE ADMINISTRATIVE CHA THE AUTHORITY OF FAR 43 1036	ANGES (such as o	changes in paying	:
C.THIS SUPPLEMENTAL AGREEMENT IS ENTE					
D.OTHER (Specify type of modification and authorit	y)				
E. IMPORTANT: Contractor X is not,	is required to sign this d	lancement and material		* 00	
			copies to the issu	· ·	
 DESCRIPTION OF AMENDMENT/MODIFICATION where feasible.) 	JN (Organized by UCF se	ection headings, including solicitation/	contract subject i	natter	
The purpose of this modification is Authorize 8th C	luarter Award Fee.				
Except as provided herein, all terms and conditions of the document r					
15A. NAME AND TITLE OF SIGNER (Type or print)		16A. NAME AND TITLE OF CONT			
15B, CONTRACTOR/OFFEROR		MARTHA E ALDRIDGE / PROCU 16B. UNITED STATES OF AMERIC			
I.		والمراز المستران وراورت			C DATE SIGNED
(Signature of person authorized to sign)				06	6-Jan-2003
EXCEPTION TO SE 30		(Signature of Contracting Office	er)		

SECTION SF 30 BLOCK 14 CONTINUATION PAGE

SUMMARY OF CHANGES

In accordance with Contract Clause B-NSTD-01 Level of Effort (COST PLUS AWARD FEE)(JAN1992)(navsup), THIS CONTRACT MODIFICATION INCORPORATES THE 8TH quarter Award Fee Determination into the contract, awarding fee carned for performance from 28 June 2002 through 30 Sep 2002. The contractor has earned in award fee for the 8th Quarter. Payment of non-AAS award fee in the amount of is hereby authorized. Authorization to pay the remaining award fee due on AAS task orders: 0069, 0082, 0524, 0530 and 0534 will be provided by separate modification of each of these AAS task orders.

A voucher in the amount of

may be processed for the payment of Quarter 8 Award Fee.

Changes in Section G

Summary for the Payment Office

As a result of this modification, the total funded amount of the contract is increased by:

from

to

SUB-CLIN:000201

AB: 97X4930 NH2C 000 77777 0 068936 2F 000000 001206AA1L00

by

JON R7D00MCAWD01 REQ NO. N60530-1206-AA1L

is increased from

to '

Payment of non-AAS award fee in the amount of

is hereby authorized.

AMENDMENT OF SOLICITATION/MODIFICATION OF CONTRACT				ACT ID CODE	PAGE OF PAGES		
					1 2		
2. AMENDMENT/MODIFICATION NO.	3. EFFECTIVE DATE	4. REQUISITION/PURCHASE REQ.	NO.	5. PROJECT NO	O.(If applicable)		
P00020	13-Jan-2003	N60530-9102-AA1L					
6. ISSUED BY CODE	N68936	7. ADMINISTERED BY (If other tha	n item 6)	CODE			
CDR NAWCWD CODE 210000D ATTN: G. CLINE		See Item 6					
(760)939-8109		See item 0					
1 ADMIN CIR, BLDG 982 CHINA LAKE CA 93555-6100							
			N	214227 00 001			
8. NAME AND ADDRESS OF CONTRACTOR (No., S SVERDRUP TECHNOLOGY INC	treet, County, State and Zip	Code)	A. AMENI	DMENT OF SOLI	CITATION NO.		
ROGER STARR			B. DATED	(SEE ITEM 11)			
600 WILLIAM NORTHERN BLVD							
P O BOX 884 TULLAHOMA TN 37388		l x l	10A. MOD. N68936-00	OF CONTRACT/ -D-0055	ORDER NO.		
				D (SEE ITEM 13			
CODE 07486	FACILITY CODE 074		26-Nov-200	-	,		
H. T.		TO AMENDMENTS OF SOLICITAT			· · · · · · · · · · · · · · · · · · ·		
The above numbered solicitation is amended as set forth in Item 1			s extended.	is not extend	led.		
Offer must acknowledge receipt of this amendment prior to the h				L			
(a) By completing Items 8 and 15, and returning co	opies of the amendment; (b) By act	knowledging receipt of this amendment on each of	copy of the offe	er submitted;			
or (c) By separate letter or telegram which includes a reference to RECEIVED AT THE PLACE DESIGNATED FOR THE RECE	the solicitation and amendment n	umbers. FAILURE OF YOUR ACKNOWLEDG	JMENT TO BI	E			
RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter.							
provided each telegram or letter makes reference to the solicitation and this amendment, and is received prior to the opening hour and date specified.							
12. ACCOUNTING AND APPROPRIATION DATA (IF	required)						
12 THE ITEM	A DDL IEC CANLAY TO A GOLD	IFICATIONIO OF CONTRA CONCORDO					
13. THIS ITEM APPLIES ONLY TO MODIFICATIONS OF CONTRACTS/ORDERS. IT MODIFIES THE CONTRACT/ORDER NO. AS DESCRIBED IN ITEM 14.							
A.THIS CHANGE ORDER IS ISSUED PURSUANT TO: (Specify authority) THE CHANGES SET FORTH IN ITEM 14 ARE MADE IN THE							
CONTRACT ORDER NO. IN ITEM 10A.							
X B.THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying							
office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B). C.THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:							
C.This Sufflemental Adkeement is enter	CED INTO PURSUANT TO	AUTHORITY OF:					
D.OTHER (Specify type of modification and authority)						
E. IMPORTANT: Contractor X is not,	in exposed to aim this day						
	is required to sign this doc		es to the issu	•			
 DESCRIPTION OF AMENDMENT/MODIFICATIO where feasible.) 				natter			
The purpose of this modification is to correct the Su	immary for the Payment o	ffice on modification P00019 dated 1	/6/03				
Process of the control of the contro							
Except as provided herein, all terms and conditions of the document rel 15A. NAME AND TITLE OF SIGNER (Type or print)							
THE OF SIGNER (Type of print)		A. NAME AND TITLE OF CONTRAC ARTHA E ALDRIDGE / PROCURING		,			
15B. CONTRACTOR/OFFEROR 156		3. UNITED STATES OF AMERICA	CONTRA		C. DATE SIGNED		
	į.	المراوات المسيد المرامات	Car ~		COVER ORNER		
(Signature of person authorized to sign)	BY	(Signature of Contracting Officer)		14	I-Jan-2003		
EXCEPTION TO SE 30	L	(Signature of Contracting Officer)					

SECTION SF 30 BLOCK 14 CONTINUATION PAGE

SUMMARY OF CHANGES

Page 2, of P00019, Summary for the Payment Office, is hereby corrected:

From:

As a result of this modification, the total funded amount of the contract is increased by

, from

<u>To:</u>

As a result of this modification, the total funded amount of the contract is increased by

, from

The total funded amount of the contract remains unchanged.

All other terms and conditions except that specified above remain unchanged.

AMENDMENT OF SOLICITAT	L CONTRACT ID CODE		PAGE OF PAGES				
2. AAMENDAIENTAMODIEREATIONIAGO				·	1 2		
2. AMENDMENT/MODIFICATION NO. P00021	17-Mar-2003	5. 1 (63EC) 1 (54) 4			O.(If applicable)		
6. ISSUED BY CODE		N60530-9102-AA1L					
CDR NAWCWD CODE 210000D	1100300	7. ADMINISTERED BY (If other the	n item 6)	CODE			
ATTN: J. RIOS (760) 939-8703		See Item 6					
1 ADMIN CIR. BLDG 982							
CHINA LAKE CA 93555-6100							
8. NAME AND ADDRESS OF CONTRACTOR (No., S	treet, County, State and Z	ip Code)	9A. AMENI	DMENT OF SOL	ICITATION NO.		
SVERDRUP TECHNOLOGY INC		<u> </u>	00 01700	ACIT ITELA III			
ROGER STARR 600 WILLIAM NORTHERN BLVD			9B. DATED	(SEE ITEM 11)			
P O BOX 884		X	10A. MOD.	OF CONTRACT -D-0055	ORDER NO.		
TULLAHOMA TN 37388		11					
CODE 07486	FACILITY CODE 0	7486 X	10B, DATE. 26-Nov-200	D (SEE ITEM 13	•)		
11. Т		ES TO AMENDMENTS OF SOLICITAT		<i>J</i>			
The above numbered solicitation is amended as set forth in Item 1			s extended,	is not exten-	ded		
Offer must acknowledge receipt of this amendment prior to the l		1					
(a) By completing Items 8 and 15, and returning en	opies of the amendment; (b) By	acknowledging receipt of this amendment on each	copy of the offe	er submitted;			
or (c) By separate letter or telegram which includes a reference to RECEIVED AT THE PLACE DESIGNATED FOR THE RECE	o the solicitation and amendment IPT OF OFFERS PRIOR TO T	I numbers. FAILURE OF YOUR ACKNOWLED HE HOUR AND DATE SPECIFIED MAY DISCH	GMENT TO B	E			
RECEIVED AT THE PLACE DESIGNATED FOR THE RECEIPT OF OFFERS PRIOR TO THE HOUR AND DATE SPECIFIED MAY RESULT IN REJECTION OF YOUR OFFER. If by virtue of this amendment you desire to change an offer already submitted, such change may be made by telegram or letter,							
provided each telegram or letter makes reference to the solicitation		seeived prior to the opening hour and date specified					
12. ACCOUNTING AND APPROPRIATION DATA (If	required)						
12 THE PEA	ADDITION ON A TO MO	DIEGO TIONS OF CONTRACT		···········			
IT MODIFIE	APPLIES ONLY TO MO ES THE CONTRACT/OR	DIFICATIONS OF CONTRACTS/ORD DER NO. AS DESCRIBED IN ITEM 14	ERS.				
A.THIS CHANGE ORDER IS ISSUED PURSUANT			4 ARE MAI	DE IN THE			
CONTRACT ORDER NO. IN ITEM 10A.							
X B.THE ABOVE NUMBERED CONTRACT/ORDER	IS MODIFIED TO REFL	ECT THE ADMINISTRATIVE CHANG	ES (such as a	changes in paving			
X B.THE ABOVE NUMBERED CONTRACT/ORDER IS MODIFIED TO REFLECT THE ADMINISTRATIVE CHANGES (such as changes in paying office, appropriation date, etc.) SET FORTH IN ITEM 14, PURSUANT TO THE AUTHORITY OF FAR 43.103(B).							
C.THIS SUPPLEMENTAL AGREEMENT IS ENTERED INTO PURSUANT TO AUTHORITY OF:							
D.OTHER (Specify type of modification and authority)							
E. IMPORTANT: Contractor X is not,	is required to sign this d	ocument and return cop	es to the issu	ing office.			
14. DESCRIPTION OF AMENDMENT/MODIFICATIO	N (Organized by UCF see	ction headings, including solicitation/cont	act subject i	natter			
where feasible.) See herein							
occ herem							
Except as provided herein, all terms and conditions of the document re	ferenced in Item 9A or 10A, as	neretofore changed, remains unchanged and in full	force and effec	l.			
15A. NAME AND TITLE OF SIGNER (Type or print)	1	6A. NAME AND TITLE OF CONTRAC	TING OFFI	CER (Type or pri			
LED CONTRACTOR/OFFINAR		MARTHA E ALDRIDGE / PROCURIN	CONTRA	CTING OFFICE	R		
15B. CONTRACTOR/OFFEROR 15	C. DATE SIGNED	6B. UNITED STATES OF AMERICA	_		. DATE SIGNED		
	<u> </u>	BY THE ACE	Exc'a	17	7-Mar-2003		
(Signature of person authorized to sign) EXCEPTION TO SF 30		(Signature of Contracting Officer)					

SECTION SF 30 BLOCK 14 CONTINUATION PAGE

SUMMARY OF CHANGES

Changes in Section G

Summary for the Payment Office

The total funded amount of the contract remains unchanged.

Changes in Section H

The following clause which is incorporated by full text has been modified:

G-TXT-04 APPOINTMENT OF ORDERING OFFICER(S)

(a) The following activity(ies) or individual(s) is/are designated as authorized Ordering Officer(s):

Name: Jaime Rios Code: 210000D

Address: 1 Administration Circle China Lake, CA 93555-6100 Phone: (760) 939-8703 E-mail: Jaime.rios@navy.mil

Changes in Section J

Attachment 5 DD Form 254 is replaced with the enclosed DD Form 254 revision 2 dated 12/16/02.

DEPARTMENT OF DEFENSE CONTRACT SECURITY CLASSIFICATION SPECIFICATION

(The requirements of the DoD Industrial Security Manual apply to all security aspects of this effort)

1. CLEARANCE AND SAFEGUARDING

a. FACILITY CLEARANCE REQUIRED

TOP SECRET

THE MILE STATES OF THE STATES	3 07 17 11	3 01101	17								
Ref# CL99-014 Stub# 60	60530-9102-AAIL					b. LEVEL OF SAFEGUARDING REQUIRED					
		9 102	2-AAIL		SECRET						
2. THIS SPECIFICATION IS FOR: (X and complete as application of the second seco	iblo)				IS SPECIFICATION IS:	(X and complete as a)					
a. PRIME CONTRACT NUMBER N68936-00-D-0055			05/08/31	×	a. ORIGINAL (Complete date		00/08	3/07			
b. SUBCONTRACT NUMBER				×	b. REVISED (Supersedes off previous specs.)	Revision No. 2	02/12				
c. SOLICITATION OR OTHER NUMBER N68936-99-R-0158	Due Da	co (YYJWW)	CDį		c. FINAL (Complete Item 5 in	ı all cases.)	Data (YY/Ma	(00)			
4. IS THIS A FOLLOW-ON CONTRACT? Classified material received or generated under N68936-95-D-0296	YES				wing: tract Number) is transferred to th	is follow on contract.					
5. IS THIS A FINAL DD FORM 254?	YES	ĪX.	······································					····			
In response to contractor's request dated	,ret	ention o	If the identified classifie	ed material is	authorized for the period of						
6. CONTRACTOR (include Commercial and Governmen					· · · · · · · · · · · · · · · · · · ·						
a. NAME, ADDRESS, AND ZIP CODE SVERDRUP TECHNOLOGY INC. P.O. BOX 884 TULLAHOMA, TN 37388-0884		b	07486	DEFEN GULF (2300 L/	ANT SECURITY OFFICE (Name SE SECURITY SERVIC COAST OPLOC AKE PARK DRIVE STE IA, GA 30080-7606	E	nde)	<u> </u>			
7. SUBCONTRACTOR			<u>.</u>	- ***	7000						
a. NAME, ADDRESS, AND ZIP CODE		b.	. CAGE CODE	c. COGNIZANT SECURITY OFFICE (Name, Address, and Zip Code)							
3. ACTUAL PERFORMANCE a. LOCATION SEE BLOCK 13		b.	. CAGE CODE	c. COGNIZ	ANT SECURITY OFFICE (Nam	e, Address, end Zip Co	ode)				
9. GENERAL IDENTIFICATION OF THIS PROCUREMENT PROVIDE ENGINEERING SUPPORT SERVICES		NAV <i>F</i>	AL AIR SYSTE	MS COM	1MAND/ NAVAL AIR V	WARFARE CEI	NTER :	SITE	 ES.		
10. THIS CONTRACT WILL REQUIRE ACCESS TO:	YES	NO	11. IN PERFORMING THIS CONTRACT, THE CONTRACTOR WILL			VILL: TY	ES	NO			
a. COMMUNICATIONS SECURITY (COMSEC) INFORMATION	×		A HAVE ACCESS TO CLASSIFIED INFORMATION ONLY AT ANOTHER CONTRACTOR'S FACILITY OR A GOVERNMENT ACTIVITY.					×			
b. RESTRICTED DATA	×		b. RECEIVE CLASSIFIED DOCUMENTS ONLY					<u>=</u>			
c. CRITICAL NUCLEAR WEAPON DESIGN INFORMATION	X		c. RECEIVE AND GENERATE CLASSIFIED MATERIAL				<u> </u>				
d. FORMERLY RESTRICTED DATA	×		d. FABRICATE, MODIFY, OR STORE CLASSIFIED HARDWARE				<u> </u>				
e. INTELLIGENCE INFORMATION:	经数数	3131	e. PERFORM SERVICES ONLY			1		×			
(1) Sensitive Compartmented Information (SCI)		X	f. HAVE ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S. PUERTO RICO, U.S. POSSESSIONS AND TRUST TERRITORIES			ľ	8				
(2) Non-SCI	×		g. BE AUTHORIZED TO USE THE SERVICES OF DEFENSE TECHNICAL INFORMATION				X				
f. SPECIAL ACCESS INFORMATION	×	口	h. REGURE A COMSEC ACCOUNTY DISTRIBUTION CENTER			-	X				
g. NATO INFORMATION	×		i. HAVE TEMPEST REQUIREMENTS					×			
h. FOREIGN GOVERNMENT INFORMATION	×		j. HAVE OPERATI	IONS SECUR	RITY (OPSEC) REQUIREMENTS	3		<u></u>			
i. LIMITED DISSEMINATION INFORMATION		×	k. BE AUTHORIZE	ED TO USE D	DEFENSE COURIER SERVICE			8			
j. FOR OFFICIAL USE ONLY INFORMATION	×		I. OTHER (Specify	•)		****					
k. OTHER (Specily)	×							-			

DD FORM 254, DEC 90

Previous editions are obsolete

805/340

 PUBLIC RELEASE. Any information (classified or unclassified) pertaining to the contract shall not be relet or 	ased for public dissemination except as approved b	y the International Security Manual		
☐ Direct ☑ Through (specify):				
COMMANDER, NAVAL AIR WARFARE CENTER, WEAPONS DIV (741100D), CHINA LAKE, CA. 93	555		
to the Directorate for Freedom of information and Security Review, Office of the Accident Secretary of De "In the case of non-DOD User Agencies, request for disclosure shall be submitted to that agency."	**			
13 SECURITY GUIDANCE. The security classification guidance for this classified effort is identified below. If	any difficulty is encountered in applying this guidar	ice or if any other contributing factor		
indicates a need for changes in the guidance, the confractor is authorized encouraged to provide recomminformation or material funkshed or generated under the this contract; and to submit any questions for interinformation involved shall be handled and protected at the highest level of classification assigned or recom separate	ended changes; to challenge the guidance or the cl pretation of this guidance to the official identified by	assification assigned to any		
TO QUALIFY FOR THIS CONTRACT, ALL FIRMS MUST BE ABLE 1 DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OF HAVE OR BE ABLE TO QUALIFY FOR A TOP SECRET FACILITY OF CONTROL OR INFLUENCE) FIRMS.	PERATING MANUAL, RESPON	SIBLE BIDDER MUST		
CONTRACTORS POSSESSING RECIPROCAL CLEARANCES ARE RELEASED TO OR DEVELOPED UNDER THIS CONTRACT. SUBC CLEARANCES REQUIRES PRIOR USER AGENCY APPROVAL.	NOT ELIGIBLE FOR ACCESS T CONTRACTING TO CONTRACTO	O INFORMATION ORS WITH RECIPROCAL		
ACTUAL PERFORMANCE WILL BE AT THE CONTRACTOR'S FACI 03QT6, RIDGECREST, CA, CAGE CODE 0666ZN8, CAMARILLO, COTHER SVERDRUP TECHNOLOGY INC. FACILITIES, AT NAVAL A SITES; AND DOD AGENCIES AND THEIR CONTRACTORS. ACCESS TO TOP SECRET INFORMATION IS REQUIRED IN THE F NAVAL AIR SYSTEMS COMMAND/NAVAL AIR WARFARE CENTER	CA AND CAGE CODE 1E3V2, CA LIR SYSTEMS COMMAND/NAVAI PERFORMANCE OF THIS CONTI	LIFORNIA, MD) AND AT LAIR WARFARE CENTER		
(ITEM 13 CONTINUED ON	SUPPLEMENTAL PAGE(s))			
14 ADDITIONAL SECURITY REQUIREMENTS. Requirements in addition to ISM requirements, are established clauses in the contract document itself, or provide an appropriate statement which identifies the additional rec cognizant socurity office. Use Item 13 if additional space is needed).	for this contract. (If Yes, Identify pertinent contract julierments. Provide a copy of tech requirements to	tual Yes No		
ADDITIONAL SECURITY REQUIREMENTS HAVE BEEN ADDED TO	DITEM 13.			
15.Inspections. Elements of the contract are outside the inspection responsibility of the cognizant security offic elements carved out and the activity responsible for inspections. Use item 13 if additional space is needed). SPECIFIC ELEMENTS HAVE BEEN ADDED TO ITEM 13.	e. (If Yes, explain and identify specific areas or	⊠ Yes □ No		
 CERTIFICATION AND SIGNATURE. Security requirements stated herein are complete and adequat roleased or generated under this classified effort. All questions shall be referred to the official nar 	to for safeguarding the classified information to med below.	be		
a. TYPED NAME OF CERTIFYING OFFICIAL b. TITLE		c. TELEPHONE (Include Area Code)		
JUDITH K. SMITH CONTRACT SECURITY	TING OFFICER FOR MATTERS	(805) 989-7859		
d. ADDRESS (Include Zip Code) COMMANDER CODE 741100E NAVAIRWARCENWPNDIV 521 9TH STREET POINT MUGU, CA 93042-5000	17. REQUIRED DISTRIBUTION □ a. CONTRACTOR □ b. SUBCONTRACTOR □ c. COGNIZANT SECURITY OFFICE □ d. U.S. ACTIVITY RESPONSIBLE F □ e. ADMINISTRATIVE CONTRACTION	-		
e. SIGNATURE 741100E/210000D/47AE00D(M. CASH), 47400E/SSO 750 D FORM 254 Reverse, DEC 90				

Prir

DD254 ITEM 13 SUPPLEMENTAL PAGE

DOCUMENTATION GENERATED AS A RESULT OF THIS CONTRACT. WILL BE CLASSIFIED IN ACCORDANCE WITH SOURCE MATERIAL PROVIDED BY THE USER AND WILL CARRY THE MOST RESTRICTIVE DOWNGRADING/DECLASSIFICATION INSTRUCTIONS, WARNING NOTICES AND CONTROL MARKINGS APPLICABLE. A LISTING OF SOURCE MATERIAL WILL BE INCLUDED AS A PART OF THE DOCUMENT PREPARED BY THE CONTRACTOR.

WHERE THE SECURITY CLASSIFICATION GUIDE (S) SPECIFIES A SPECIFIC DATE OR EVENT FOR DECLASSIFICATION, THE NEW DERIVATIVE CLASSIFICATION MARKINGS UNDER EXECUTIVE ORDER 12958 WILL APPLY.

ACCESS TO CRITICAL NUCLEAR WEAPONS DESIGN INFORMATION (CNWDI) IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT AND REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE SECRET OR TOP SECRET LEVEL, NEED-TO-KNOW, AND A SPECIAL BRIEFING. ACCESS TO AND SAFEGUARDING OF CNWDI INFORMATION SHALL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM), CHAPTER 9, SECTION 2. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO RESTRICTED DATA AND/OR FORMERLY RESTRICTED DATA IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT AND REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL, NEED-TO-KNOW, AND SHALL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM), CHAPTER 9, SECTION 1. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO NATO INFORMATION IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT. ACCESS TO AND SAFEGUARDING OF NATO INFORMATION SHALL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM) CHAPTER 10, SECTION 7. ACCESS TO NATO INFORMATION REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL, NEED-TO-KNOW, AND SPECIAL BRIEFING. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO DOCUMENTS CONTAINING INTELLIGENCE INFORMATION IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT ATTACHMENT #1, "SECURITY GUIDELINES FOR THE HANDLING OF INTELLIGENCE INFORMATION FOR CONTRACTORS" AND ATTACHMENT #2, DCID 1/7, "SECURITY CONTROLS ON THE DISSEMINATION OF INTELLIGENCE INFORMATION" PROVIDE GUIDANCE ON CONTROL OF INTELLIGENCE INFORMATION. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

ACCESS TO U.S. CLASSIFIED INFORMATION OUTSIDE THE U.S., PUERTO RICO, U.S. POSSESSIONS AND TRUST TERRITORIES IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT. PROCEDURES WILL BE IN ACCORDANCE WITH THE NISPOM, DOD 5220.22-M; USER AGENCY SECURITY GUIDANCE, AND INDIVIDUAL TASK ORDER REQUIREMENTS.

ACCESS TO CLASSIFIED INFORMATION OUTSIDE THE U.S. CONTINUED:

THE CONTRACTOR AND ITS SUB-CONTRACTORS, IF PERFORMING OR TRAVELING OUTSIDE THE UNITED STATES UNDER THIS CONTRACT SHALL COMPLY WITH THE REQUIREMENTS OF DFARS CLAUSE 252.225-7043 - ANTITERRORISM/FORCE PROTECTION POLICY FOR DEFENSE CONTRACTORS OUTSIDE THE UNITED STATES (JUN 1998) SECURITY REQUIREMENTS, ATTACHMENT #3.

ALL CLASSIFIED MATERIAL RECEIVED OR GENERATED UNDER SPECIFIC TASK ORDERS MAY BE RETAINED BY CONTRACTOR UNTIL COMPLETION OF CONTRACT UNLESS OTHERWISE SPECIFIED BY THE USER. UPON COMPLETION OF THIS CONTRACT, REQUEST FOR RETENTION/TRANSFER/DISPOSITION OF CLASSIFIED MATERIAL MUST BE SUBMITTED TO OFFICIAL IN BLOCK 16.A.

ADEQUATE STORAGE WILL BE PROVIDED FOR CLASSIFIED HARDWARE WHICH IS OF SUCH SIZE OR QUANTITY IT CANNOT BE SAFEGUARDED IN A REGULAR SIZE APPROVED STORAGE CONTAINER.

SECURITY CLASSIFICATION GUIDES:

OPNAVINST C5513.2B (71) CRUISE MISSILE; OPNAVINST S5513.3B, ENCLOSURE (11), "AEGIS, MK7" WHICH IS FORWARDED BY AEGIS PROGRAM MANAGER LETTER OF 3 MAY 1996, SERIAL 400B/129; S5513.3B (25) EXPLOSIVES; S5513.3B-28.1 FUZES; OPNAVINST S5513.3B (69) TARGETS, AIR & SHIP VULNERABILITY & ORDNANCE SYSTEMS ANALYSIS; S5513.4C, ENCLOSURE (4) NAVAL INTELLIGENCE, GENERAL:

ADDITIONAL SECURITY CLASSIFICATION GUIDES:

OPNAVINST S5513.8B, ENCLOSURE (3), "ECM/ECCM, GENERAL; S5513.8B, ENCLOSURE (18), "RADAR, GENERAL". S5513.8C (54) ELECTRO-MAGNETIC ENVIRONMENTAL EFFECTS; THE SECURITY CLASSIFICATION GUIDES AND ANY ADDITIONAL CLASSIFICATION GUIDES WILL BE PROVIDED BY THE USER AGENCY TECHNICAL POINT OF CONTACT PER DELIVERY ORDER.

DISTRIBUTION STATEMENTS MUST BE ON ALL CLASSIFIED AND UNCLASSIFIED TECHNICAL DOCUMENTS. REFER TO THE CONTRACT DATA REQUIREMENTS LIST (CDRL) BLOCK 9, FOR THE REQUIRED DISTRIBUTION STATEMENT FOR YOUR DATA, OR YOUR NAVAL AIR WARFARE CENTER WEAPONS DIVISION, CHINA LAKE, CA OR NAVAL AIR WEAPONS STATION CHINA LAKE, CA POINT OF CONTACT.

PRIOR TO THE AUTHORIZATION OF DTIC SERVICES, CONTRACTORS MUST SUBMIT DD FORMS IN ACCORDANCE WITH REQUIREMENTS LISTED IN THE DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM), CHAPTER 11, SECTION 2.

THE CONTRACTOR IS REQUIRED TO PROVIDE OPERATION SECURITY (OPSEC) PROTECTION FOR ALL CLASSIFIED INFORMATION (AS DEFINED BY FAR 4.401) AND SENSITIVE INFORMATION. IN ORDER TO MEET THIS REQUIREMENT, THE CONTRACTOR SHALL DEVELOP, IMPLEMENT AND MAINTAIN A FACILITY LEVEL OPSEC PROGRAM IN ACCORDANCE WITH ATTACHMENT #4, "OPERATIONS SECURITY GUIDANCE FOR CONTRACTORS" DATED AUGUST 1993, AND GUIDANCE PROVIDED. THE DEFENSE SECURITY SERVICE (DSS) WILL PERFORM OPSEC INSPECTIONS AS REQUIRED. PRIOR APPROVAL OF THE CONTRACTING ACTIVITY IS REQUIRED BEFORE IMPOSING OPSEC REQUIREMENTS ON A SUBCONTRACTOR.

THE "FOR OFFICIAL USE ONLY" INFORMATION PROVIDED UNDER THIS CONTRACT SHALL BE SAFEGUARDED IN ACCORDANCE WITH ATTACHMENT #5.

ACCESS TO SPECIAL ACCESS INFORMATION IS REQUIRED IN THE PERFORMANCE OF THIS CONTRACT AND WILL BE AT NAVAL AIR SYSTEMS COMMAND/NAVAL AIR WARFARE CENTER WEAPONS DIVISION CHINA LAKE AND POINT MUGU, CA SITES ONLY.

SPECIAL ACCESS POLICY APPLIES TO THIS PROCUREMENT. ACCESS TO OR KNOWLEDGE OF THAT PORTION OF THE WORK WILL BE RESTRICTED TO THOSE INDIVIDUALS WHO HAVE A NEED-TO-KNOW, A U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL AND A SPECIAL BRIEFING. ONLY THAT INFORMATION SPECIFICALLY REQUIRED FOR THEIR DUTIES MAY BE REVEALED TO THEM. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING. DEFENSE SECURITY SERVICE IS RELIEVED OF INSPECTION RESPONSIBILITY FOR THE SPECIAL ACCESS PROGRAM(S) RELEASED TO OR DEVELOPED UNDER THIS CONTRACT.

ACCESS TO AND SAFEGUARDING OF COMSEC INFORMATION/MATERIAL WILL BE IN ACCORDANCE WITH DOD 5220.22-M, NATIONAL INDUSTRIAL SECURITY PROGRAM OPERATING MANUAL (NISPOM) AND DOD 5220.22-S, NISPOM, COMSEC SUPPLEMENT OF LATEST ISSUE AND ALL SUBSEQUENT CHANGES..

THE INSTALLATION OF COMSEC EQUIPMENT UNDER THE CONFIGURATION CONTROL OF NSA WILL BE IN ACCORDANCE WITH OPNAVINST 2221.3C, 5510.93, NTISSI 4000, AND NACSI 4009.

ACCESS TO CLASSIFIED COMSEC INFORMATION/MATERIAL REQUIRES A FINAL U.S. GOVERNMENT CLEARANCE AT THE APPROPRIATE LEVEL, U.S. CITIZENSHIP, NEED-TO-KNOW, AND A SPECIAL BRIEFING. NON-U.S. CITIZENS, INCLUDING IMMIGRANT ALIENS, ARE NOT ELIGIBLE FOR ACCESS TO CLASSIFIED COMSEC INFORMATION/MATERIAL. USER AGENCY APPROVAL IS REQUIRED PRIOR TO SUBCONTRACTING.

PERSONNEL HAVING ACCESS TO COMSEC INFORMATION SHALL BE BRIEFED BY A GOVERNMENT CMS CUSTODIAN.

USE OF STU-III FOR TRANSMISSION OF CLASSIFIED AND/OR SENSITIVE UNCLASSIFIED U.S. GOVERNMENT INFORMATION IS REQUIRED. A COMSEC ACCOUNT WILL BE REQUIRED. GOVERNMENT WILL FURNISH EQUIPMENT FOR THE DURATION OF THIS CONTRACT.

SECURITY REQUIREMENTS AND SECURITY AGREEMENTS FOR SHARED ACCESS OF SECURITY FUNCTIONS BETWEEN THE GOVERNMENT AND THIS CONTRACTOR HAVE BEEN ADDED TO THIS CONTRACT. SHARED ACCESS WILL BE APPROVED FOR INDIVIDUAL DELIVERY ORDERS.

REVISION #1 TO DD254 IS ISSUED TO ADD FURTHER PERFORMANCE INFORMATION AND LOCATION BY THE CONTRACTOR; CHANGE 10.D. FORMERLY RESTRICTED DATA FROM NO TO YES; DELETE SECURITY CLAUSE, SECOND PARAGRAPH STARTING WITH CLASSIFIED WORK CANNOT BE PERFORMED UNTIL A FACILITY CLEARANCE HAS BEEN OBTAINED, ETC.

THERE NO OTHER CHANGES.

REVISION #2 OF THIS DD254 IS ISSUED TO PROVIDE SIPRNET ACCESS GUIDANCE AT POINT MUGU, CA ,CHINA LAKE, CA, AIRCRAFT DIVISION, PATUXENT RIVER, MD AND AT ANY OTHER DOD SITE/ACTIVITY; ADDED PERFORMANCE LOCATION FOR ACCESS TO SPECIAL ACCESS INFORMATION; REMOVED TEMPEST SECURITY REQUIREMENTS AND ATTACHMENT; CHANGE BLOCK 11.1 FROM "YES" TO "NO" TEMPEST. THERE ARE NO FURTHER CHANGES.

SIPRNET REQUIREMENT:

- 1. CONTRACTOR REQUIRING SIPRNet CONNECTION IN A CONTRACTOR'S FACILITY WILL COMPLY WITH THE REQUEST AND APPROVAL PROCESS OUTLINED IN THE DEFENSE INFORMATION SYSTEMS AGENCY (DISA) SIPRNet CUSTOMER CONNECTION PROCESS DEVELOPED BY THE DISN NETWORK SERVICE.
- 2. CONTRACTOR PERSONNEL WORKING ON A NAWCWD PROJECT IN A NAWCWD CONTROLLED FACILITY UTILIZING A U.S. GOVERNMENT COMPUTER WILL SUBMIT THEIR REQUEST FOR A SIPRNet ACCESS ACCOUNT TO:
- a. AT POINT MUGU SUBMIT YOUR REQUEST TO THE SIPRNet MANAGER. THE SIPRNet MANAGER WILL ESTABLISH AN ACCESS ACCOUNT ONCE THE REQUEST IS APPROVED.
- b. AT CHINA LAKE CONTACT THE NAVAIR TECHNICAL EXPERTISE CENTER (NTEC) AT (760) 939-1111 FOR AN ACCESS ACCOUNT. NTEC WILL ESTABLISH AN ACCESS ACCOUNT UPON ONCE THE REQUEST IS APPROVED.
- c. AT ANY OTHER DEPARTMENT OF DEFENSE SITE/ACTIVITY CONTACT THE SITE INFORMATION SYSTEMS SECURITY MANAGER FOR SIPRNet ACCESS.

FOR NAVAIR/NAWCAD: "CONTRACT REQUIRES ACCESS TO INTELLIGENCE DATA, INCLUDING THE SIPRNET, AS CERTIFIED BY THE CONTRACTING OFFICER REPRESENTATIVE (COR) VIA THE NAVAIR/NAWCAD SCIENTIFIC AND TECHNICAL INTELLIGENCE LIAISON OFFICER (STILO), PATUXENT RIVER, MARYLAND. THE CONTRACTOR SHALL NOT INTENTIONALLY ACCESS, DOWNLOAD, OR FURTHER DISSEMINATE INTELLIGENCE DATA WITHOUT THE GUIDANCE AND PERMISSION OF THE NAVAIR/NAWCAD STILO". WRITTEN APPROVAL OF THE USER AGENCY CONTRACTING OFFICER IS REQUIRED PRIOR TO SUB-CONTRACTING.

SECURITY GUIDELINES FOR THE HANDLING OF INTELLIGENCE INFORMATION FOR CONTRACTORS:

- 1. Intelligence released to cleared DoD contractors, all reproductions thereof, and all other information generated based on, or incorporating data from, remain the property of the U.S. Government. The releasing command shall govern final disposition of intelligence information unless retention is authorized. Provide the Director, ONI (ONI-5) with a copy of the retention authorization.
- 2. Cleared DoD contractors shall not release intelligence to any of their components or employees not directly engaged in providing services under contract or other binding agreement or to another contractor (including subcontractors) without the consent of the releasing command.
- 3. Cleared DoD contractors who employ foreign nationals or immigrant aliens shall obtain approval from the Director, ONI (ONI-5) before releasing intelligence, regardless of their LAA.
- 4. See Attachment #1, DCID 1/7, "Security Controls on the Dissemination of Intelligence Information", dated 30 JUNE 1998.

ATTACHMENT # TO DD-254

DCID 1/7

Security Controls on the Dissemination of Intelligence Information

(Effective 30 June 1998)

Introduction

Pursuant to the provisions of the National Security Act of 1947, as amended, Executive Order 12333, Executive Order 12958 and implementing directives thereto, policies, controls, and procedures for the dissemination and use of intelligence information and related materials are herewith established in this Director of Central Intelligence Directive (Directive or DCID). Nothing in this policy is intended to amend, modify, or derogate the authorities of the DCI contained in Statute or Executive Order.

1.0 Policy

- 1.1 It is the policy of the DCI that intelligence be produced in a way that balances the need for maximum utility of the information to the intended recipient with protection of intelligence sources and methods. The controls and procedures established by this directive should be applied uniformly in the dissemination and use of intelligence originated by all Intelligence Community components in accordance with the following principles:
 - 1.1.1 Originators of classified intelligence information should write for the consumer. This policy is intended to provide for the optimum dissemination of timely, tailored intelligence to consumers in a form that allows use of the information to support all need to know customers.
 - 1.1.2 The originator of intelligence is responsible for determining the appropriate level of protection prescribed by classification and dissemination policy. Originators shall take a risk management approach when preparing information for dissemination.

2.0 Purpose

- 2.1 This Directive establishes policies, controls, and procedures for the dissemination and use of intelligence information to ensure that, while facilitating its interchange for intelligence purposes, it will be adequately protected. This Directive implements and amplifies applicable portions of the directives of the Information Security Oversight Office issued pursuant to Executive Order (E.O.) 12958 and directives of the Security Policy Board issued pursuant to E.O. 12958 and PDD-29.
- 2.2 Additionally, this Directive sets forth policies and procedures governing the release of intelligence to contractors and consultants, foreign governments, international organizations or coalition partners consisting of sovereign states, and to foreign nationals and immigrant aliens, including those employed by the US Government. pursuant to <u>DCID 5/6</u>, <u>Intelligence Disclosure Policy</u>.
- 2.3 Executive Order 12958 provides for the establishment of Special Access Programs, including Sensitive Compartmented Information. DCID 3/29 provides procedures for the establishment and review of Special Access Programs pertaining to intelligence activities and restricted collateral information. Intelligence Community components may establish and maintain dissemination controls on such information as approved under the policies and procedures contained in DCID 3/29, this DCID, and implementing guidance.

3.0 Definitions

- 3.1 "Caveated" information is information subject to one of the authorized control markings under Section 9.
- 3.2 Intelligence Community (and agencies within the Intelligence Community) refers to the United States Government agencies and organizations and activities identified in section 3 of the National Security Act of 1947, as amended, 50 USC 401a(4), and Section 3.4(f) (1 through 6) of Executive Order 12333.
- 3.3 Intelligence information and related materials (hereinafter referred to as "Intelligence") include the following information, whether written or in any other medium, classified pursuant to E.O. 12958 or any predecessor or successor Executive Order:
 - 3.3.1 Foreign intelligence and counterintelligence defined in the National Security Act of 1947, as amended, and in Executive Order 12333;
 - 3.3.2 Information describing US foreign intelligence and counterintelligence activities, sources, methods, equipment, or methodology used for the acquisition, processing, or exploitation of such intelligence; foreign military hardware obtained through intelligence activities for exploitation and the results of the exploitation; and any other data resulting from US intelligence collection efforts; and,
 - 3.3.3 Information on Intelligence Community protective security programs (e.g., personnel, physical, technical, and information security).
- 3.4 "Need-to-know" is the determination by an authorized holder of classified information that a prospective recipient requires access to specific classified information in order to perform or assist in a lawful and authorized governmental function. Such persons shall possess an appropriate security clearance and access approval granted pursuant to Executive Order 12968, Access to Classified Information.
- 3.5 Senior Official of the Intelligence Community (SOIC) is the head of an agency, office, bureau, or other intelligence element as identified in Section 3 of the National Security Act of 1947, as amended, 50 USC 401a(4), and Section 3.4(f) (1 through 6) of Executive Order 12333.
- 3.6 A "tear line" is the place on an intelligence report (usually denoted by a series of dashes) at which the sanitized version of a more highly classified and/or controlled report begins. The sanitized

sanitized version of a more highly classified and/or controlled report begins. The sanitized information below the tear line should contain the substance of the information above the tear line, but without identifying the sensitive sources and methods. This will permit wider dissemination, in accordance with the "need to know" principle and foreign disclosure guidelines, of the information below the tear line.

4.0 General Applicability

- 4.1 In support of the Policy Statement in Section 1.0, classifiers of intelligence information shall take a risk management approach when preparing information for dissemination. In the interest of the widest possible dissemination of information to consumers with a "need to know", classifiers shall carefully consider the needs of all appropriate intelligence consumers regarding sources and methods information or sensitive analytic comments and use control markings only when necessary and in accordance with this directive, using tearlines and other formats to meet consumer needs for intelligence.
- 4.2 In carrying out this policy, intelligence producers shall prepare their reports and products at the lowest classification level commensurate with expected damage that could be caused by unauthorized disclosure. When necessary, the material should be prepared in other formats (e.g. tear-line form) to permit broader dissemination or release of information.
- 4.3 All material shall be portion marked to allow ready identification of information that cannot be broadly disseminated or released, except for material for which a waiver has been obtained under EO 12958.
- 4.4 The substance of this Directive shall be promulgated by each Intelligence Community component, and appropriate procedures permitting prompt interagency consultation established.

5.0 Use By and Dissemination Among Executive Branch Departments/Agencies of the US Government

- 5.1 Executive Order 12958 provides that classified information originating in one US department or agency shall not be disseminated beyond any recipient agency without the consent of the originating agency. However, to facilitate use and dissemination of intelligence within and among Intelligence Community components and to provide for the timely flow of intelligence to consumers, the following controlled relief to the "third agency rule" is hereby established:
 - 5.1.1 Each Intelligence Community component consents to the use of its classified intelligence in classified intelligence products of other Intelligence Community components, including its contractors under Section 6, and to the dissemination of those products within executive branch departments/agencies of the US Government, except as specifically restricted by controls defined in this directive or other DCI guidance.
 - 5.1.2 As provided in 5.1.1, classified intelligence that bears no restrictive control markings may be given secondary US dissemination in classified channels to any US executive branch department/agency not on original distribution if (a) the intelligence has first been sanitized by the removal of all references and inferences to intelligence sources and methods and the identity of the producing agency, or (b) if the product is not so sanitized, the consent of the originator has been obtained. If there is any doubt concerning a reference or inference to intelligence sources and methods, relevant intelligence documents should not be given secondary dissemination until the recipient has consulted with the originator.
 - 5.1.3 Any component disseminating intelligence beyond the Intelligence Community assumes responsibility for ensuring that recipient organizations agree to observe the need-to-know principle and the restrictions prescribed by this directive, and to maintain adequate safeguards.

6.0 Policy and Procedures Governing the Release of Intelligence to Contractors and Consultants

- 6.1.1 SOICs, or their designees, may release intelligence to appropriately cleared or access-approved US contractors and consultants (hereinafter "contractor") having a demonstrated "need to know" without referral to the originating agency prior to release provided that:
 - 6.1.1.1 At the initiation of the contract, the SOIC or her/his designee specifies and certifies in writing that disclosure of the specified information does not create an unfair competitive advantage for the contractor or a conflict of interest with the contractor's obligation to protect the information. If, during the course of the contract, the contractor's requirements for information changes to require new or significantly different information, the SOIC or his/her designee shall make a new specification and certification. In cases where the designated official cannot or does not resolve the issue of unfair competitive advantage or conflict of interest, consent of the originator is required;
 - 6.1.1.2 Release is made only to contractors certified by the SOIC (or designee) of the sponsoring organization as performing classified services in support of a national security mission;
 - 6.1.1.3 The contractor has an approved safeguarding capability if retention of the intelligence is required;
 - 6.1.1.4 Contractors are not authorized to disclose further or release intelligence to any of their components or employees or to another contractor (including subcontractors) without the prior written notification and approval of the SOIC or his/her designee unless such

- disclosure or release is authorized in writing at the initiation of the contract as an operational requirement;
- 6.1.1.5 Intelligence released to contractors, all reproductions thereof, and all other material generated based on, or incorporating data therefrom (including authorized reproductions), remain the property of the US Government. Final disposition of intelligence information shall be governed by the sponsoring agency;
- 6.1.1.6 National Intelligence Estimates (NIEs), Special National Intelligence Estimates (SNIEs), and Interagency Intelligence Memoranda may be released to appropriately cleared contractors possessing an appropriate level facility clearance and need-to-know, except as regulated by provisions concerning proprietary information as defined in sections 6.1.1.7 and 9.3, below,
- 6.1.1.7 Except as provided in section 6.3 below, intelligence that bears the control marking "CAUTION-PROPRIETARY INFORMATION INVOLVED" (abbreviated "PROPIN" or "PR") may not be released to contractors, unless prior permission has been obtained from the originator and those providing the intelligence to the originator. Intelligence that bears the control marking, "Dissemination and Extraction of Information Controlled By Originator" (abbreviated "ORCON") may only be released to contractors within Government facilities. These control markings are further described under Sections 9.2 and 9.3, below, and
- 6.1.1.8 Authorized release to foreign nationals or foreign contractors is undertaken through established channels in accordance with sections 7 and 8, and DCID 5/6, Intelligence Disclosure Policy, and the National Policy and Procedures for the Disclosure of Classified Military Information to Foreign Governments and International Organizations (abbreviated title: National Disclosure Policy 1 or NDP 1) to the extent consistent with DCIDs and other DCI guidance.
- 6.2 Policies and Procedures for Contractors Inside Government Owned or Controlled Facilities
 - 6.2.1 Contractors who perform duties inside a Government owned or controlled facility will follow the procedures and policies of that sponsoring Intelligence Community member in accordance with Section 6.1 of this directive
- 6.3 Policies and Procedures for Contractors Outside Government Owned or Controlled Facilities
 - 6.3.1 Contractors who perform duties outside of Government owned or controlled facilities will adhere to the following additional policies and procedures:
 - 6.3.1.1 The SOIC of the sponsoring agency, or her/his designee, is responsible for ensuring that releases to contractors of intelligence marked ORCON and/or PROPIN are made only with the consent of the originating agency pursuant to this Directive and through established channels; (See Sections 9.2 and 9.3);
 - 6.3.1.2 The sponsoring agency shall maintain a record of material released;
 - 6.3.1.3 Contractors shall establish procedures to control all intelligence received, produced, and held by them in accordance with the provisions of the <u>National Industrial Security Program Operating Manual</u>. This will not impose internal receipt and document accountability requirements for internal traceability and audit purposes;
 - 6.3.1.4 All reproductions and extractions of intelligence shall be classified, marked, and controlled in the same manner as the original(s);
 - 6.3.1.5 Sensitive Compartmented Information released to contractors shall be controlled pursuant to the provisions of DCID 1/19, Security Policy for Sensitive Compartmented Information (SCI); and,
 - 6.3.1.6 Sponsoring agencies shall delete any reference to the Central Intelligence Agency, the

phrase "Directorate of Operations" and any of its components, the place acquired, the tield number, the source description, and field dissemination from all CIA Directorate of Operations reports passed to contractors, unless prior approval to do otherwise is obtained from CIA.

7.0 Release to Foreign Governments, International Organizations, and Coalition Partners

- 7.1 It is the policy of the DCI that intelligence may be shared with foreign governments, and international organizations or coalition partners consisting of sovereign states to the extent such sharing promotes the interests of the United States, is consistent with US law, does not pose unreasonable risk to US foreign policy or national defense, and is limited to a specific purpose and normally of limited duration. The release of intelligence to such entities is subject to this <u>Directive</u>, <u>DCID 5/6</u>, <u>Intelligence Disclosure Policy</u>, and NDP 1 to the extent consistent with DCIDs and other DCI guidance.
 - 7.1.1 Intelligence Community elements shall restrict the information subject to control markings to the minimum necessary. If it is not possible to prepare the entire report at the collateral, uncaveated level, IC elements shall organize their intelligence reports and products to identify clearly information not authorized for release to foreign entities.
- 7.2 Intelligence information that bears no specific control marking may be released to foreign governments, international organizations, or coalition partners provided that:
 - 7.2.1 A positive foreign disclosure decision is made by a Designated Intelligence Disclosure Official in accordance with procedures in DCID 5/6;
 - 7.2.2 No reference is made to the originating agency or to the source of the documents on which the released product is based; and,
 - 7.2.3 The source or manner of acquisition of the intelligence (including analytic judgments or techniques), and/or the location where the intelligence was collected (if relevant to protect sources and methods) is not revealed and cannot be deduced in any manner.
- 7.3 RESTRICTED DATA and FORMERLY RESTRICTED DATA may only be released to foreign governments pursuant to an agreement for cooperation as required by Sections 123 and 144 of Public Law 585, Atomic Energy Act of 1954, as amended.

8.0 Dissemination to Non-Governmental Foreign Nationals or Foreign Contractors

- 8.1 It is the policy of the DCI that no classified intelligence will be shared with foreign nationals, foreign contractors, or international organizations not consisting of sovereign states, except in accordance with the provisions of this Section.
- 8.2 Intelligence, even though it bears no restrictive control markings, will not be released in any form to foreign nationals or immigrant aliens (including those employed by, used by, or integrated into the US Government) without the permission of the originator. In such cases where permission of the originator has been granted, the release must be in accordance with DCID 5/6, and the NDP 1 to the extent consistent with DCIDs and other DCI guidance.
- 8.3 Release of intelligence to a foreign contractor or company under contract to the US Government must be through the foreign government of the country which the contractor is representing, unless otherwise directed in government-to-government agreements or there is an appropriate US channel for release of the information. Provisions concerning release to foreign governments is contained in Section 7.0, above.

9.0 Authorized Control Markings

- 9.1 DCI policy is that the authorized control markings for intelligence information in this Section shall be individually assigned as prescribed by an Original Classification Authority (OCA) or by officials designated by a SOIC and used in conjunction with security classifications and other markings specified by Executive Order 12958 and its implementing directive(s). Unless originator consent is obtained, these markings shall be carried forward to any new format or medium in which the same information is incorporated.
 - 9.1.1 To the maximum extent possible, information assigned an authorized control marking shall not be combined with uncaveated information in such a way as to render the uncaveated information subject to the control marking. To fulfill the requirements of paragraph 9.6.1 below, SOICs shall establish procedures in implementing directives to expedite further dissemination of essential intelligence. Whenever possible, caveated intelligence information reports should include the identity and contact instructions of the organization authorized to approve further dissemination on a case-by-case basis.

9.2 "DISSEMINATION AND EXTRACTION OF INFORMATION CONTROLLED BY ORIGINATOR" (ORCON)

- 9.2.1 This marking(ORCON or abbreviated OC) may be used only on classified intelligence that clearly identifies or would reasonably permit ready identification of intelligence sources or methods that are particularly susceptible to countermeasures that would nullify or measurably reduce their effective--ness. It is used to enable the originator to maintain continuing knowledge and supervision of distribution of the intelligence beyond its original dissemination. This control marking may not be used when access to the intelligence information will reasonably be protected by use of its classification markings, i.e., CONFIDENTIAL, SECRET or TOP SECRET, or by use of any other control markings specified herein or in other DCIDs. Requests for further dissemination of intelligence bearing this marking shall be reviewed in a timely manner.
- 9.2.2 Information bearing this marking may be disseminated within the headquarters ² and specified subordinate elements of recipient organizations, including their contractors within Government facilities. This information may also be incorporated in whole or in part into other briefings or products, provided the briefing or intelligence product is presented or distributed only to original recipients of the information. Dissemination beyond headquarters and specified

- subordinate elements or to agencies other than the original recipients requires advance permission from the originator.
- 9.2.3 Information bearing this marking must not be used in taking investigative or legal action without the advance permission of the originator.
- 9.2.4 As ORCON is the most restrictive marking herein, agencies that originate intelligence will follow the procedures established in the classified DCID 1/7 Supplement, "Guidelines for Use of ORCON Caveat."
- 9.3 "CAUTION-PROPRIETARY INFORMATION INVOLVED" (PROPIN). This marking is used, with or without a security classification, to identify information provided by a commercial firm or private source under an express or implied understanding that the information will be protected as a proprietary trade secret or proprietary data believed to have actual or potential value ³. This marking may be used on government proprietary information only when the government proprietary information can provide a contractor(s) an unfair advantage, such as US Government budget or financial information. Information bearing this marking shall not be disseminated outside the Federal Government in any form without the express permission of the originator of the intelligence and provider of the proprietary information. This marking precludes dissemination to contractors irrespective of their status to, or within, the US Government without the authorization of the originator of the intelligence and provider of the information. This marking shall be abbreviated "PROPIN" or "PR."
- 9.4 "NOT RELEASABLE TO FOREIGN NATIONALS" NOFORN (NF). This marking is used to identify intelligence which an originator has determined falls under the criteria of DCID 5/6, "Intelligence Which May Not Be Disclosed or Released," and may not be provided in any form to foreign governments, international organizations, coalition partners, foreign nationals, or immigrant aliens without originator approval.
- 9.5 "AUTHORIZED FOR RELEASE TO.. (name of country(ies)/international organization)" (REL TO). This control marking is used when a limited exception to the marking requirements in Section 9.4 may be authorized to release the information beyond US recipients. This marking is authorized only when the originator has an intelligence sharing arrangement or relationship with a foreign government approved in accordance with DCI policies and procedures that permits the release of the specific intelligence information to that foreign government, but to no other in any form without originator consent.
- 9.6 Further Dissemination of Intelligence with Authorized Control Marking(s)
 - 9.6.1 This Directive does not restrict an authorized recipient of intelligence at any level from directly contacting the originator of the intelligence to ask for relief from a specific control marking(s) in order to further disseminate intelligence material to additional users for which the authorized original recipient believes there is a valid need-to-know. Authorized recipients are encouraged to seek such further dissemination through normal liaison channels for release to US Government agencies or contractors and through foreign disclosure channels for foreign release, on a case-by-case basis, in order to expedite further dissemination of essential intelligence.
 - 9.6.2 Authorized recipients may obtain information regarding points of contact at agencies that originate intelligence from their local dissemination authorities or from instructions issued periodically by these intelligence producers. Intelligence products often also carry a point of contact name/office and telephone number responsible for the product. If no other information is available, authorized recipients are encouraged to contact the producing agency of the document to identify the official or office authorized to provide relief from authorized control marking(s).
 - 9.6.3 If there are any questions about whom to contact for guidance, recipients are also encouraged

- to contact the Director of Central Intelligence (DCI) representative at the Commander-in-Chief (CINC) Headquarters, overseas mission, trade delegation, or treaty negotiating team under which they operate.
- 9.7 A SOIC may authorize the use of additional security control markings for Sensitive Compartmented Information (SCI), Special Access Program (SAP) information, restricted collateral information, or other classified intelligence information, consistent with policies and procedures contained in DCID 3/29 and this directive. A uniform list of security control markings authorized for dissemination of classified information by components of the Intelligence Community, and the authorized abbreviated forms of such markings, shall be compiled in the central register maintained pursuant to DCID 3/29. The forms of the markings and abbreviations listed in this register shall be the only forms of those markings used for dissemination of classified information by components of the Intelligence Community, unless an exception is specifically authorized by a SOIC.

10.0 Dissemination and Disclosure Under Emergency Conditions

- 10.1 Certain emergency situations ⁴ that involve an imminent threat to life or mission warrant dissemination of intelligence to organizations and individuals not routinely included in such dissemination. When the national command authority (NCA) directs that an emergency situation exists, SOICs will ensure that intelligence support provided to the ongoing operations conforms with this Directive, DCID 5/6, and NDP 1 to the maximum extent practical and consistent with the mission.
 - 10.1.2 Dissemination of intelligence under this provision is authorized only if: (a) an authority designated by the military commander or civilian official determines that adherence to this DCID reasonably is expected to preclude timely dissemi-nation to protect life or mission; (b) disseminations are for limited duration and narrowly limited to persons or entities that need the information within 72 hours to satisfy an imminent emergency need; and (c) there is insufficient time to obtain approval through normal intelligence disclosure channels.
 - 10.1.3 The disclosing authority will report the dissemination through normal disclosure channels within 24 hours of the dissemination, or at the earliest opportunity thereafter as the emergency permits. For purposes of this provision, planning for contingency activities or operations not expected to occur within 72 hours does not constitute "imminent" need that warrants exercise of the emergency waiver to bypass the requirements of this DCID.
 - 10.1.4 Military commanders and/or responsible civilian officials will ensure that written guidelines for emergency dissemination contain provisions for safeguarding disseminated intelligence and notifying producers of disclosures of information necessary to meet mission requirements.
 - 10.1.5 The NCA, and/or major commands or responsible civilian officials will immediately advise intelligence producers when the emergency situation ends.

11.0 Procedures Governing Use of Authorized Control Markings

- 11.1 Any recipient desiring to disseminate intelligence in a manner contrary to the control markings established by this Directive must obtain the advance permission of the agency that originated the intelligence. Such permission applies only to the specific purpose agreed to by the originator and does not automatically apply to all recipients. Producers of intelligence will ensure that prompt consideration is given to recipients' requests with particular attention to reviewing and editing, if necessary, sanitized or paraphrased versions to derive a text suitable for release subject to lesser or no control marking(s).
- 11.2 The control markings authorized above shall be shown on the title page, front cover, and other applicable pages of documents; incorporated in the text of electrical communications; shown on graphics; and associated (in full or abbreviated form) with data stored or processed in automated information systems. The control markings also shall be indicated by parenthetical use of the marking abbreviations at the beginning or end of the appropriate portions in accordance with E.O. 12958.

12.0 Obsolete Restrictions and Control Markings

- 12.1 The following control markings are obsolete and will not be used in accordance with the following guidelines:
 - 12.1.1 WNINTEL and NOCONTRACT. The control markings, Warning Notice Intelligence Sources or Methods Involved (WNINTEL), and NOT RELEASABLE TO CONTRACTORS/CONSULTANTS (abbreviated NOCONTRACT or NC) were rendered obsolete effective 12 April 1995. No permission of the originator is required to release, in accordance with this Directive, material marked WNINTEL. Holders of documents prior to 12 April 1995 bearing the NOCONTRACT marking should apply the policies and procedures contained in Section 6.1 for possible release of such documents.
 - 12.1.2 Remarking of material bearing the WNINTEL, or NOCONTRACT, control marking is not required; however, holders of material bearing these markings may line through or otherwise remove the marking(s) from documents or other material.
 - 12.1.3 Other obsolete markings include: WARNING NOTICE-INTELLIGENCE SOURCES OR METHODS INVOLVED, WARNING NOTICE-SENSITIVE SOURCES AND METHODS INVOLVED, WARNING NOTICE-INTELLIGENCE SOURCES AND METHODS INVOLVED, WARNING NOTICE-SENSITIVE INTELLIGENCE SOURCES AND METHODS INVOLVED, CONTROLLED DISSEM, NSC PARTICIPATING AGENCIES ONLY, INTEL COMPONENTS ONLY, LIMITED, CONTINUED CONTROL, NO DISSEM ABROAD, BACKGROUND USE ONLY, USIB ONLY, NFIB ONLY.
- 12.2 Questions with respect to current applications of all control markings authorized by earlier Directives on the dissemination and control of intelligence and used on documents issued prior to the effective date of this Directive should be referred to the agency or department originating the intelligence so marked.

13.0 Reporting Unauthorized Disclosures

13.1 Violations of the foregoing restrictions and control markings that result in unauthorized disclosure by one agency of the intelligence of another shall be reported to the Director of Central Intelligence through appropriate Intelligence Community channels.

14.0 Responsibilities of SOICs

- 14.1 SOICs shall be responsible for the implementation of internal controls and shall conduct training to ensure that the dissemination and release policies contained in this Directive and the limitations on the use of control markings are followed. SOICs shall assure that agency personnel are accountable for the proper marking of classified information under this Directive and Section 5.6 of EO 12958.
- 14.2 SOICs shall establish challenge procedures by which US consumers may register complaints about the misuse of control markings or the lack of use of tear line reporting or portion marking. Information concerning such challenges shall be provided to the Security Policy Board staff upon request or for the annual review.

15.0 Annual Report on the Use of Control Markings

- 15.1 The Security Policy Board staff shall report to the DCI and Deputy Secretary of Defense on Intelligence Community compliance with this Directive, including recommendations for further policies in this area. The report will include an in-depth evaluation of the use of control markings in intelligence reporting/production, including consumer evaluations and producer perspectives on implementation of the Directive. The report shall also include information and statistics on challenges formally lodged pursuant to agency procedures under section 1.9 of Executive Order 12958 within and among intelligence agencies on the use of control markings, including their adjudication and the number of times the authority in Section 10 was used and the documents provided. In order to inform the Security Policy Board staff of substantive detail in these areas for purposes of this review, Intelligence Community elements shall respond to requests for information from the Security Policy Board staff. Intelligence Community elements may build this program into their Self-Inspection programs under E.O. 12958. The Security Policy Board staff shall also obtain pertinent information on this subject from intelligence consumers as required.
- 15.2 The report required by this Section shall be conducted annually, unless otherwise directed by the DCI. The Staff Director, Security Policy Board shall establish the schedule for the report.

16.0 Interpretation

16.1 Questions concerning the implementation of this policy and these procedures shall be referred to the Community Management Staff.

Signed by George D. Tenet

30 June 1998

Director of Central Intelligence

Date

Footnotes:

- 1 This Directive supersedes DCID 1/7, dated 12 April 1995
- 2 Recipients will apprise originating agencies as to which components comprise the headquarters element and identify subordinate elements that may be included as direct recipients of intelligence information.
- 3 This provision is a requirement of the Trade Secrets Act, as amended (18 USC 1905). The consent of the originator is required to permit release of material marked CAUTION-PROPRIETARY INFORMATION INVOLVED, PROPIN or PR to other than federal government employees.
- 4For the purposes of implementing this portion of the DCID, "emergency situation" is defined as one of the following:
 - a. declared Joint Chiefs of Staff (JCS) alert condition of defense emergency, air defense emergency or DEFCON 3;
 - h. hostile action(s) being initiated against the United States or combined US/coalition/friendly
 - c. US persons or facilities being immediately threatened by hostile forces;
 - d. US or combined US/coalition/friendly forces planning for or being deployed to protect or rescue US persons, or US/coalition/friendly forces,
 - e. US civilian operations in response to US or international disasters/catastrophes of sufficient severity to warrant Presidential declared disaster assistance/relief.

Note:

DCID 1/7 must be marked CONFIDENTIAL//NOFORN//X1 when attached to the text of the DCID 1/7 Supplement.

UNCLASSIFIED//FOR OFFICIAL USE ONLY

252.225-7043 ANTITERRORISM/FORCE PROTECTION POLICY FOR DEFENSE CONTRACTORS OUTSIDE THE UNITED STATES (JUN 1998)

(a) Except as provided in paragraph (b) of this clause, the Contractor and its subcontractors, if

performing or traveling outside the United States under this contract, shall-

(1) Affiliate with the Overseas Security Advisory Council, if the Contractor or subcontractor is

a U.S. entity;

(2) Ensure that Contractor and subcontractor personnel who are U.S. nationals and are

in-country on a non-transitory basis, register with the U.S. Embassy, and that Contractor and

subcontractor personnel who are third country nationals comply with any security related

requirements of the Embassy of their nationality;

(3) Provide, to Contractor and subcontractor personnel, antiterrorism/force protection

awareness information commensurate with that which the Department of Defense (DoD)

provides to its military and civilian personnel and their families, to the extent such information

can be made available prior to travel outside the United States; and

(4) Obtain and comply with the most current antiterrorism/force protection guidance for

Contractor and subcontractor personnel.

- (b) The requirements of this clause do not apply to any subcontractor that is-
 - (1) A foreign government;
 - (2) A representative of a foreign government; or
 - (3) A foreign corporation wholly owned by a foreign government.
- (c) Information and guidance pertaining to DoD antiterrorism/force protection can be obtained from

the following office: Naval Criminal Investigative Service (NCIS), Code 24; telephone, DSN

228-9113 or commercial (202) 433-9113.

(End of clause)

OPERATIONS SECURITY GUIDANCE FOR CONTRACTORS

AUGUST 1993

PREPARED BY:
OPERATIONS SECURITY OFFICE
SAFETY AND SECURITY DEPARTMENT
NAVAL AIR WEAPONS STATION
CHINA LAKE, CALIFORNIA 93555-6001

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ATTACHMENT $\frac{4}{}$ TO DD FORM 254

OPERATIONS SECURITY GUIDANCE FOR CONTRACTORS

DEFINITION

Operations security, or OPSEC, is the process of denying adversaries information about friendly [our] capabilities and intentions by identifying, controlling, and protecting indicators associated with planning and conducting military operations and other activities. OPSEC applies and should be emphasized at all levels of management down to the lowest shop and office level. Essentially, OPSEC has two objectives:

- 1. Protecting friendly operations
- 2. Degrading an adversary's war fighting capabilities through denial or control of information essential for planning and decision making.

BACKGROUND

One of the prime objectives of the U.S. intelligence community is the early acquisition of critical information regarding the research, development, testing, and evaluation (RDT&E) of adversarial military weapon systems and associated hardware. Conversely, there is no doubt that this nations potential adversaries are also very interested in our own development of military systems.

- 1. The reason for this mutual interest derives from the basic objectives of military intelligence: to avoid being surprised on the battlefield, while at the same time having the ability to render an adversary helpless through the element of surprise.
- 2. To avoid being surprised on the battlefield, it is of utmost importance to have prior knowledge of weapons the adversary might use, their capabilities, methods of employment and susceptibility to countermeasures and countertactics. It is imperative that this information be acquired as early as possible, thus making the development and initial testing phases of a weapon system a prime target for intelligence collection.

The purpose of this foreign intelligence effort is to determine general developmental trends of future U.S. weaponry, to obtain hard-core parametric data about specific weapons in order to devise countermeasures, and to acquire advanced technology that could possibly reduce developmental time and money associated with a country's own military hardware programs.

With this emphasis, it is easy to understand why our potential adversaries are most interested in the work and results of the Department of Defense and its **contractors**.

Experience from the early days of Vietnam and the original OPSEC effort (code-named Purple Dragon) demonstrated that something other than the traditional security programs [information, personnel, physical and industrial security] was required to maintain this element of surprise and to deter foreign intelligence collection efforts. This has developed the OPSEC concept which, unlike conventional security programs, focuses on identifying and protecting the specific information needed by an adversary to undermine the effectiveness of a specific operation or weapon system.

OPSEC is not designed to replace traditional security programs. Traditional security programs are aimed at the protection of classified information, while OPSEC is aimed at the protection of indicators, classified or unclassified, that reveal U.S. capabilities or intentions.

Evaluations of peacetime and crisis deployments; exercises, reconnaissance, systems acquisition tests, personnel, logistics and security functions; test ranges, laboratories, and other activities, revealed the need to apply OPSEC to RDT&E activities as well as combat operations.

INDICATORS

Unless an adversary has access to planning actions by means of espionage that exploit classified information, he must depend on intelligence derived from detectable activities.

- 1. Detectable activities include any emission or reflection of energy, any action, or anything that can be easily observed or recorded, and <u>all material available to the public</u>. Detectable activities are defined as activities incident to routine operations that convey information to our adversaries:
- 2. When detectable activities are observed, photographed or "detected" by human or technical means, they may provide our adversaries with sufficient information to reach conclusions approximating classified information about our intentions and capabilities. This enables our adversaries to make effective planning decisions.
- 3. Routinely, detectable activities are harmless; however, when the information revealed is <u>essential</u> to the needs of the adversary, it may compromise our end product and negate our efforts. These harmful detectable activities are known as indicators and observables.
- a. An Indicator is any item of Information which reflects an intention or capability. Indicators are obtained from documentation such as supply stubs, personnel records, test schedules, test plans, OPSEC plans, required operational capabilities, program introductions, mission statements, test evaluations, etc.
- b. An observable is an activity or anything (such as equipment, technical documents, etc.) that can be observed or photographed by human agents or any of the multidisciplined technical intelligence collection methods such as the intorception and analysis of compromising computer emanations, radio and telephone communications, radar emissions, and other intentional and unintentional electronic emissions, as well as technical imaging techniques such as photography, infrared photography, and radar imagery.

PROCESS

OPSEC is the process used in the RDT&E community to maintain the element of surprise regarding the development of U.S. weapons systems. OPSEC, as applied to weapons systems development, is the identification, control and protection of the specific essential information needed by an adversary to develop countermeasures and countertactics, or that which could be crucial in the transfer of technology. The essential information that must be protected need not be classified and is usually viewed as unimportant when examined in isolation.

OPSEC is a systematic process designed to be an integral part of overall planning.

- OPSEC planners must first establish an OPSEC team composed of employees from various areas. The reason for the team approach is that OPSEC analysis requires close coordination between management, security specialists, and subject matter experts.
- 2. The key to the OPSEC concept is the identification of the information that requires protection. This information is called <u>Essential Elements of Friendly [our] Information or EEFI</u> and may be corporate proprietary data, classified information, privacy data, For Official Use Only material, or unclassified, but national security-sensitive, information.
- 3. When identifying EEFI, the team should include those items of information which when put together, would give either a piece or all of the essential information. This step is necessary because an adversary, like a puzzle enthusiast, does not need all the pieces to accurately guess what the picture is.
- 4. Next the team must identify the threat to that information by creating a composite profile of their adversary's intelligence collection capabilities.
- 5. Chronologically identifying all activities involving the essential information is the next step. All activity, including supporting activities that might reveal essential information, must be reviewed. It is important to ensure the sequence of events is exactly how the operation really works rather, than how management plans for it to work.
- Each event in which sensitive information appears is an opportunity for an adversary to exploit, and is considered an OPSEC vulnerability.
- 7. It is imperative to assume the adversary's point of view during the OPSEC process; in order to know what our adversaries see, we must look at our operations with their eyes. Additionally, from a fiscal point of view, if an adversary cannot exploit a vulnerability because of the limitations in his intelligence collection capabilities, then no countermeasures are required. On the other hand, if the adversary has the capability to exploit a vulnerability, then countermeasures are warranted.
- 8. Finally, the OPSEC team should prioritize the vulnerabilities from the most to the least serious. Then the team can select countermeasures most effectively, using such factors as cost, ease of implementation, and number of vulnerabilities reduced.
- 9. Two concepts the OPSEC team should consider when developing countermeasures are:
 - a. Vulnerabilities can often be minimized but rarely eliminated
- b. The objective of the OPSEC program is to make collection sufficiently difficult to persuade the adversary to collect information somewhere else.

SPECIAL CONSIDERATIONS

Normally, contractors activities do not in and of themselves, generate a great deal of sensitive information or EEFI; however, contractor facilities, equipment and employees are used to store, transmit and process classified information, unclassified but national security-sensitive information, and EEFI which was generated outside their facilities.

Contractor activities usually have little intrinsic intelligence value until associated with a specific weapon system or activity. Unclassified, non-proprietary, For Official Use only, and privacy data are not generally national security-related issues; however, this type of information, when merged with information pertaining to specific weapons or weapons systems, may become sensitive or even classified. Therefore, it is incumbent upon all contractors to ascertain the sensitivity of information before introducing the information into their facilities.

Operations and activities can be roughly divided into two categories with respect to OPSEC; work performed inside workspaces and work performed outside workspaces.

1. <u>Inside</u> workspaces OPSEC is covered by traditional security programs. Protecting information whether contained in computers, on written documents, or in communications networks is a matter of complying with information, personnel, and physical security procedures. The essence of OPSEC inside work spaces is identifying the information you need to protect, establishing minimum procedures for protecting that information, and communicating this to employees.

The sensitivity of information received by (as opposed to generated by) the facility from external sources must be determined and appropriately communicated to employees at the time this information is received at the facility.

- 2. Whenever work is performed <u>outside</u> workspaces, or whenever EEFI is released from <u>your</u> workspaces (to other workspaces in or out of your lacility) for whatever reason, an OPSEC determination is necessary: will the activities unnecessarily expose sensitive information, and what can be done to counter this exposure? This analysis must then be documented in the form of an OPSEC plan. The OPSEC plan must address five issues:
 - a. The activity that involves the sensitive information
 - b. The sensitive information that might be exposed
 - c. The threat to that information
- d. Where the information is vulnerable or what is it about the activity that exposes this information
- e. What countermeasures can be applied to reduce or eliminate these vulnerabilities.

"FOR OFFICIAL USE ONLY" INFORMATION

The "For Official Use Only" (FOUO) marking is assigned to information at the time of its creation in a DoD User Agency. It is not authorized as a substitute for a security classification marking but is used on official government information that may be withheld from the public under exemptions 2 through 9 of the Freedom of Information Act.

Other non-security markings, such as "Limited Official Use" and "Official Use Only" are used by non-DoD User Agencies for the same type of information and should be safeguarded and handled in accordance with instruction received from such agencies.

Use of the above markings does not mean that the information cannot be released to the public, only that it must be reviewed by the Government prior to its release to determine whether a significant and legitimate government purpose is served by withholding the information or portions to it.

Identification Markings. An unclassified document containing FOUO information will be marked "For Official Use Only" at the bottom of the front cover (if any), on the first page, on each page containing FOUO information, on the back page, and on the outside of the back cover (if any). No portion markings will be shown. Within a classified document, an individual page contains both FOUO and classified information will be marked at the top and bottom with the highest security classification of information appearing on the page. If an individual portion contains FOUO information but no classified information, the portion will be marked, "FOUO."

Removal of the "For Official Use Only" marking can only be accomplished by the originator or other competent authority. When the "For Official Use Only" status is terminated, all known holders will be notified to the extent practical.

Dissermination. Contractors may disseminate "For Official Use Only" information to their employees and subcontractors who have a need for the information in connection with a classified contract.

Storage. During working hours, "For Official Use Only" information shall be placed in an out-of-sight location if the work area is accessible to persons who do not have a need for the information. During nonworking hours, the information shall be stored to preclude unauthorized access. Filing such material with other unclassified records in unlocked files or desks, is adequate when internal building security is provided during nonworking hours. When such internal security control is not exercised, locked buildings or rooms will provide adequate after-hours protection or the material can be stored in locked receptacles such as file cabinets, desks, or bookcases.

Transmission. "For Official Use Only" information may be sent via first-class mail or parcel post. Bulky shipments may be sent by fourth-class mail.

Disposition. When no longer needed, FOUO information may be disposed of by tearing each copy into pieces to preclude reconstructing, and placing it in a regular trash container or as directed by the User Agency.

Unauthorized Disclosure. Unauthorized disclosure of "For Official Use Only" information does not constitute a security violation but the releasing agency should be informed of any unauthorized disclosure. The unauthorized disclosure of FOUO information protected by the Privacy Act may result in criminal sanctions.

ATTACHMENT # 5 TO DD-254